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Structural and physicochemical characteristics of One Step PAMAM dendrimeric nanoparticles

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Abstract

The recently developed One-Step Poly(amidoamine) (OS-PAMAM) dendrimers stand out for their characteristics as the high drug-load capacity and cell-delivery improvement of therapeutic agents. The OS-PAMAM dendrimers have proven to be useful in the biomedical field as nanocarrier or nanosystems for therapy. In the present research it was encouraging to determine their physicochemical characteristics which were compared with commercial PAMAM generation-6 (G6). The spectroscopic measurement of amides, nanoparticle size (10-30 nm), polydispersity index and zeta potential measurements correlates with the commercial product. The OS-PAMAM has cavities detected by AFM, and the force analysis showed the same adhesion force and different elasticity than PAMAM-G6. The molecular weight (MW) was 10 times lower than the commercial one for both techniques employed, resembling the MW of a PAMAM generation-3 (G3). OS-PAMAM/PAMAM-G6 MS-MS mirror plots demonstrate chemical equivalency amongst herein analyzed dendrimers, with the advantage that OS-PAMAM is produced with a faster and low-cost synthetic protocol, which allows them to be applied for both research and industry in the biomedical field.

Keywords: Poly(amidoamine), Nanotechnology, Molecular Weight, PFG-DOSY
 NMR, orthogonal polydispersity index.

72 **1. Introduction**

Polyamidoamine (PAMAM) dendrimers are organic nanoparticles (NPs) that have occupied a prominent position in research and industry because of their welldescribed characteristics, high molecular loading ability, and their wide range of applicability in fields like biomedicine for controlled drug delivery, gene therapy, theragnostic and vaccines [1,2].

PAMAM dendrimers have an arborescent construction and present a generational
growth form, which is based on a nucleus initiator called zero generation (G0), from
which are added functional groups in the periphery resulting in homo-structural
plates between focal points (branching points). Thus, a dendrimer with six branches
from the centre to the periphery is called a dendrimer of generation 6 (G6) [3,4].

83 Since the pioneer method of synthesis reported by Tomalia et al. 1986 [3], various strategies have been proposed for improving the PAMAM dendrimers synthesis, 84 including divergent and/or convergent synthesis alongside click chemistry and 85 Microwave-Assisted Reactions [5-7]. The divergent synthesis consists of a 86 87 multifunctional initiator core that grows to the periphery through two reactions where dendrimers can reach generation 10. However, structural defects are a critical 88 concern for high generation dendrimers. They inevitably arise from incomplete 89 reactions or side reactions that occur because of the increasing number of reactions 90 and steric hindrance as the dendrimer grows. Additionally, it is challenging to remove 91 defective structural molecules because of their similar chemical composition [8]. 92

93 On the other hand, in the convergent synthesis, dendrimers are built from small molecules starting from the surface of the dendrimer to end up attached to a central 94 core through several inward-directed reactions. Otherwise, it can be so much 95 controlled, and the impurities can be separated more easily, but the reactivity to 96 97 reach the interior core is often considerably reduced because of the increasing steric congestion as the dendrimer generation increases. Therefore, only low-generation 98 dendrimers can be successfully prepared using the convergent method [4,9]. A 99 combined divergent/convergent method has been further developed and applied for 100 dendrimer synthesis. This approach includes the synthesis of building blocks using 101

a divergent approach followed by the convergent dendrimer assembly [8], also optimized by click chemistry and Microwave-Assisted to deliver simplified and accelerated dendrimer synthesis [6,10]. Nevertheless, the obtention of high generation PAMAM dendrimers in the desired quantity, with consistent quality and low cost and energy expenditure, remains challenging [5].

The recently developed OS-PAMAM dendrimers obtained by a novel synthesis 107 method remain the beneficial characteristics such as high load capacity and the 108 109 increased efficiency in drug delivery —like the commercial one— previously reported [11]. Moreover, the time, cost, and energy of manufacturing are reduced, enabling 110 their massive production and application as platforms for several molecules in the 111 biomedical field and others [12]. In this work, the novel synthesis method was 112 employed, and the physicochemical characteristics of OS-PAMAM were compared 113 with a commercial PAMAM G6. Hence, the characteristic functional groups expected 114 for PAMAM dendrimers were assigned from ultraviolet-visible spectrophotometry 115 (UV-Vis) and Fourier-Transform Infrared spectroscopy (FT-IR). Particle size 116 distribution as a function of polydispersity indexes (PDI) as well as zeta potential 117 (ZP) were measured by dynamic light scattering (DLS). Polydispersity in solution of 118 both OS-PAMAM and PAMAM G6 was monitored with pulsed-field gradient 119 saturation transfer echo water-presaturation diffusion ordered spectroscopy {PFG-120 121 STE-H₂O_(presat)-DOSY [13] to obtain diffusion coefficients related to weighted 122 average molecular weights (Mw) and PDIs of both PAMAM moleties in the solution state. Furthermore, molecular weights (MW) and PDIs obtained with Size-exclusion 123 chromatography (SEC) were orthogonally compared with data obtained with PFG-124 STE-H₂O_(presat)-DOSY as well as with PDIs from DLS. Also, morphology, distribution 125 pattern, and mechanical characteristics in flat surfaces by atomic force microscopy 126 (AFM) were analyzed. Finally, an analysis of MS-ESI-QToF was employed to obtain 127 the experimental high-energy (10-45 eV) m/z fragmentation profiles and compare in 128 a mirror plot the m/z shared between OS-PAMAM and PAMAM-G6. 129

