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Classification of Radar Echoes for Identification and Remote Reading of Chipless Millimeter-wave Sensors

Dominique Henry, Timothée Marchal, Julien Philippe, Patrick Pons and Hervé Aubert

Abstract—This paper describes the wireless and simultaneous interrogation and identification of multiple passive (zero-power) pressure sensors in an industrial environment with a reading range of at least 4 meters. The 3D beamscanning of the scene is performed from a 24GHz FM-CW radar and for diverse electric field polarizations. The identification is performed using a k -nearest neighbors classification. The benefit of using the cross-polarized electric fields combined with a radar imagery technique is enlightened from the analysis of the background clutter and the simultaneous remote interrogation of several passive pressure sensors at a distance up to 17.7m. The measurement uncertainty on pressure obtained from the proposed long-range wireless technique is finally reported and discussed.

Index Terms—radar imaging, radar polarimetry, target classification, sensor identification, wireless sensor systems

I. INTRODUCTION

DETECTION and remote reading of sensors in electromagnetic reflective and/or harsh environments is challenging, especially when sensors are chipless and batteryless (or *zero-power*). Paradoxically, these sensors might be very useful in such environments, in which integrated circuits may be damaged and human intervention is limited. Remote sensing using Surface Acoustic Wave tags, passive Radio-frequency identification (RFID) tags or radiofrequency (RF) resonators has been investigated in harsh conditions, such as, in extreme temperatures [1] [2] or cluttered environments [3]. The lifetime of such tags in extreme conditions depends mainly on their constitutive materials, but the reliability of the wireless link between tags and their reader may be significantly degraded by electromagnetic clutter (spurious radar echoes), multiple reflections (multipath) or destructive interferences (shadow regions). It may decrease the signal-to-noise ratio and eventually make tags undetectable by the reader. One solution for enhancing the signal-to-noise ratio is to take advantage of the polarization diversity. Benefits of using cross-polarized electric fields have been investigated for chipless RFID sensor tags in [4]–[6]. However, these studies targeted short reading ranges of typically few tens of centimeters. In many industrial applications, such as Structural Health Monitoring (SHM) in inaccessible areas, larger range of wireless interrogation is often required. Cross-polarized sensor tags combined with a microwave radar imagery technique allow reaching radar-to-tag distance of 50 meters in indoor

environments [7]. In the paper presented at *International Microwave Symposium 2020* by the authors of the present study [8], the long-range wireless multi-sensing technique in industrial environments using zero-power (passive or chipless) pressure sensors and cross-polarized electric fields was proposed. The term *long-range* referred to a distance of interrogation of 3 meters or more, while the term *short-range* referred to reading ranges of few centimeters and is usually offered by standard chipless RFID sensor tags. Moreover, the term *multi-sensing* referred to the simultaneous remote interrogation of multiple sensors in the scene. We investigated in [8] the radar interrogation of several passive pressure sensors surrounded by many electromagnetic reflective structures (such as metallic pipes, grids, walls, etc.) in an industrial environment. The reader was a 24GHz FM-CW (Frequency-Modulated Continuous-Wave) radar that performed the 3D beamscanning of the scene with two orthogonal (Vertical and Horizontal) electric field polarizations in order to enhance the signal-to-noise ratio.

We report here an extension of the work reported in [8] by investigating for the first time the *identification* of chipless sensors from an original classification of radar echoes. Chipless sensors, such as in RFID applications, are usually identified in the frequency and/or time domains (see e.g. [9] [10] and may require the use of several transmission lines [11]. The original method proposed here does not require the use of multi-band resonators for identifying chipless sensors, but it relies only on the classification of sensor radar echoes for different field polarizations.

Classification of radar echoes is usually applied to meteorological radar imagery, synthetic aperture radar (SAR) imaging or through-the-wall radar imaging (TWRI). For SAR imaging or meteorological radar images, textural and polarimetric features can be analyzed for segmentation and classification purposes [12] [13], while on TWRI application, classifications can be applied to 3D radar images [14]. Segmentation and identification of 3D radar targets are also possible, as shown in [15]. In the application reported here, we use a classification algorithm (so-called the *k*-nearest neighbors [16]) to distinguish between radar echoes of millimeter-wave chipless sensors and electromagnetic clutter. The segmentation of radar echoes is performed using isolines computed with a *marching square* algorithm [17] in 2D azimuth/elevation planes of the radar beamscanning. The proposed classification allows to better detect and mitigate the clutter, and is also able to identify different chipless pressure sensors. To illustrate the

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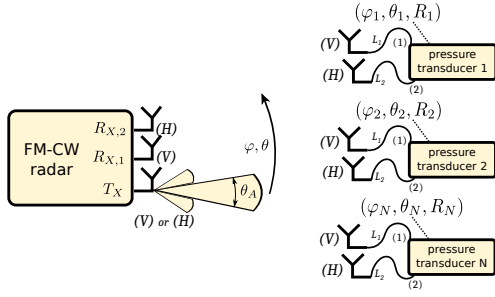


Fig. 1. Schematic of the 3D beamscanning principle of N zero-power sensors with a FM-CW radar using polarization diversity. Pressure sensors are here passive pressure transducers connected to two cross-polarized antennas through delay lines.

performance of the proposed classification technique applied to sensor radar echoes for very long reading ranges (8m and more), an additional beamscanning is performed to detect, identify and read two chipless pressure sensors which are located respectively at 8.1m and 17.7m in front of the radar reader.

This article is organized as follows: Section II presents the main principle of the millimeter-wave radar multi-sensing technique used in this study. Some terms used throughout this paper are reminded, such as the so-called *polarization configuration* (Section II-A). The identification and reading algorithm is detailed in Section II-B, and Section III describes the material and methods. Scattering parameters of three pressure chipless sensors are discussed in Section III-A while the technical characteristics of the radar reader are detailed in Section III-B. Two measurement scenarii are described in Section IV-A and the method to estimate the maximal full-scale range of the pressure measurement at each sensor position is reported in Section IV-B. Descriptors are defined in Section IV-C in order to characterize the electromagnetic clutter for the two scenarii and polarization configurations. In Section IV-D, it is shown that the clutter is dramatically mitigated from the proposed analysis of segmented radar echoes. Classifications of radar echoes for different scenarii are then performed and analyzed in Section IV-E. The pressure applied on multiple chipless sensors is estimated in Section IV-F and finally the measurement uncertainty is discussed in Section IV-G.