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2. Materials and methods

134 2.1 Materials

The main reactants, methyl acrylate and ethylenediamine (Sigma-Aldrich) were used 135 136 for the optimized method. The commercial PAMAM dendrimer G6 (PAMAM G6), 5 wt. % in methanol from Merck, USA was used as control. The methanol used for all 137 the synthesis was purchased in J.T. Baker. For PFG-STE-H₂O_(presat)-DOSY NMR 138 analysis, deuterium oxide (99.9% deuteration, CAS No. 7789-20-0) from Merck 139 Millipore was employed. The water used in all the experiments was purified using a 140 Simplicity[®] UV Water Purification System (Merck Millipore). All chemicals used in 141 this study were analytically pure. 142

143 2.2 Synthesis method

144 The synthesis of OS-PAMAM dendrimers is explained in patent application No. MXA2018008247 and was carried by modifying different variables such as the 145 proportion of ethylenediamine and methyl acrylate reactants, stirring speed, and 146 vacuum pressure, which allows the generation of temperature gradients throughout 147 the synthesis. In this way, a polymerization is achieved over time and the Michael 148 addition reactions and amidations are modulated in the same reaction. The reaction 149 was carried out in methanol, under vacuum pressure which generated a decreasing 150 temperature gradient throughout the synthesis from 15 °C to 5 °C. The vacuum also 151 promotes solvent elimination as well as low molecular weight molecules, that are 152 byproducts of parallel reactions. Another set of impurities was removed by a dialysis 153 membrane of 2000 kDa. As a result, a yellow viscous solution was obtained, the 154 reaction yield was 45%, and after the purification step by dialysis, it was 23% [14]. 155

156 2.3 UV-Vis and FT-IR spectroscopy

The UV-Vis was performed in a spectrophotometer (NanoDrop 2000, Thermo Scientific), 20 mg/mL of the OS-PAMAM dialyzed and commercial standard PAMAM-G6 were measured in a range from 200 to 800 nm in type 1 water and

132

160 compared through the two main absorption bands at 210-216 nm and 280-285 nm, 161 corresponding to the backbone structure of secondary and tertiary amides, 162 characteristics of PAMAM structures. Further, both synthesized OS-PAMAM and 163 commercial PAMAM-G6 were dried at 65°C, and 5 mg of the samples were 164 characterized by Fourier-transform infrared spectra (FT-IR) to obtain the primary and 165 secondary amides, also characteristic functional groups through ATR (Frontier 166 Optica, PerkinElmer, USA).

167 2.4 Dynamic Light Scattering

The particle size distribution, PDI, and ZP were measured by dynamic light scattering 168 (DLS). The three batches of OS-PAMAM dendrimers were filtered by an Acrodisc® 169 Syringe Filter of 0.2 µm, suspended in methanol (99.9%) at 20 mg/mL and stored at 170 2-8°C. All suspensions (2 mL) were measured at 25°C, and the average value was 171 obtained after two repetitions with 25 accumulations, taking into count the PDI. Also, 172 the average ZP was obtained after one repetition and five accumulates. These 173 characteristics were monitored through the time (0-4 months) and compared with 174 175 PAMAM G6 stored at the same temperature, concentration, and analytic method in a Particulate Systems (NanoPlus, GA, USA) equipment. 176

177 **2.5 Size Exclusion Chromatography**

The polydispersity of the molecular weight from both OS-PAMAM and PAMAM-G6 178 dendrimers was determined by size-exclusion chromatography (SEC). A 5 µL-179 volume of both dendrimer samples (30 mg/mL) was injected into an Acquity UPLC 180 system (Waters[®]; M.A., USA) equipped with an Acquity UPLC BEH SEC 200 Å 181 column (4.6 mm x 300 mm, 1.7 µm) (Waters[®]), which was maintained at 30°C during 182 the analysis. The separation was achieved using a 50 mM phosphate/150 mM NaCl 183 buffer solution (pH 6.0) as a mobile phase at 0.4 mL/min (JT. Baker; N.J., USA). 184 Data were acquired at 215 nm and processed using the software Empower. The 185 186 molecular weight was determined by linear regression of the maximum value in absorbance units (AU) based on the MW standard from 1.5 to 670 kDa, Cat 151-187 188 1901 (Biorad, CA, USA).

189 Three batches of OS-PAMAM and G6 were compared using their PDI values. For

190 this purpose, the SEC profile of each sample was exported from Empower® to Excel

191 (Microsoft, WA, USA) and the PDI values were determined using the method

192 described using formulas 1 to 3 [15]:

193 (1)
$$\overline{M}_n = \frac{\sum_{i}^{n} (W_i) P W_i}{\sum_{i}^{n} (W_i/M_i) P W_i}$$
 (2) $\overline{M}_w = \frac{\sum_{i}^{n} (W_i M_i) P W_i}{\sum_{i}^{n} (W_i) P W_i}$ (3) $PDI = \frac{\overline{M}_w}{\overline{M}_N}$

Where W_i is the intensity (AU) of the observed size (kDa), PW_i is the percentual intensity (weighted intensity) and M_i is the observed size in the SEC analysis, which was determined using a logarithmic equation determined by the retention time of the components of the MW standard. Structural heterogeneity of dendrimer samples was qualitatively computed in terms of a second derivative analysis of the SEC profile (Figure 4B), whereas homogeneous samples will produce a single antiphase chromatogram pattern, whilst a set of several antiphase patterns are expected within second derivative analysis of heterogeneous

201 samples.

202 2.6 Atomic Force Microscopy

The dendrimers OS-PAMAM and PAMAM-G6 were analyzed in a Bioscope Catalyst 203 AFM (Bruker, Germany) to know the shape, size, and mechanical properties 204 205 (adhesion force and elasticity). In the experimental tests, 30 µL of suspension with dendrimers were deposited and dried on a piece of glass slice of dimension 5 mm x 206 5 mm. The glass slide was first cleaned by immersion in ethanol absolute for 20 min, 207 followed by rinsing with distilled water. It was employed a pyramidal tip SNL Bruker 208 209 of 2 nm in a 300 nm ramp size with 0.4 of a trig threshold. Before using the Thermal Tune Method, the deflection sensitivity of the cantilever was determined in a liquid 210 211 fluid by using the value of the inverse of the slope of the force curve while the cantilever was in contact with a hard glass surface to obtain the force measurements. 212 213 The averages of the deflection sensitivity (s) and elasticity constant (k) determined at five different points were 16.57 nm/V and 0.57 N/m, respectively, and the peak 214 215 force setpoint was performed at 7Nn. The images were analyzed by using the NanoScope Analysis 1.5 Software. 216

219 2.7 NMR – Pulsed Field Gradient Diffusion Ordered Spectroscopy

For each batch, 540 µL of liquid buffered PAMAM-G6 and OS-PAMAM solution were 220 dissolved in 60 uL of D₂O (99.9% deuteration, CAS No. 7789-20-0), for having a 9:1 221 ratio of H₂O:D₂O solvent system. All NMR spectra were recorded on a Bruker 600 222 AVANCE III HD equipped with a 5mm 1H / D TXI probe head with a z-gradient. The 223 following set of NMR experiments were conducted at a controlled temperature of 224 225 303 K: a) Standard ¹H-one dimensional direct-polarization NMR experiments (Figure 8 and F2-projections in Figure 9A) were carried out with 16 transients of 32768 226 complex points, having recycling delays of 8 s and with acquisition times of 1700 ms, 227 produced experimental times of 2 minutes 35 seconds per PAMAM dendrimer batch. 228 No apodization function was applied during Fourier Transform for proton spectra 229 depicted in Figures 8A and 8C. In contrast a Resolution Enhancement post-230 processing was applied for spectra depicted in Figures 8B and 8B with a Lorentz-to-231 Gauss line-sharpening apodization; b) acquisition and processing details of PFG-232 STE-H₂O(presat)-DOSY have been recently published [13,16], whereas, for the 233 present study, the following key NMR parameters were used: 64 gradient levels with 234 235 a linear increase from 2 to 98%, a gradient strength up to 54G/cm and 8 transients per gradient increase comprising 65536 complex points were used. The diffusion 236 delay (Δ) was 100 ms, and the length of the square diffusion encoding gradient pulse 237 (δ) was 4.2 ms to assure accurate gradient encoding-decoding signal attenuation. 238 No apodization function within the direct F2 dimension was needed for semi-Fourier 239 240 transformation prior to Inverse-Laplace indirect F1 transformation.

In this present work the comparative analysis between OS-PAMAM and PAMAM G6 was performed by DOSY-NMR to obtain the relative MW. Hence, it was measured the diffusion coefficient (D) of each chemical-shift assigned resonance and related with the hydrodynamic radius by the Stokes–Einstein equation (with stick boundary conditions):

$$R_H = \frac{\kappa_B I}{6\pi n D}$$

247 where kB is the Boltzmann constant, T is the temperature (in K), and η is the viscosity 248 of the solution (~9.7 cP for D₂O at 30 °C) [17].

The obtained diffusion coefficients were internally referenced with respect to the water signal at a value of $-7.629 \times 10^{-10} m^2 s^{-1}$ (see figure 8A, diffusion signal correlating with the water ¹H chemical shift at 4.7 ppm). Experimental polydispersity indexes in the solution state (**Đ** in figure 8A) in turn related to weight average molecular weights ($\dot{\mathbf{M}}_{w}$ in figure 8A) correlated with the experimental diffusion coefficient distributions at the low concentration regime [13] of both PAMAM-G6 and OS-PAMAM dendrimers are reported as figure legends.

256 **2.8 Mass Spectrometry coupled to Liquid Chromatography**

The PAMAM-G6 and OS-PAMAM samples were prepared at a concentration of 100 257 258 uM with a 50:50 methanol: acetonitrile mixture. Subsequently, 10 ul of each sample was injected into an Acquity® UPLC Class I system (Waters; MA, USA) equipped 259 with a 1.7 µm (2.1 mm x 100 mm) BEH300 C4 column 45°C (Waters®; MA, USA). 260 The samples were eluted using water+formic acid (0.1%) (phase A) and 261 262 acetonitrile+formic acid (0.1%) (phase B) as follows: 100% of phase A from 0 to 1 min followed by a linear gradient of 100 - 0% from 1 to 11 min of phase A; all reagents 263 used in this analysis were MS grade (Sigma-Aldrich, MO, USA). Then, the mass 264 analysis was performed on a Vion® hybrid Quadrupole Time of Flight Spectrometer 265 equipped with an Electro Spray Ionization (ESI) source. The mass spectrometer was 266 operated in positive polarity and MSE sensitivity mode from 50 to 4000 m/z; the 267 collision energies were 5.00 eV (low) and 10.00 eV to 45.00 eV (high collision energy 268 ramp) with 2 seconds of scanning time. ESI parameters were set at 140°C (source 269 temperature), 600°C (desolvation temperature), 30 eV (cone voltage), 100 L/h (cone 270 gas), 1,200 L/h (desolvation gas), and 2.75 kV (capillary voltage). A 10-pg/µL [Glu1]-271 Fibrinopeptide B solution (Waters®) was infused during the MS analysis at 20 µL/min 272 for mass correction. Data were acquired and processed using UNIFI® software 273 (version 1.9.4.053) (Waters®), on which the chemical formula the PAMAM and their 274 reported fragments [16] were loaded. The m/z signals of PAMAM and their fragments 275

were searched in the experimental data acquired from the high energy channel
considering a 25 ppm error.