II. MEASUREMENT PRINCIPLE

A. Multi-Sensing and Polarization Configuration

The measurement principle is illustrated on Fig. 1. The reader unit is a FM-CW radar with carrier frequency f_c and bandwidth B . The radar front-end is composed of the transmission channel (T_x) and two reception channels ($R_{x,1}$ and $R_{x,2}$). The T_x antenna has narrow beamwidth in azimuth and elevation, denoted respectively by φ_A and θ_A , and its polarization is either vertical (V) or horizontal (H). The $R_{x,1}$ antenna is vertically polarized, while the polarization of the $R_{x,2}$ antenna is horizontal. The radar performs the beamscanning of the scene, in which are distributed N zero power pressure (or batteryless) sensors at respective positions $(\varphi_1, \theta_1, R_1)$, $(\varphi_2, \theta_2, R_2), \dots, (\varphi_N, \theta_N, R_N)$ in the elevation/azimuth coordinate system, where the origin $(0,0,0)$ is the FM-CW radar

location. The triplet $(\varphi_k, \theta_k, R_k)$ designates the azimuth, elevation and range from the radar of the k^{th} pressure chipless sensor. As illustrated in Fig. 1, each sensor is composed of : (i) the zero-power pressure transducer, that is, a two-port passive network which converts the pressure variation applied to the sensor into the modification of its scattering parameters $S_{11}, S_{12}, S_{21}, S_{22}$ at the radar operating frequency; (ii) V - and H -polarized antennas; (iii) Two delay lines of electrical lengths L_1 and L_2 , which are used for connecting the V - and H -polarized antennas to the input port (1) and output port (2) of the transducer. The analysis of the so-called *backscattering antenna (or sensing) mode* [18] of such passive and wireless sensors is used for remotely estimating the pressure variation applied to the sensor. This electromagnetic backscattering originates in the impedance mismatch at input and output ports of the transducer: as the mismatch level depends on the pressure applied on the transducer, pressure variation is expected to change the radar echo level of the sensor. The electric field transmitted by the radar is here either H - or V -polarized, while the sensing mode is dual-polarized, that is, the electric field backscattered by the sensor is the combination of H -polarized and V -polarized electric fields. When the electric field transmitted by the radar is, say, H -polarized, the H -polarized component of the backscattered electric field is due to the sensing and structural modes of the H -polarized antenna of the sensor. Moreover, the V -polarized component of the field backscattered by the sensor is generated by the radiation from the V -polarized sensor antenna of the electric field received by the H -polarized sensor antenna and transmitted through the transducer and delay lines. The resulting V -polarized component of the backscattered electric field is next received by the $R_{x,1}$ -antenna.

TABLE I
EXPECTED DISTANCE FROM THE RADAR OF THE BACKSCATTERING SENSING MODE OF THE k^{th} PRESSURE SENSOR AS A FUNCTION OF THE POLARIZATION CONFIGURATION p .

		Radar T_x antenna polarization	
		V -pol	H -pol
Radar R_x antennas polarization	V -pol	$p = VV$; $R_k + L_1$	$p = VH$; $R_k + \frac{L_1 + L_2}{2}$
	H -pol	$p = HV$; $R_k + \frac{L_2 + L_1}{2}$	$p = HH$; $R_k + L_2$

In order to clarify the various polarization configurations studied in this paper, the so-called *polarization configuration*, denoted by p , is defined here as follows: when the electric field transmitted by the radar is H -polarized (resp. V -polarized), then :

- (i) $p = HV$ (resp. $p = VV$) if the electric field is received by the $R_{x,1}$ -antenna ;
- (ii) $p = HH$ (resp. $p = VH$) if the electric field is received by the $R_{x,2}$ -antenna.

Throughout this paper, $p = VV$ or HH refers to the co-polarization (*co-pol*) configuration, while $p = VH$ or HV refers to the cross-polarization (*cross-pol*) configuration. The eventual electromagnetic coupling between the two sensors antennas is assumed to be negligible. As reported in Table I, it is expected that the distance between the radar and the

backscattering sensing mode depends on the chosen polarization configuration.

B. Identification and Remote Sensing Algorithm

We propose an algorithm for both the detection of radar echoes associated with the chipless pressure sensors distributed in the beam-scanned scene and the estimation of the pressure at the multiple sensor locations. This algorithm is composed of the following steps (see Fig. 2):

- (i) Raw data is collected during the 3D mechanical radar beam-scanning and for the four possible polarization configurations ($p=VV, VH, HV$ and HH). Raw data is a 2D time data array. The first dimension is called the *fast time*, while the second dimension is called the *slow time*. The fast time is determined by the up-ramp duration ($T_{up}=20\text{ms}$) of the radar triangular modulation frequency. N_S ($=1024$) denotes the number of samples for the fast time signal. The slow time is obtained during the 3D mechanical beam-scanning. The repetition time between each fast time measurement is denoted T_{rep} and is set here to 50ms. The direction of interrogation (φ_m, θ_q) is associated with each fast time signal n ;
- (ii) The Fast Fourier Transform (FFT) is applied over the fast time dimension to obtain the so-called *beat frequency spectrum*. Positive frequencies are selected and turned into range bins of theoretical resolution d ($=7.5\text{cm}$) ;
- (iii) The three-dimensional data is reconstructed for the four polarization configurations. The first dimension is the interrogation range R . The second and third dimensions are respectively the azimuth θ and the elevation φ ;
- (iv) The mean patch filter of $3 \times 3 px^2$ is applied on each elevation/azimuth plane. Then radar echoes are segmented for each elevation/azimuth plane (θ_k, φ_k). The segmentation is based on the *marching squares* algorithm [17] that generates contours called *isolines*. Isolines refer to lines along which the radar echo level does not change [19]. The echo level for which radar echoes are segmented depends on specific parameters, such as, the maximal number of local maxima inside the isoline, the initial echo level for which isolines are computed and the minimal and maximal areas inside the isoline. The following parameters are used to compute the isolines: initial echo level is -80dB , the maximal number of local maxima per isolines is 1, and the area inside an isoline ranges from $3 px^2$ to $20 px^2$. A more detailed description of the algorithm can be found in [20]. The segmentation algorithm is not applied to the two copolarization configurations $p=VV$ and HH because the lower SNR in these configurations may impact the segmentation efficiency ;
- (v) Isolines are indexed with some of their features, such as their coordinates, shape or echo levels inside their boundaries. We denote by C_i^p the i^{th} isoline in polarization configuration p . As it will be justified in Section IV-D, only cross-polarization configurations $p=VH$ and HV are considered in this step ;
- (vi) To identify the radar echoes from the pressure sensors, only isolines C_i^{VH} and C_j^{HV} that intersect in the plane (θ_k, φ_k) are selected. This selection is explained for two reasons: on one hand, sensing modes for $p=VH$ and $p=HV$ are located at the same distance R_k , and on the other hand, antennas of

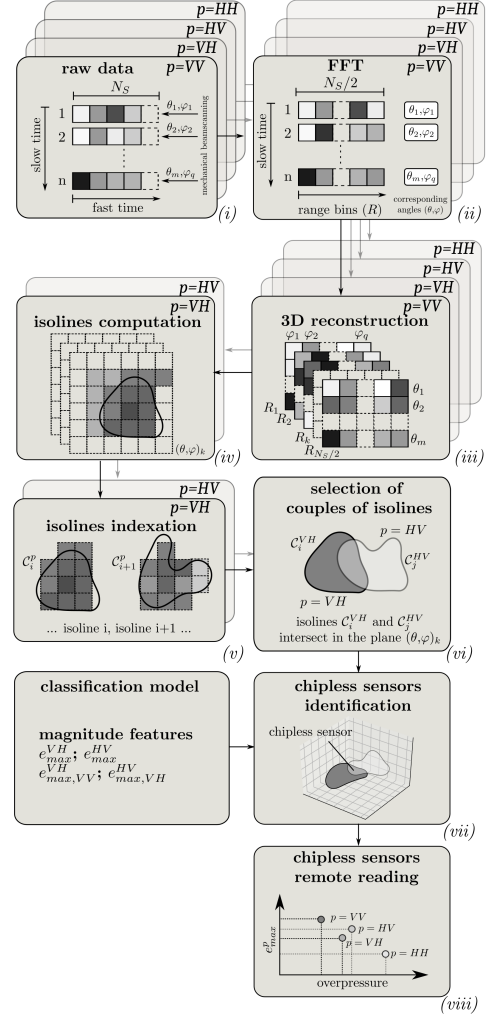


Fig. 2. The different steps of the proposed identification and remote sensing algorithm for the detection and remote reading of chipless and passive millimeter-wave sensors distributed in a cluttered environment.

- chipless pressure sensors are located in close directions ;
- (vii) The remaining couples of isolines are used to distinguish between radar echoes of millimeter-wave chipless sensors and electromagnetic background clutter. The standard *nearest neighbors* classification [21] is applied to magnitude parameters derived from isolines. The performance of the classification, which depends on the environment, will be discussed in Section IV-E ;
- (viii) Once the couple of isolines associated with each chipless sensor is selected, we define the estimator e_{max}^p as the maximal value of echo level inside the isoline in polarization configuration p . This estimator varies with the pressure applied to the sensor. Cross-polarization configurations will be preferred in this study for the pressure estimation as it will be justified in Section IV-G.

III. MATERIAL AND METHODS

A. Passive Pressure Transducers

We consider three chipless millimeter-wave pressure sensors. They are referred as *sensor 1*, *sensor 2* and *sensor 3*. These sensors are two-port wireless and passive (see [22] for

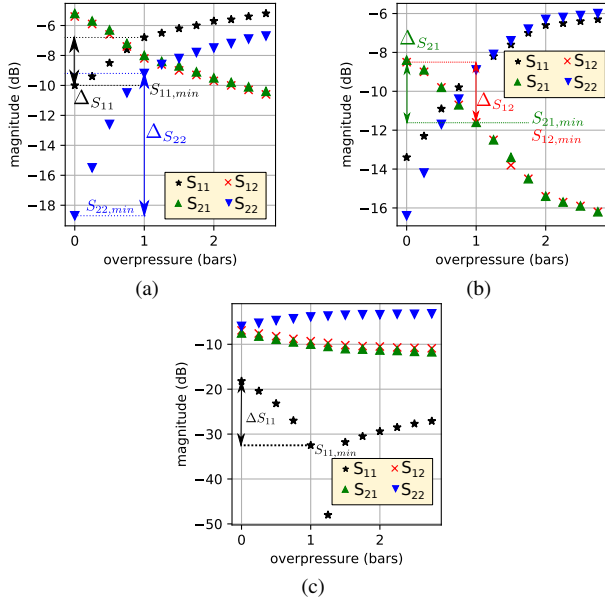


Fig. 3. Measured scattering parameters at 23.8GHz of (a) *sensor 1*, (b) *sensor 2* and (c) *sensor 3* as a function of the applied overpressure. ΔS_q and $S_{q,min}$ (where $q=11, 12, 21$ and 22) denote respectively the full-scale range and smallest magnitude of the scattering parameter S_q for an overpressure ranging from 0 to 1 bar.

a detailed presentation). Their respective scattering parameters at 23.8GHz are given in Fig. 3. For a specified interval of applied overpressures, the full-scale measurement range of radar echoes depends on the following sensor descriptors :

- the interval ΔS_q (where $q=11, 12, 21$ or 22) in which the magnitude of scattering parameter S_q lies ;
- the smallest magnitude $S_{q,min}$ (where $q=11, 12, 21$ or 22) of the scattering parameter S_q .

If ΔS_q is high, a large measurement range of radar echo level may be expected. Moreover, very small echo levels above the noise level can be measured for low $S_{q,min}$. The lowest achievable $S_{q,min}$ depends on the radar sensitivity (i.e. the smallest echo level detectable by the radar) and on the electromagnetic clutter. A cluttered environment may actually cause low signal-to-noise ratio and small full-scale measurement range of radar echoes. The measurement results of descriptors ΔS_q and $S_{q,min}$ are reported in Table II. As it will be discussed in Section IV-F, they will significantly impact the remote sensing performances of the millimeter-wave reader.

Identical cross-polarized rectangular horn antennas (gain of 20dBi) are connected at input and output ports of the sensors. Since $L_1=L_2$ it can be derived from Table I that sensing modes are located at the same distance from the reader in all polarization configurations p . For *sensor 1* and *sensor 3*, $L_1=L_2=0.36m$ while for *sensor 2*, $L_1=L_2=1.2m$.

B. The Reader

The reader is the FM-CW radar (from IMST [23]) with carrier frequency $f_c=23.8GHz$ and modulation bandwidth $B=2GHz$. The theoretical depth resolution is then $d=\frac{c}{2B}$ ($=7.5cm$), where c is the speed of light [24]. The radar T_x antenna is a circular horn with a dielectric lens, and its gain is of 28dBi with same beamwidth in azimuth and elevation

TABLE II
DESCRIPTORS ΔS_q AND $S_{q,min}$ OF THE THREE CHIPLESS PRESSURE SENSORS AND FOR APPLIED OVERPRESSURE RANGING FROM 0 BAR TO 1 BAR.

q	<i>sensor 1</i>		<i>sensor 2</i>		<i>sensor 3</i>	
	ΔS_q	$S_{q,min}$	ΔS_q	$S_{q,min}$	ΔS_q	$S_{q,min}$
11	3.2	-10.0	4.6	-13.4	14.3	-32.5
12	2.8	-8.2	3.1	-11.6	2.4	-9.3
21	2.8	-8.0	3.2	-11.6	2.5	-10.0
22	9.5	-18.7	7.5	-16.4	2.1	-6.0

all values are in dB

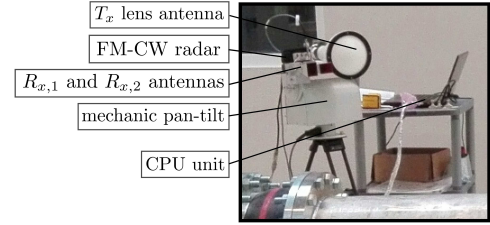


Fig. 4. FM-CW radar mounted on a pan-tilt platform. The reader performs a beamscanning in azimuth and elevation.

($\varphi_A=\theta_A=6^\circ$). $R_{x,1}$ and $R_{x,2}$ antennas are rectangular horns with a gain of 20dBi. The output power of the radar front-end is of 10dBm (10mW). As depicted in Fig 4, the radar is mounted on a pan-tilt platform performing the mechanical beamscanning. Angular resolutions both in azimuth and elevation are of 1° .

IV. MEASUREMENT RESULTS AND DISCUSSION

A. Measurement Scenarii

The remote sensing is performed at Chatou EDF research center, France (*Electricité de France*). This place includes an industrial hangar with a testing loop, called *Everest*, dedicated to the measurement of the flow and pressure inside pipes. It contains many metallic and massive objects that generate strong electromagnetic clutter. The chipless pressure sensors are interrogated in the two configurations illustrated in Fig. 5 and called *scenario 1* and *scenario 2*. For the *scenario 1*, *sensor 1* and *sensor 2* are placed respectively at 4.2m and 4.8m from the reader, as depicted in Fig. 5a and Fig. 5c. According to the electrical lengths of the delay lines (see Section III-A), sensing modes are located at ranges of 4.5m and 6.0m (note that in our previous contribution reported in [8], the reading range of *sensor 1* is of 3.3m, using delay lines of electrical length $L_1=L_2=1.2m$).

For the *scenario 2*, *sensor 2* and *sensor 3* are placed respectively at 8.1m and 17.7m from the FM-CW radar, as depicted in Fig 5b and Fig 5d. As a result, sensing modes are located respectively at distances of 9.3m and 18.8m from the reader. For each scenario, the radar performs a 3D beamscanning of the scene for applied overpressures varying from 0 bar to 1 bar.