278 2.9 Statistical analysis

All the obtained results were determined at least in triplicate and analyzed using the SigmaPlot[®] software (Systat Software Inc., USA). The averages and Pearson linear regressions were determined using Microsoft Excel[®], (Microsoft, USA) and differences between groups were analyzed using one-way analysis of variance (ANOVA) and the Student-Newman-Keuls as a post-hoc test. A value of $p \le 0.05$ was considered statistically significant.

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3. Results and discussion

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288 **3.1 UV-Vis and FT-IR spectroscopy of OS-PAMAM and PAMAM-G6**

The OS-PAMAM dendrimers have presented promising applications within the 289 biomedicine field [9]. Therefore, the characteristics and reference values of OS-290 291 PAMAM dendrimers were determined in comparison to the commercial PAMAM-G6. For that, three OS-PAMAM batches were randomly selected and measured by UV-292 293 Vis. The maximum absorbance at 210-216 nm and 280-285 nm, corresponding to secondary and tertiary amides, match the wavelengths found in PAMAM-G6 and 294 previous reports, were the height of the peak centered at ~280 nm changes upon 295 protonation of the intradendrimer tertiary amines [18,19] (Figure 1A). Further, this 296 signal of tertiary amines was consistent through the time up to 16 weeks of 297 observation without significant changes, indicating a complete reaction and stability 298 at 5 \pm 3 °C (Figure 1B) of a full generation PAMAM dendrimer (Figure 1C). 299

The functional groups of OS-PAMAM were also compared with PAMAM-G6 by FT-IR. The stretching frequencies of OS-PAMAM at 3270 cm⁻¹ of N-H, 1637 cm⁻¹ of C=O, 3089 cm⁻¹ of C-H, and the N-H bend at 1546 cm⁻¹, match with peaks at 3275 cm⁻¹, 1637 cm⁻¹, 3087 cm⁻¹, and 1547 cm⁻¹ corresponding to secondary amides, respectively, of PAMAM-G6 dendrimers (Figure 2). Those peaks have been widely
 reported for PAMAM dendrimers across different generations [20,21]

306 **3.2 Size, Polydispersity Index and Zeta potential**

To know the OS-PAMAM properties in colloidal solutions and determine its stability at 5 \pm 3 °C, a DLS analysis was performed using the PAMAM-G6 as a reference. The average size was obtained through the hydrodynamic size of three batches of OS-PAMAM and the commercial one; all measurements were performed after the same storage conditions at 0, 2, and 4 months (Table 1).

The size was closely related to the PDI, which indicates the heterogeneity of a 312 313 sample based on size. OS-PAMAM and PAMAM-G6 sized ~ 10 nm with PDI of 0.2 - 0.5; approximately 20 nm when PDI ranges 0.5 - 1.0, and ~ 30 nm indicates PDI 314 values above the unity (Figure 3 A-B). Therefore, a directly proportional relationship 315 between the size and the PDI for OS-PAMAM and PAMAM-G6 with R² =0.9127 316 (Figure 3A) and $R^2 = 0.8278$ (Figure 3B), respectively, across independent batches 317 and measurements was determined. Although there was no significant increase in 318 the average size or PDI, as a function of storage time in most batches of OS-PAMAM 319 and PAMAM G6, batch 3 presented an increase in polydispersity and size. 320 Polydispersity can occur due to size distribution or applomeration of the OS-PAMAM 321 during analysis. Other studies have demonstrated that a higher PDI number 322 323 indicates that NPs are less monodisperse, with a larger size, and will be more likely to form applomerates over time [22,23]. Furthermore, the ZP of these two NPs was 324 compared, whereas values of ~ 50 mV were found for PAMAM-G6 and ~ 14 mV for 325 OS-PAMAM (Figure 3-C and D). The ZP differences between dendrimers could be 326 attributed to the generation since an increase in zeta potential has been observed 327 as a function of generation [24]. However, it should be considered that ZP values 328 are not an absolute measurement of NPs stability. For example, solid lipid 329 nanoparticles with positive ZP have a long-circulating half-life due to the absorption 330 of protein components in the blood, while NPs with negative ZP can be cleared by 331 the reticuloendothelial system. Furthermore, this parameter is very important for 332 biomedical applications because toxicity has been linearly correlated with high 333

- 334 positive values of ZP [25,26]. Indeed, controlling and validating these parameters
- from the synthesis is crucial for the useful applications of dendrimeric NPs in different
 fields [27,28].
- 337 **3.3 Molecular weight comparisons of OS-PAMAM and PAMAM-G6**

The molecular weight (MW), and reproducibility between batches were measured by 338 size exclusion chromatography (SEC). All batches of OS-PAMAM (green, blue and 339 orange chromatograms, figure 4) and PAMAM-G6 (black, Figure 4) respectively 340 present a maximum in their chromatograms at 4.55 min and 3.2 minutes. Both MW 341 were calculated by linear regression of the MW marker curve (red in figure 4A), 342 resulting in 8.5 kDa and 86 kDa for the three OS-PAMAM batches and PAMAM-G6 343 correspondingly (Figure 4A). The MW of PAMAM-G6 was higher in comparison to 344 the theoretical MW reported (58,048 kDa). Differences between theoretical and 345 experimental MW values of the dendrimers may be associated with various 346 phenomena, such as nonspecific interactions between PAMAM-G6 and SEC column 347 348 [29]. According to other reports, the intrinsic viscosity of the dendrimers decreases 349 as generation increases, which could affect the measurement of low and high generations with the same analytical method, i.e., G3 vs G6 [30,31]. 350

The average PDI obtained in the SEC analysis for OS-PAMAM batches was 1.05 ± 351 0.039, whilst a polydispersity index of 3.16 was computed for PAMAM-G6 (Table 2), in 352 clear agreement with the overestimated 86 kDa SEC molecular weight of said 353 dendrimer. Interestingly, the SEC distribution pattern is wider in OS-PAMAM, 354 suggesting a larger structural heterogeneity. In contrast, the chromatographic peak 355 of the PAMAM-G6 -with a sharper chromatogram linewidth- indicates structural 356 homogeneity of the more dispersed dendrimer. This physicochemical difference 357 358 amongst products is unambiguously revealed when their SEC profiles are subjected to a Second Derivative Analysis (ΔΔAbsorbance, Figure 4B): A single antiphase 359 signal is revealed for the structurally homogeneous PAMAM-G6 dendrimer, as a 360 result of deconvoluting the SEC chromatogram with the $\Delta\Delta$ Absorbance procedure 361 362 For the contrary, the $\Delta\Delta$ Absorbance deconvolution of broad OS-PAMAM chromatographic SEC peaks produces a more complex pattern, as the result of a 363

weighted sum of several antiphase peaks, as a consequence of the structural 364 heterogeneity that One-Step PAMAM moieties are presenting. To the best of our 365 knowledge, this post-processing SEC treatment has not been presented so far as a 366 gualitative method for revealing structural heterogeneity of polyamidoamide 367 dendrimers. In addition, when OS-PAMAM and PAMAM-G6 are analyzed by SEC at 368 pH 2.5 both products reduce their hydrodynamic ratio as both polydispersions elute 369 at shorter retention times [15] but the peak of OS-PAMAM widens whereas PAMAM-370 G6 gets sharper. This suggests that OS-PAMAM polydispersity includes several 371 structures with different pKa whereas PAMAM-G6 has a reduced number of 372 structures with a closer pKa among them. Besides, OS-PAMAM may include 373 structures different to PAMAM. A previous MW report of commercial PAMAM G5 by 374 SEC has indicated the presence of trailing generation impurities and oligomerized 375 defects, like for instance, non-ideal amidation, that can represent approximately 30% 376 of the sample by weight (Figure S1) [32]. For instance, in comparisons, the higher 377 378 peak should be taken as the full-body PAMAM structure [33,34].

Despite the structural heterogeneity of OS-PAMAM, the three tested batches 379 evinced the same behavior, which may facilitate the reproducibility of its biologic 380 have S2) [35,36]. Several studies 381 application (Figure demonstrated the encapsulation efficiency as well as biocompatibility of highly dendronized polymers, 382

indicating its potential biomedical application [37–39].

384 3.4 Comparative analysis of size, shape, and force measurements by AFM

The shape of OS-PAMAM and PAMAM-G6 was compared by AFM. The images 385 showed the distribution over the slide for both NPs. The average size was measured 386 through a maximum vertical radius (Rz) of cross-sections in particles analyzed in all 387 388 images from different fields over the slide. A similar Rz (height) of 14.21 ± 2.81 nm and 13.30 ± 2.56 nm for OS-PAMAM and PAMAM-G6, respectively, were obtained 389 (Figure 5A-B). Further, a mostly spherical shape for both NPs was observed, as 390 reported in other studies [40,41]. However, superficial irregularities in OS-PAMAM 391 dendrimers were observed, suggesting the presence of cavities formed between 392

ramifications which explain the minor MW of OS-PAMAM, and the collapsed
 structure on the slide, which can form agglomerates (Figure 4, 5-C).

395 The force measurements of OS-PAMAM and PAMAM G6 dendrimer was also obtained by AFM, comparing the differences in adhesion and elasticity (DMT 396 397 Modulus) between the surface of the glass and each nanomaterial. The analysis was performed by pushing the AFM tip onto the surface of the samples and monitoring 398 force-versus-distance curves. Automatic analysis of curves using NanoScope 399 Analysis Software generates maps of mechanical properties distribution with 400 topographical imaging [42] (Figure 6A-7A). The highest value of several cross-401 402 sections of adhesion (nN) and elasticity (MPa) from 50 NPs was average. For adhesion, the average Rz of OS-PAMAM and PAMAM-G6 were 21.878 ± 3.67 nN 403 and 18.18 ± 3.55 nN, respectively, and they did not present significant differences 404 and the interaction between the dendrimers and the AFM tip employed were similar 405 (Figure 6B). The OS-PAMAM presented a larger adhesion force than reported for 406 PAMAM-G4-NH₂ with different tip substrate [43]. Adhesion between the polymer 407 surface and AFM-tip is controlled by the chemical groups at or near the surface. 408 Despite the low MW of OS-PAMAM on comparison to PAMAM G6, the similar 409 adhesion force could be due to related surface functional groups between both 410 dendrimers [44,45]. Dendrimers in biomedical applications interact with cargo 411 412 molecules and cells, and the force of adhesion provides the fundamental basis for 413 the hypothetical notion that high binding avidity [46].