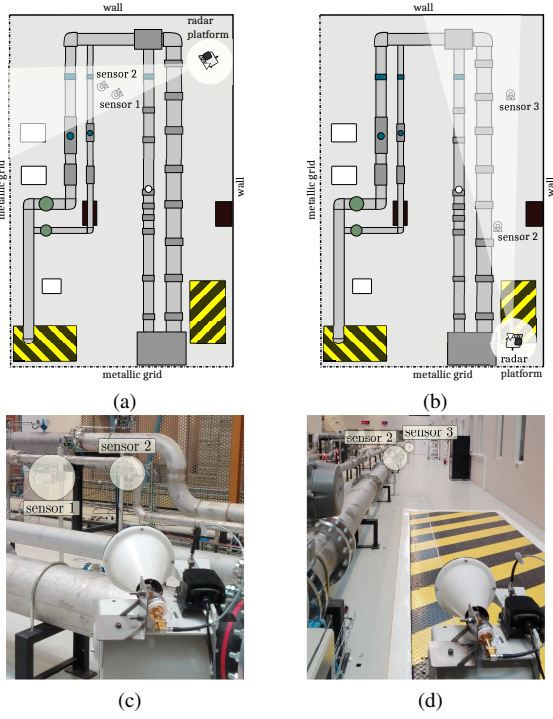


Fig. 5. Top views and pictures of (a)-(c) *scenario 1* and (b)-(d) *scenario 2*. In *scenario 1*, *sensor 1* and *sensor 2* are located at 4.2m and 4.8m from the reader. In *scenario 2*, *sensor 2* and *sensor 3* are respectively located at 8.1m and 17.7m from the reader.

B. Characterization of the highest full-scale measurement range

As reported in Section IV-A, the pressure chipless sensors are placed at various locations and may offer different full-scale measurement ranges. The highest full-scale measurement range Δ_{max}^p (in dB scale) for a specified polarization configuration p and fixed sensor location can be defined as follows:

$$\Delta_{max}^p = |e_{max,opened}^p - e_{max,through}^p| \quad (1)$$

where statistical estimator $e_{max,opened}^p$ evaluates the highest echo level (in dB) inside isolines when the two antennas of the sensor are open-circuited, and $e_{max,through}^p$ estimates the highest echo levels (in dB) inside isolines, when the two-port sensor is replaced by an impedance matched transmission line.

To illustrate the physical meaning of Δ_{max}^p , we consider the *sensor 2* in the *scenario 2*. The corresponding sensing mode is located at a distance of 9.3m from the reader. Radar echo levels at this distance are displayed in Fig. 6a for $p=VV$, VH , HV and HH . As expected, the highest electromagnetic backscattering is obtained either from co-polarization configurations ($p=VV$ and $p=HH$) when the sensors antennas are open-circuited, or from cross-polarization configurations ($p=VH$ and $p=HV$) when the two-port sensor is replaced by an impedance-matched transmission line. The radar echoes are segmented by red isolines according to the *step (iv)* of the algorithm described in Section II-B. The highest echo level is given by the statistical estimator e_{max}^p computed from echoes inside the red isoline.

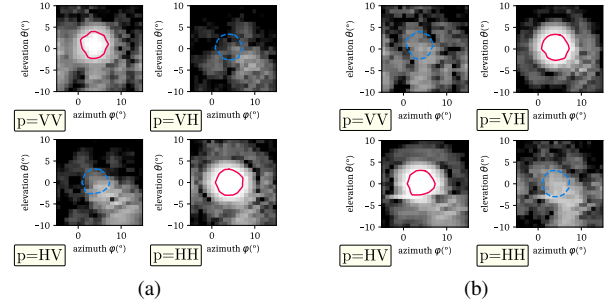


Fig. 6. Isolines generated in the azimuth/elevation planes at the range $R=9.3\text{m}$ at the location of the *sensor 2* (*scenario 2*) when the two-port sensor is replaced by: (a) an open-circuit at each input port of the two sensor antennas, and (b) by an impedance-matched transmission line. Dark to bright colors in the figures indicate low (-90dB) to high (-60dB) radar echo levels.

The lowest electromagnetic backscattering is obtained either from cross-polarization configurations ($p=VH$ and $p=HV$) when the two-port sensor is replaced by an impedance-matched transmission line, or from co-polarization configurations ($p=VV$ and $p=HH$) when the sensor antennas are open-circuited. The highest echo level is then given by the statistical estimator e_{max}^p computed from echoes inside the blue isoline in Fig. 6. The highest full-scale measurement range Δ_{max}^p is then derived from eq. 1 and radar echo levels inside the red and blue isolines.

The estimation of Δ_{max}^p is performed for each sensor and each scenario. The results are reported in Table III for the four polarization configurations. For $p=VH$, HV and open-circuited sensor antennas, we observe that the smallest value of e_{max}^p is lower than one obtained from $p=VV$, HH and the transmission line between the two sensor antennas. Consequently, Δ_{max}^p is higher for $p=VH$ and HV . This result can be explained by higher SNR offered by the cross-polarization configuration, since the scene does not strongly depolarize the electric field in our case. We note that Δ_{max}^p is higher in *scenario 1* than in *scenario 2* because the SNR decreases with the reading range. For example, the highest full-scale measurement range Δ_{max}^{HV} is of 20.2dB for *sensor 2* in *scenario 1* ($R=4.8\text{m}$) while Δ_{max}^{VH} is of 14.6 dB in *scenario 2* ($R=8.1\text{m}$). Moreover, it can be also observed from Table III that, for too long reader-to-sensor distances, the detection is no more possible in co-polarization configuration, but is still achievable using cross-polarization configuration. As a matter of fact, at the position of *sensor 3* (*scenario 2*, $R=17.7\text{m}$), the algorithm of Section II-B (*step (iv)*) does not allow the detection of radar echoes for $p=VV$ and HH , but it detects sensor radar echoes for $p=VH$ and HV . The highest full-scale measurement range is then found to be of at least 7dB in this polarization configuration.

C. Electromagnetic Clutter Analysis

Isolines of the beams scanned scene are computed for 3D radar images in elevation and azimuth planes for the two scenarios (see *step (iv)* of the algorithm in Section II-B). Computed isolines associated with the clutter of *scenario 1* are displayed in Fig. 7 in black color for $p = VV, VH, HV$, and HH in (θ, φ, R) coordinate system. It can be observed

TABLE III
HIGHEST FULL-SCALE MEASUREMENT RANGE Δ_{max}^p FOR ALL SENSOR POSITIONS AND POLARIZATION CONFIGURATIONS.

p	sensor 1			sensor 2		
	o	-	Δ_{max}^p	o	-	Δ_{max}^p
VV	-52.1	-61.6	9.6	-54.0	-64.5	10.5
VH	-73.3	-53.1	20.2	-72.4	-53.5	18.9
HV	-76.2	-52.6	23.6	-73.6	-53.5	20.2
HH	-52.4	-58.1	5.6	-54.2	-70.9	16.7

scenario 1

p	sensor 2			sensor 3		
	o	-	Δ_{max}^p	o	-	Δ_{max}^p
VV	-58.7	-70.2	11.5	ND	ND	0
VH	-70.8	-56.2	14.6	-64.3	-57.1	7.2
HV	-66.2	-55.1	11.1	-67.7	-58.9	8.8
HH	-55.0	-65.5	10.5	ND	ND	0

scenario 2

all values are in dB scale

o: open-circuited two-port

-: matched-impedance transmission line

ND: radar echo not detected

that the number of isolines differs significantly between co-pol and cross-pol configurations: the number of isolines is actually of 722 for $p=VV$, 445 for $p=VH$, 462 for $p=HV$, and 716 for $p=HH$. This is due to the reduction of the clutter in the cross-pol radar images compared with one obtained from co-pol radar images. In red and blue colors are displayed in Fig. 7 the isolines of sensing modes of *sensor 1* and *sensor 2*. During the beamscanning, the applied overpressures were of 0,7 bar for *sensor 1*, and 1,1 bars for *sensor 2*. The computed isolines allow estimating the location of these sensors with angular resolutions of 1° in azimuth and elevation, and theoretical range resolution of 7.5cm. Inside the volume of the 3D scene beamscanned by the radar in a given polarization configuration, the *sensor-to-clutter radar echoes ratio* Λ_p is computed as follows :

$$\Lambda_p = \frac{N_{s,p}}{N_{s,p} + N_{c,p}} \quad (2)$$

where p denotes the polarization configuration, $N_{s,p}$ is the number of isolines generated by the sensors and $N_{c,p}$ is the number of isolines generated by the clutter. In absence of clutter in the beamscanned volume, Λ_p is equal to 1 and all isolines in this volume are generated only by the sensing mode. Consequently, the applied overpressure can be derived from the analysis of the isolines, as it will be shown below. However, when the clutter is very high, Λ_p is close to 0 and the isolines are generated by the clutter only. As a consequence, the sensing modes are no more detectable and the overpressure cannot be remotely estimated. Therefore, Λ_p characterizes the ability to identify the sensor radar echo in a given beamscanned scene and polarization configuration. If the comparison of the number of clutters is needed between two beamscanned scenes, $N_{c,p}$ must be normalized by the number of pixels contained in the scene (or in the scanned volume). The scanned volume in elevation/azimuth/range coordinates is defined by :

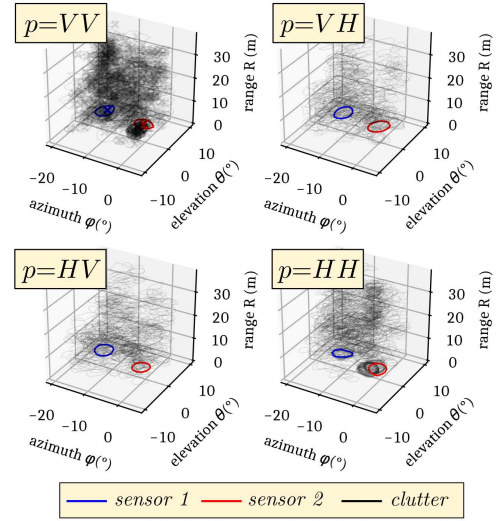


Fig. 7. Isolines (in elevation/azimuth/range coordinates) derived from the radar beamscanning of *scenario 1* and computed for different polarization configurations ($p = VV, VH, HV$, and HH). In black color are displayed isolines of the clutter, while blue and red colors indicate isolines of the sensing modes for respectively *sensor 1* (for an applied overpressure of 0,7 bar) and *sensor 2* (for an applied overpressure of 1,1 bars).

$$V_{scan} = \frac{1}{3}(R_1^3 - R_0^3) \times (\varphi_1 - \varphi_0) \times (\sin(\theta_1) - \sin(\theta_0)) \quad (3)$$

where R_1 and R_0 denote the highest and smallest reading ranges, φ_1 and φ_0 the highest and smallest azimuth angles, θ_1 and θ_0 highest and smallest elevation angles. We derive that $V_{scan}=2826m^3$ for *scenario 1* and $V_{scan}=2261m^3$ for *scenario 2*. The number of detected radar echoes from the clutter per unit volume is given by :

$$N_{Vc,p} = \frac{N_{c,p}}{V_{scan}} \quad (4)$$

From the computed isolines, the following sensor-to-clutter radar echoes ratios and the number of isolines generated by the clutter per unit volume are derived for the two scenarii and reported in Table IV. In *scenario 1*, the cross-pol ratios Λ_{VH} and Λ_{HV} are nearly twice times larger than the co-pol ratios Λ_{VV} and Λ_{HH} . Therefore, compared with the co-pol configurations, the cross-pol configurations allow detecting and identifying more easily the backscattering sensing modes in presence of strong electromagnetic clutter. Inversely in *scenario 2*, Λ_{VH} and Λ_{HV} are lower than Λ_{VV} and Λ_{HH} . This result can be explained from observation of the pictures of *scenario 2* on Fig. 5b and Fig. 5d. *Sensor 2* and *sensor 3* are actually located close to a long metallic pipe with circular riveted joints. These circular shapes depolarize the electric field. This interpretation is confirmed by the highest value of $N_{Vc,p}$ obtained for $p=VH$ or HV in *scenario 2* compared with one provided by *scenario 1*.

D. Clutter Filtering using Intersection of Isolines

To facilitate the classification of radar echoes and filter part of the clutter, only isolines of radar echoes in cross-polarization configurations $p=VH$ and HV that intersect are selected, as indicated in *step (vi)*. This selection is possible

TABLE IV
SENSOR-TO-CLUTTER RADAR ECHOES RATIO Λ_p AND NUMBER OF ISOLINES GENERATED BY THE CLUTTER PER UNIT VOLUME $N_{V,c,p}$ FOR THE TWO SCENARI II IN ALL POLARIZATION CONFIGURATIONS.

p	scenario 1		scenario 2	
	Λ_p	$N_{V,c,p}^a$	Λ_p	$N_{V,c,p}^a$
VV	2.8E-3	2.5E-1	11.6E-3	0.7E-1
VH	4.5E-3	1.5E-1	4.4E-3	2.0E-1
HV	4.3E-3	1.6E-1	4.5E-3	1.9E-1
HH	2.8E-3	2.5E-1	13.2E-3	0.6E-1

$N_{s,p} = 2$

^aunit in radar echoes per m^3

because of the close positions of the two cross-polarized antennas for each chipless sensor. Consequently, radar echoes of the sensing modes for $p=VH$ and HV are located in close elevation and azimuth angles at a given reading range. This initial filtering is illustrated in Fig. 8 for the *scenario 2* at the location of *sensor 3* ($R=18.1m$). In Fig. 8a and Fig. 8b are represented isolines for respectively $p=VH$ (blue isolines) and $p=HV$ (green isolines). By transposing these isolines in the same azimuth/elevation plane, we select the isolines for $p=VH$ and $p=HV$ that intersect. The remaining couples of isolines are shown in Fig. 8c. We note that the third green isoline for $p=HV$ has been removed/filtered because no other isoline intersects this isoline for $p=VH$. We observe that the first remaining couple of isolines allows segmenting the sensing mode of *sensor 3*. The other one is used to segment the unfiltered clutter.

To evaluate the efficiency of this filtering, the sensor-to-clutter radar echoes ratio and number of isolines generated by the clutter per unit volume, defined respectively by eqs (2) and (4), are re-computed after the *step (vi)* of the algorithm. These parameters are denoted by $\Lambda_{(VH)\cap(HV)}$ and $N_{V,c,(VH)\cap(HV)}$. For *scenario 1*, $\Lambda_{(VH)\cap(HV)}=9.1E-3$ and $N_{V,c,(VH)\cap(HV)}=7.6E-2$ radar echo per m^3 . For *scenario 2*, $\Lambda_{(VH)\cap(HV)}=8.0E-3$ and $N_{V,c,(VH)\cap(HV)}=1.0E-1$ radar echo per m^3 . By comparing these values with the ones for $p=VH$ and $p=HV$ in Table IV, we observe that around 50% of the clutter has been removed by filtering in both scenarii.