414 Regarding the elasticity in Derjaguin-Muller-Toporov (DMT) modulus maps, the 415 samples themselves are softer and thickness than the glass. Differential Rz average values were 27.69 \pm 3.11 MPa and 37.80 \pm 4.42 MPa for OS-PAMAM and PAMAM-416 G6, respectively, with significant differences between dendrimers (Figure 7A). In 417 general, the OS-PAMAM DMT modulus values decreased significantly compared 418 with PAMAM-G6 (Figure 7B). An increase in elasticity concerning the molecular 419 weight and density of the NPs has been observed [42,47]. Besides, a much higher 420 elastic modulus has been observed for individual G4 than G3 dendrimers molecules 421 due to their more shape persistent conformation [48]. Hence some biophysical 422

characteristics of OS-PAMAM aggregates surfaces given by the adhesion were
comparable to PAMAM-G6. Otherwise, the DMT modulus differences suggest that
OS-PAMAM has a more flexible conformation than PAMAM-G6.

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3.5 Structural analysis, diffusion coefficient distributions, weighted average
 molecular weight, polydispersity indexes and structural homogeneity of OS PAMAM and PAMAM-G6 by Pulsed-Field Gradient Diffusion Ordered (PFG DOSY) NMR spectroscopy

OS-PAMAM dendrimers were synthesized with the use of a modified Michael 431 432 addition method between ethylenediamine and acrylic acid methyl ester; commonly, PAMAM has polar pockets comprised mainly of alkyl chains, tertiary amines, and 433 amido scaffolds [14]. Proton nuclear magnetic resonance (¹H NMR) has provided a 434 robust analysis for structural studies and identity evaluation of PAMAM dendrimers. 435 Also, PAMAM-drug hosts interactions were previously analyzed by ¹H NMR titrations 436 [49] through dipolar NOESY correlation-exchanges [50] and PFG NMR [51] ¹H NMR 437 Chemical shift assignment of PAMAM dendrimer has been described [52]: 2.39 ppm 438 (120H, br, -NCH₂ CH₂ CONH-, Ha); 2.60 ppm (56H, br, -CONH CH₂ CH₂ N-, Hb); 439 2.69 ppm (120H, br, -NCH₂ CH₂ CONH-, Hc); 2.79 ppm (64H, br, -CONHCH₂ CH₂ 440 NH2 , Hb'); 3.21 ppm (56H, br, -CONHCH2 CH2 N-, Hd); 3.26 ppm (64H, br, 441 $-CONHCH_2 CH_2 NH_2, Hd'$). 442

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444 First, standard ¹H-one dimensional direct-polarization NMR spectra of commercial PAMAM-G6 (Figure 8A and B) and OS-PAMAM (Figure 8C and D) with identical 445 post-processing treatment, reveal subtilities comprising structural heterogeneity and 446 polydispersity of both samples. Spectra from figures 8A and 8C were only Fourier 447 448 Transformed with no post-processing treatment, whilst Figures 8B and 8D were apodized with a Lorentz-to-Gauss line sharpening of 6 Hz and a Gaussian center 449 450 position at 20% of the Free Induction Decay (FID) for a resolution enhancement. despite a dramatic loose of sensitivity. In a first glance, ¹H-one dimensional direct-451 polarization NMR spectra of PAMAM-G6 with no application of any weighting 452

function (Figure 8A), reveal linewidths at half height (LWHH) of 15.71 Hz for -CONH 453 **CH**₂ CH₂ N- resonances at δ = 3.39 ppm and 3.025 ppm, and even broader LWHHs of 454 63.72 Hz (δ = 2.78 ppm, -CONH CH₂ CH₂ N- spin system), 56.74 Hz (δ = 2.59 ppm, -NCH₂ 455 CH₂ CONH- spin system), 20.95 Hz (δ = 2.42 ppm, -CONH CH₂ CH₂ N- spin system) and 456 21.82 Hz (δ= 2.34 ppm, -NCH₂ CH₂ CONH- spin system). Broader ¹H LWHH in OS-457 PAMAM spectrum with no post-processing (Figure 8C) does not have an origin from 458 higher polydispersity but rather due to higher structural heterogeneity [35] as 459 unambiguously revealed in Figure 8D, in comparison to Figure 8B. Resolution 460 enhancement achieved with identical Lorentz-to-Gauss weighting functions in both 461 dendrimers ¹H NMR spectra produces different results. Line sharpening apodization 462 applied to PAMAM-G6 proton spectrum produces equivalent LWWHs (Figure 8B) as 463 when no apodization function was applied (Figure 8A). In contrast, broad resonances 464 of OS-PAMAM¹H NMR spectrum (Figure 8C) are deconvoluted into narrower 465 frequencies of c.a. 1 to 1.5 Hz of LWHHs (Figure 8D) when resolution enhancement 466 467 is achieved with a Lorentz-to-Gauss apodization function identically applied as in PAMAM-G6 ¹H spectrum (Figure 8B). The set of narrow resonances revealed in 468 Figure 8D strongly suggest that OS-PAMAM presents an important structural 469 heterogeneity due to defects in the dendrimer structure during the synthesis (Section 470 2.2) and a lack of dendrimer purity such as with the commercial PAMAM-G6. 471