E. Classification of Radar Echoes

In order to identify radar echoes of the chipless sensors, a classification of radar echoes is now proposed using the measurement results obtained from *scenario 1*, *scenario 2* and for various overpressures applied to the sensors. The classifier used here for the identification is a standard *nearest neighbors* classifier [21]. In contrast to previously reported identification techniques (see e.g. [9]–[11]), the proposed method does not use resonators or other passive structures for remotely identifying chipless sensors. The method proceeds only to the classification of sensor radar echoes for the four polarization configurations.

Four statistical estimators, or features, are considered here for the classification:

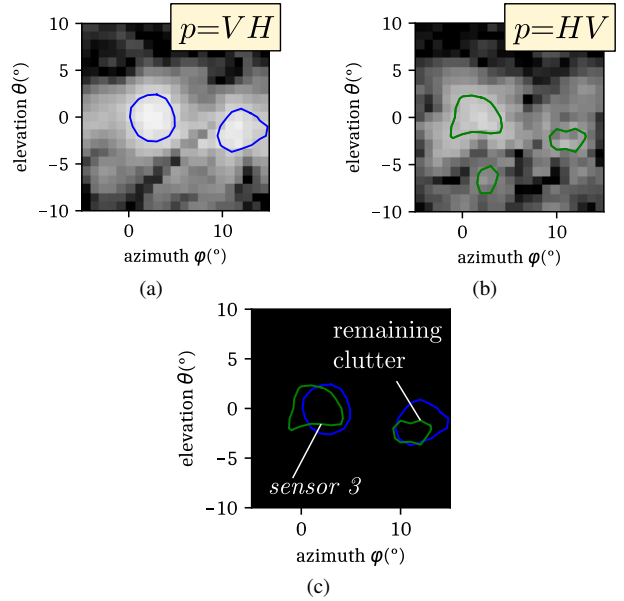


Fig. 8. Isolines generated in the azimuth/elevation planes at the range $R=18.1m$ at the location of the *sensor 3* (*scenario 2*) for (a) $p=VH$ and (b) $p=HV$. Dark to bright colors indicate low (-90dB) to high (-60dB) echo levels. (c) Only couples of isolines that intersect are selected.

- e_{max}^{VH} , which estimates the highest echo level inside the isoline C_i^{VH} from the selected couple of intersected isolines (C_i^{VH}, C_j^{HV}) ;
- e_{max}^{HV} , which estimates the highest echo level inside the isoline C_j^{HV} from the selected couple of intersected isolines (C_i^{VH}, C_j^{HV}) ;
- $e_{max,VV}^{VH}$, which estimates the highest echo level inside the isoline C_i^{VH} from the selected couple of intersected isolines (C_i^{VH}, C_j^{HV}) transposed in the same elevation/azimuth plane for $p=VV$;
- $e_{max,HH}^{HV}$, which estimates the highest echo level inside the isoline C_j^{HV} from the selected couple of intersected isolines (C_i^{VH}, C_j^{HV}) transposed in the same elevation/azimuth plane for $p=HH$;

Information about the couple of intersected isolines are referred in Section IV-D. To perform the classification, the classifier takes into consideration the 3 nearest neighbors from the couple of intersected isolines to be tested. The following classifications are here trained and tested :

- The first classification is trained with couples of isolines from *scenario 1* for various applied overpressures between 0 bar and 1 bar. Classes 0, 1 and 2 designate respectively couples of isolines of the clutter, *sensor 1* and *sensor 2*;
- The second classification is trained with couples of isolines from *scenario 2* for various applied overpressures between 0 bar and 1 bar. Classes 0, 2 and 3 designate respectively couples of isolines of the clutter, *sensor 2* and *sensor 3*;
- The third classification is trained with couples of isolines from both *scenario 1* and *scenario 2* for various applied overpressures between 0 bar and 1 bar. Classes 0, 1, 2 and 3 designate respectively couples of isolines of the

clutter, *sensor 1*, *sensor 2* and *sensor 3*.

For each case, 50% of the beamscanning data are used to build the classes, the remaining 50% are used to test the classes. Since the four features (e_{max}^{VH} , e_{max}^{HV} , $e_{max,VV}^{VH}$ and $e_{max,HH}^{HV}$) are expected to depend on the applied overpressure, the training data includes low (0 bar) and high (1 bar) applied overpressures. Consequently, extreme values of the estimators are included in the training. In the left side of Fig. 9 is plotted $e_{max,VV}^{VH}$ as a function of $e_{max,HH}^{HV}$ and in the right of the figure is plotted e_{max}^{VH} as a function of e_{max}^{HV} . Data of *scenario 1* are indicated by circles and data of *scenario 2* are plotted using crosses. Features of the clutter, *sensor 1*, *sensor 2* and *sensor 3* are displayed respectively in black, green, blue and red colors. The features of the three sensors are distinguishable. The observed variations of the feature values for a given sensor is due to the variation of the overpressure. The difference of the feature values between each sensor originates from the different scattering parameters reported in Fig. 3. We note that $e_{max,VV}^{VH}$ of *sensor 2* is shifted between *scenario 1* (blue circles) and *scenario 2* (blue crosses). This shift is due to lower SNR provided by *scenario 2*. The most challenging issue is to distinguish between radar echoes of the sensors and the clutter. As observed, the number of data from the clutter is large and the features exhibit a very large variation ranging from -80dB to -40dB.

Confusion matrices of the classifications are reported in Table V. For the first classification (*scenario 1*), 5 beamscannings are used for the training, and 5 other for the test. Despite the large number of clutter data, the classification is easily performed. For the second classification (*scenario 2*), 8 beamscannings are used for the training, and 8 other for the test. All radar echoes of *sensor 2* are identified. For *sensor 3*, the rate of identification success reaches 75%, with 6 successes, 1 failure (the radar echo is viewed as a clutter), and 1 non-detection during the *step (iv)* of the algorithm (this non-detection does not appear in the confusion matrix). The identification failures originate in the low SNR at the position of *sensor 3* located at the distance of 17.7m. During the test of the second classification, *sensor 3* is successfully identified. We observe that few spurious radar echoes due to the clutter is viewed as radar echoes of the sensors (0.04% for the training, 0.23% for the test). For the third classification using data for both *scenario 1* and *scenario 2*, conclusions are similar to ones obtained from the previous classifications. One radar echo of *sensor 2* is not identified because there is a shift of $e_{max,VV}^{VH}$ between *scenario 1* and *scenario 2*. Moreover, the number of radar echoes from the clutter which are wrongly detected as sensor radar echoes is very small (0.09% for the training and 0.17% for the test) and as expected, there is no false identification between the three sensors because their features can be distinguishable.

F. Overpressure Estimation for Various Polarization Configurations

The overpressure applied to the sensors can be derived from the isolines which have been identified as sensor radar echoes. In Fig. 10, the statistical estimator e_{max}^p is plotted as a function of the applied overpressure for all polarization configurations

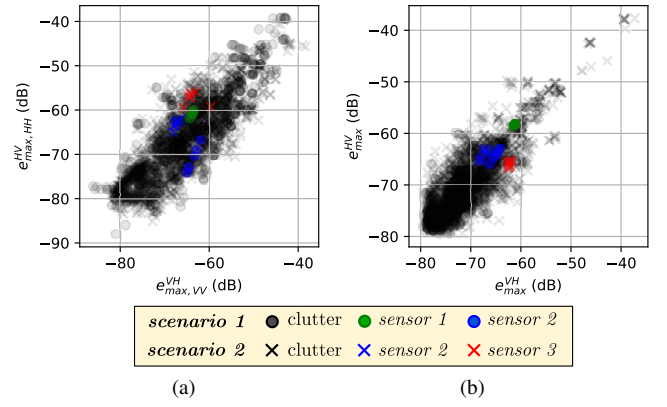


Fig. 9. (a) $e_{max,HH}^{HV}$ as a function of $e_{max,VV}^{VH}$ and (b) e_{max}^{HV} as a function of e_{max}^{VH} of the data used to train the classification. Data of *scenario 1* are represented with circles. Data of *scenario 2* are represented with crosses. Features of the clutter, *sensor 1*, *sensor 2* and *sensor 3* are represented respectively in black, green, blue and red colors.

TABLE V
CONFUSION MATRICES OF TESTED AND TRAINED DATA FOR THE DIFFERENT SCENARII

		trained predicted			tested predicted		
		0	1	2	0	1	2
actual	0	873	0	0	887	0	0
	1	0	5	0	0	5	0
	2	0	0	5	0	0	5

total: 10 beamscannings

scenario 1

		trained predicted			tested predicted		
		0	2	3	0	2	3
actual	0	2328	1	0	2565	4	2
	2	0	8	0	0	8	0
	3	1	0	6	0	0	8

total: 16 beamscannings

scenario 2

		trained predicted				tested predicted			
		0	1	2	3	0	1	2	3
actual	0	3190	1	2	0	3507	0	4	2
	1	0	5	0	0	0	5	0	0
	2	1	0	12	0	1	0	12	0
	3	1	0	0	6	0	0	0	8

total: 26 beamscannings

scenarii 1&2

and for *scenario 1* and *scenario 2*. Horizontal lines with the letter \mathcal{N} indicate the expected lowest echo level for each sensor and scenario calculated in Section IV-B (see Table III). When a radar echo is not detected for a given applied overpressure, the default value of e_{max}^p is set to the expected lowest echo level \mathcal{N} .

To evaluate the performance of the overpressure estimation for a specified polarization configuration p , we define the full-scale range Δ_p of the echo level for each sensor and the

sensitivity $\alpha_p = \frac{\Delta_p}{dP}$ within the overpressure interval dP . The highest α_p , the more sensitive the chipless pressure sensor. To compare the efficiency of each polarization configuration, we define also the ratio $\frac{\Delta_p}{\Delta_{S_q}}$, where Δ_{S_q} is the full-scale range of the scattering parameters within the overpressure interval dP . These parameters are reported in Table VI and the full-scale range Δ_p is indicated in Fig. 10 when it is relevant.

Sensor 1 in *scenario 1* offers a high sensitivity $\alpha_{VV}=0.75$ within the overpressure range $dP=0.9$ bar. We note variations of e_{max}^p for *sensor 1* (*scenario 1*) below the expected lowest echo level \mathcal{N} for $p=VV$ and HH . Two reasons may explain this observation: (1) the two open-circuited sensor antennas used in Section IV-B do not provide here the smallest achievable echo level, and/or (2) the scene has changed between the calibration and the overpressure measurement. We observe also that the ratio $\frac{\Delta_{HH}}{\Delta_{S_{22}}}=0.30$ is lower than one obtained from other polarization configurations. Despite the large full-scale range $\Delta_{S_{22}}$ of 7.5 dB, the lowest magnitude of scattering parameter $S_{22,min}=-18.7$ dB is too low to ensure a high full-scale measurement range of echo level (see Table II). Moreover, *sensor 2* in *scenario 1* offers equivalent or higher ratio $\frac{\Delta_p}{\Delta_{S_q}}$ in cross-polarization configuration than in co-polarization configuration. This result is explained by higher SNR and larger $\Delta_{S_q,min}$. However, the pressure estimation is not possible for $p=HH$ because most of the radar echoes are not detected.

When the reading range increases (*sensor 2* in *scenario 2*), the ratio $\frac{\Delta_p}{\Delta_{S_q}}$ decreases in all polarization configurations. The ratio is still higher for $p=VH$ and $p=HV$ compared with $p=VV$ and $p=HH$ despite lower value of Λ_p (see Table IV). The full-scale measurement range of radar echo level is less impacted in cross-polarization configuration than in co-polarization configuration when the range of interrogation increases. Inversely to *scenario 1*, the pressure estimation for $p=HH$ is possible. It means that the undetected radar echoes in *scenario 1* are due to the clutter.

Moreover, no pressure estimation is possible when using *sensor 3* in *scenario 2* because parameters $\Delta_{S_q,min}$ are too low for the reading range of 17.7m (see Table II). Nevertheless, highest full-scale ranges Δ_{max}^{VH} of 7.2dB and Δ_{max}^{HH} of 8.8dB are achievable (see Table III).

G. Measurement Uncertainty of the Radar Echo Level for all Polarization Configurations

To evaluate the measurement uncertainty on the radar echo level, the beamscanning of *scenario 1* is successively performed 50 times. The mean value and standard deviation of the echo level, as well as isolines, are derived in linear scale for all (sensors and clutter) isolines. The mean value and standard deviation of $e_{max,p}$ are computed for each isoline and displayed in Fig. 11a in dB scale. Each circle represents a radar echo measured 50 times. Red and blue colors designate respectively the cross and co-polarization configurations. It can be observed that $mean(e_{max,p})$ and $std(e_{max,p})$ are strongly correlated, and $mean(e_{max,p})$ increases with $std(e_{max,p})$.

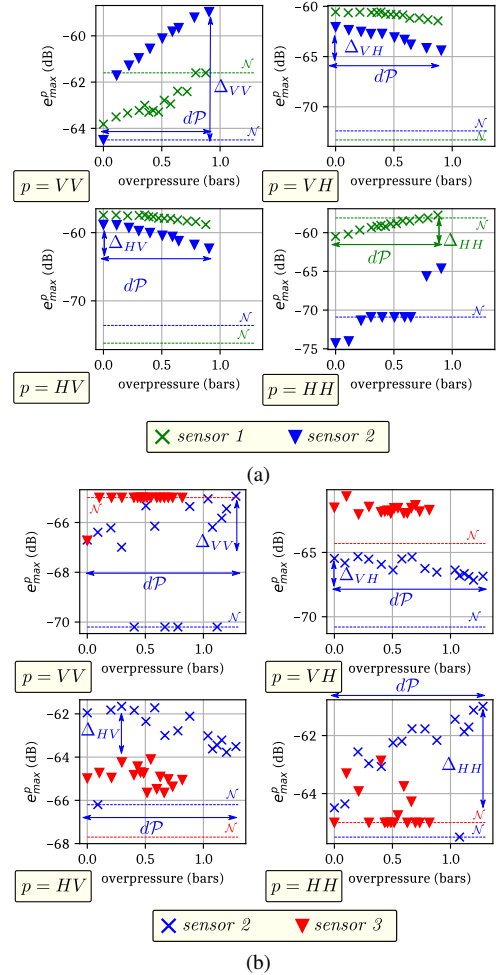


Fig. 10. Statistical estimator e_{max}^p as a function of the applied overpressure for $p=VV, VH, HV$ and HH : (a) *scenario 1* with *sensor 1* (green crosses) and *sensor 2* (blue triangles) and (b) *scenario 2* with *sensor 2* (blue crosses) and *sensor 3* (red triangles). Horizontal lines labeled with the letter \mathcal{N} represent the expected lowest echo level for each sensor and scenario.