472

Analysis of two-dimensional PFG-STE-H2O(presat)-DOSY spectra of both 473 dendrimers demonstrate at first glance, differences in diffusion coefficient 474 distributions between both dendrimers: the OS-PAMAM showed higher diffusion 475 coefficient distributions around a lower logarithmic diffusion coefficient average of 476 Log DC_{av} = -8.8 m²s⁻¹ \pm 0.05 than the PAMAM-G6 counterpart (Log DC_{av} = -9.6 \pm 477 0.05 m²s⁻¹, Figure 9A). Furthermore, experimental solution-state DOSY-MW 478 479 distributions obtained from diffusion coefficient distributions [13] are represented as 480 histograms (Figure 9B) and expressed in Da for PAMAM dendrimers ranging from G1 to G6. PAMAM-G6 weighted average molecular weight of MW= 55711.86 ± 0.7 481 482 corresponds to the theoretical value elsewhere reported [53], whilst OS-PAMAM weighted average molecular weight of MW= 5056.47 ± 0.7 strongly suggest the 483

presence of a PAMAM-G3 dendrimer, in full agreement with SEC data, predicting an 484 average MW of 8.5kDa for OS-PAMAM (Figure 4). Finally, solution-state 485 polydispersity indexes (PDI, **Đ** in figure 9A) are experimentally obtained from the 486 molecular weight distributions at the low concentration regime from the experimental 487 average diffusion coefficient distributions (Log DCav in Figure 9A) and the width of 488 each distribution, represented by the experimental diffusion coefficient distributions 489 490 [13]. Table 2 resumes the solution-state MW and PDIs of OS-PAMAM and PAMAM-G6 obtained with non-invasive DOSY-NMR spectroscopy for DLS (Table 1) and SEC 491 492 (Figure 4), finding orthogonality amongst the three techniques. Present results are in agreement with other studies reporting that PAMAM G3 is around 7 kDa [29,54]. 493 Moreover, lower logarithmic diffusion coefficient average of OS-PAMAM (Log DCav 494 = -8.8 $m^2s^{-1} \pm 0.05$) that in turn weights higher diffusion coefficient distributions 495 responsible to produce lower PDI and average MW values, with respect PAMAM-496 G6, as revealed with DLS, SEC and PFG DOSY NMR (Table 2), in combination with 497 the observed set of narrow resonances in ¹H NMR spectrum apodized with a line 498 sharpening weighting function (Figure 8D), allows to conclude that herein produced 499 500 OS-PAMAM presents lower polydispersity but higher structural heterogeneity, with respect its more polydisperse but more homogeneous PAMAM-G6 counterpart. 501

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In complement, the molecular weight of G4 dendrimer is 14195 Da measured by MALDI-TOF mass spectrometry, whereas the associated polydispersity index (PDI) of the said dendrimer is 1.01, obtained by gel permeation chromatography [51]. All these data suggest that the molecular weight of OS-PAMAM dendrimer equates to a PAMAM-G3.

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509 3.6 Structural characterization by MS-MS

As part of the physicochemical characterization of OS-PAMAM and PAMAM-G6, and taking advantage of the sensitivity of MS-ESI-QToF, we obtained their experimental high-energy (10-45 eV) m/z fragmentation profiles and compared in a mirror plot, as shown in Figure 10. It was found that in the range of 50 - 600 m/z both samples share, among other masses, the values of m/z 571.4013, 457.3241, 367.2433,

355.2460, 343.2431, 325.2336, 241.1646, 229.1643, 199.1542, 127.0851, 98.0609 515 and 85.0761. These m/z values belong to the decomposition pathway by retro-516 Michael reactions for the species [M + 5H] 5+, [M + 7H] 7+ and [M + 9H] 9+ of the 517 PAMAMs of G1 G2 and G3 respectively. This correspondence with the values 518 calculated for the jonic precursors and the path of the fragments for G0 (Table of 519 Figure 10) demonstrate the identity of OS-PAMAM. On the other hand, in the 520 521 enlarged section of Figure 10, from 471 to 482 m/z, the species of 479.0647 m/zreported for the intramolecular cyclization of the ester group was not found, which is 522 one of the typical side-products of the Michael addition. This indicates that during 523 the synthesis of OS-PAMAM cyclic side-product are not formed [55]. 524 In addition, to determine the reproducibility between OS-PAMAM batches the high-525 energy m/z profiles of batch 1 and 2 were compared. It was found that both batches 526 are highly similar containing charge mass values of the characteristic fragments of 527 the G0, G1, G2 and G3 dendrimers at the same relative intensity (Figure S3). The 528 identification of the most abundant fragments of OS-PAMAM will be useful for 529

- 530 biomedical applications such as for determining the elimination pathways in
- 531 pharmacokinetic surveys using urine as the main elimination via ([26,56,57].
- 532