Let define the measurement uncertainty ϵ_p on the measured echo level as follows:

$$\epsilon_p = \frac{std(e_{max,p})}{mean(e_{max,p})} \quad (5)$$

The measurement uncertainty ϵ_p (in %) is displayed on Fig 11b as a function of $mean(e_{max,p})$. ϵ_p decreases with $mean(e_{max,p})$ and as expected, the overpressure estimation derived from isolines of low radar echo level is less accurate than estimations obtained from isolines of higher echo level. However, compared with co-polarization configurations, the impact on measurement uncertainty is strongly reduced for cross-polarization configurations. The mean value of ϵ_p is plotted with black dashed lines: $\epsilon_p=13.7\%$ for $p=VV$ and $p=HH$ and $\epsilon_p=7.3\%$ for $p=VH$ and $p=HV$. As an example for *sensor 2*, it can be observed from Fig 10a that $e_{max,p}$ varies between -60dB and -70dB. From Fig. 11b, we derived that the mean value of ϵ_p is 7.7% for $p=VV$ and HH in this range of echo level. The measurement uncertainty on the radar echo level decreases in cross-polarization configurations:

TABLE VI
PERFORMANCE OF THE CHIPLESS PRESSURE SENSORS FOR ALL
POLARIZATION CONFIGURATIONS AND SCENARI

p / S_q	sensor 1				sensor 2			
	Δ_p	Δ_{S_q}	α_p	$\frac{\Delta_p}{\Delta_{S_q}}$	Δ_p	Δ_{S_q}	α_p	$\frac{\Delta_p}{\Delta_{S_q}}$
VV / S_{11}	2.2	2.9	2.5	0.75	2.8	4.2	3.1	0.66
VH / S_{12}	0.9	2.5	1.0	0.36	2.3	2.7	2.5	0.85
HV / S_{21}	1.3	2.5	1.5	0.52	3.4	2.8	3.8	1.21
HH / S_{22}	2.7	9.0	3.1	0.30	NR ^a	6.9	NR ^a	NR ^a

$dP=0.9\text{bar}$

$dP=0.9\text{bar}$

scenario 1

p / S_q	sensor 2				sensor 3			
	Δ_p	Δ_{S_q}	α_p	$\frac{\Delta_p}{\Delta_{S_q}}$	Δ_p	Δ_{S_q}	α_p	$\frac{\Delta_p}{\Delta_{S_q}}$
VV / S_{11}	2.0	4.6	1.6	0.43	NR ^a	8.0	NR ^a	NR ^a
VH / S_{12}	1.8	3.1	1.4	0.58	NR ^a	1.8	NR ^a	NR ^a
HV / S_{21}	2.1	3.2	2.1	0.65	NR ^a	1.9	NR ^a	NR ^a
HH / S_{22}	3.5	7.5	2.7	0.46	NR ^a	1.6	NR ^a	NR ^a

$dP=1.3\text{bars}$

$dP=0.8\text{bar}$

scenario 2

Δ_p in dB; Δ_{S_q} in dB; α_p in dB per bar; $\frac{\Delta_p}{\Delta_{S_q}}$ in dB per dB

^aNR: not relevant

the mean value of ϵ_p is 5.8% for $p=VH$ and HV for $-70\text{dB} \leq e_{max,p} < -60\text{dB}$.

In Fig. 11c is displayed the sensor-to-reader distance R as a function of ϵ_p . In co-polarization configuration, we observe that the measurement uncertainty increases with R . This effect is not apparent in cross-polarization configuration. As an illustrative example, for the sensor-to-reader distances of 15m and 20m, the mean value of ϵ_p is of 12.45% for $p=VV$ and HH while ϵ_p is lower (7.2%) for $p=VH$ and HV .

V. CONCLUSION

We have demonstrated in this paper that cross-polarized electric fields combined with a 3D radar imagery technique can be advantageously used to perform both the identification and the long-range (> 3 meters) reading of passive and wireless sensors in cluttered environments. Using a classification algorithm, we have also demonstrated the feasibility to efficiently distinguish between sensor radar echoes and the clutter. Different chipless and passive pressure sensors have been successfully identified in a cluttered environment and remotely read by using polarimetric features. For long reading ranges of interrogation (> 8 meters), it is shown that cross-polarization configuration should definitively be preferred to the copolarization configuration.

The proposed 3D analysis of the electromagnetic clutter from isolines can be advantageously applied to avoid shadow regions in the scene when distributing the chipless millimeter-wave sensors. In future work, sensors allowing the simultaneous measurement of various physical quantities, such as pressure, temperature and humidity, will be investigated from the proposed remote sensing technique. Moreover, limits of the classification method will be studied to determine the maximal number of identifiable chipless sensors.

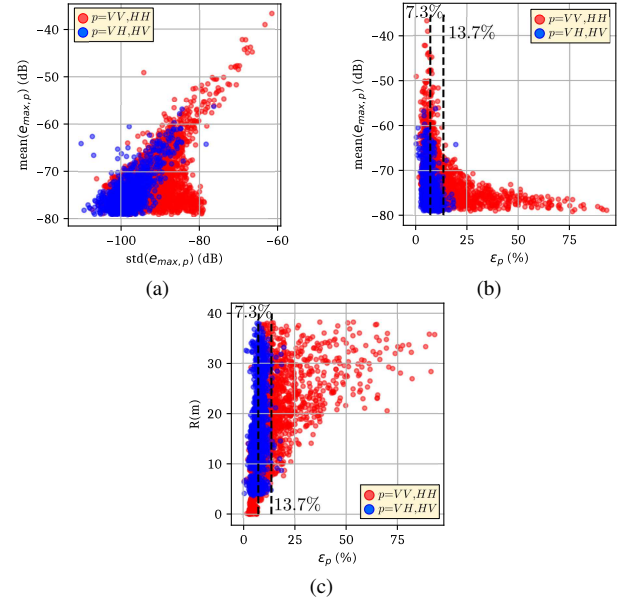


Fig. 11. Mean value $mean(e_{max,p})$ of the statistical estimator $e_{max,p}$ as a function of (a) standard deviation $std(e_{max,p})$ and (b) the measurement uncertainty ϵ_p defined in Eq. (5). (c) Sensor-to-reader distance in function of ϵ_p . Isolines are computed from 50 3D radar beamscannings of scenario 1. Red and blue circles indicate isolines obtained from respectively co-polarization and cross-polarization configurations.

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Patrick Pons Dr Patrick Pons was born in Toulouse (France) in May 1963. He received, from the Toulouse (France) University, a master in Physics in 1985 with a specialization in micro-electronics in 1986 and the PhD degree in electronics in 1990. Since 1991 he is a researcher in the CNRS-LAAS (National Scientific Research Center – Laboratory of Analysis and Analysis of Systems) in Toulouse. His research interests are focused in micro-technologies and micro-sensors. Dr. Patrick PONS is author or co-author of more than 95 papers in referred Inter-

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Hervé Aubert Hervé Aubert was born in Toulouse, France, in July 1966. He received the Eng. Dipl. in July 1989 and the Ph.D. degree (with high-honors) in January 1993, both in Electrical Engineering and both from the Institut National Polytechnique (INPT), Toulouse, France. From April 1997 to March 1998 he was a Visiting Associate Professor at the School of Engineering and Applied Science, University of Pennsylvania, Philadelphia, USA. Since February 2001 Hervé Aubert is Professor at INPT. He has joined the Laboratory for the

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