533 **4. Conclusion**

In summary, we performed a detailed physicochemical characterization of OS-534 PAMAM compared with commercial PAMAM-G6. Our finding reveals that OS-535 536 PAMAM is a dendrimer with lower polydispersity but higher structural heterogeneity, with respect its G6 commercial counterpart. Size exclusion chromatography and 537 nuclear magnetic resonance spectroscopy have found excellent orthogonality to 538 demonstrate the polydispersity and structural homogeneity of herein analyzed 539 dendrimers. For the first time, two postprocessing treatments - $\Delta\Delta$ Absorbance in 540 541 SEC and Lorentz-to-Gauss resolution enhancements in NMR spectra have revealed by their principles, the structural heterogeneity of herein produced OS-PAMAM, that 542 543 could in turn be used to reveal defects within the dendrimer structure as well as the

purity of novel or traditional PAMAM synthetic approaches. Interestingly, OS-544 PAMAM structural heterogeneity and polydispersity is conserved amongst batches. 545 That relation not only remains between repetitions but also over time up to 4 months 546 at 5 ± 3 °C. In general, the ZP of OS-PAMAM were less positive than PAMAM-G6. 547 However, ZP was consistent between batches and time for both dendrimers. The 548 AFM morphological analysis showed a circular shape in all dendrimers, and cavities 549 550 were detected in OS-PAMAM. Further, the adhesion force did not present significant differences, while the elasticity (DMT Modulus) was higher in PAMAM-G6, which 551 552 represents a similar chemical surface but a different internal structure. The MW of OS-PAMAM is around 10 times smaller than commercial G6, as orthogonally 553 detected by SEC and PFG-STE-H2O(presat)-DOSY, whereas lower OS-PAMAM 554 masses are explained due to their cavities. OS-PAMAM / PAMAM-G6 MS-MS mirror 555 plots demonstrate chemical equivalency amongst herein analyzed dendrimers, with 556 a slight advantage that OS-PAMAM is produced with a faster and low-cost synthetic 557 558 protocol. These novel NPs has been previously studied as a drug delivery system [11]; however, more biological studies will be carried out to compare the biomedical 559 applicability with commercial PAMAM, taking into account 560 the structural heterogeneity, polydispersity and synthetic reproducibility. 561

562 **5. Glossary**

563 OS-PAMAM: PAMAM dendrimers obtained by a One-Step method of synthesis

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580 **7. Disclosure**

581 The author reports no conflicts of interest in this work.

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786 **Tables**

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Table 1. Average particle size, polydispersity index, and zeta potential of OS-PAMAM and PAMAM-G6 through 4 months at 5 ± 3 °C.

		Month	Average size ± SD (nm)	PDI	Average ZP ± SD (mV)
OS-PAMAM	atch 1	0	20.4 ± 0.7 20.4 ± 0.7	0.700 0.747	14.39 ± 0.46
		2	10.2 ± 0.4 30.6 ± 1.1	0.478	11.2 ± 1.39
	ä	4	20.5 ± 0.8 10.3 ± 0.4	0.765 0.47	9.94 ± 2.15
	Batch 2	0	10.2 ± 0.3 20.4 ± 0.7	0.255 0.761	16.64 ± 1.04
		2	20.4 ± 0.7 10.2 ± 0.3	0.860 0.276	16.11 ± 1.02
		4	11.6 ± 1.4 10.2 ± 0.3	0.302 0.246	11.83 ± 1.64
	Batch 3	0	20.4 ± 0.7 10.2 ± 0.4	0.584 0.372	12.22 ± 3.17
		2	20.5 ± 0.8 30.6 ± 1.1*	0.848 1.194	9.62 ± 1.14
		4	30.6 ± 1.1* 30.6 ± 1.1*	1.060 1.172	8.88 ± 1.13
PAMAM G6		0	20.5 ± 0.8 20.6 ± 0.9 30.9 ± 1.4	0.814 0.753 1.019	49.22 ± 1.09
		2	10.3 ± 0.4 20.6 ± 0.9 24.8 ± 5	0.451 0.912 0.934	57.02 ± 2.34
		4	20.8 ± 1 10.7 ± 0.5 30.7 ± 2.8	0.567 0.487 1.231	46.99 ± 2.05

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Note. Data represent the mean \pm SD (n=25) of 2 and 3 independent measurements of OS-PAMAM and PAMAM G6 respectively. Significative differences between months * *P* < 0.01 with

793 respect to time zero.

Table 2. Orthogonality between polydispersity indexes (PDI, D in figure 8A) and average molecular weights (Da) of OS-PAMAM and PAMAM-G6 obtained in the present study with Dynamic Light Scattering (DLS), Size Exclusion Chromatography (SEC) and Diffusion Ordered (DOSY) NMR spectroscopy. Zeta Potential (ZP) is highlighted for both dendrimers. PDIs with DLS herein reported were constructed by the arithmetical average and standard deviations of the full set of both OS-PAMAM batches and PAMAM-G6 dendrimers through four months reported in Table 1. The SEC-PDI of OS-PAMAM here reported comes from the analysis of three batches.

	DI	_S	SEC		DOSY	
	OS-PAMAM	PAMAM-G6	OS-PAMAM	PAMAM-G6	OS-PAMAM	PAMAM-G6
PDI	0.671 ± 0.31 ZP~14 mV	0.796 ± 0.24 ZP~ 20 mV	<mark>1.05 ± 0.039</mark>	<mark>3.16</mark>	1.005 ± 0.05	1.02 ± 0.05
MW			8500	83000	5056.47	55711.86

814 Captions

Figure 1. UV-Vis spectra of OS-PAMAM and PAMAM-G6. (A) Spectrum with characteristic signals of three independent production batches of OS-PAMAM vs PAMAM G6. (B) The signals corresponding to tertiary amides from 280 to 285 nm were taken as a reference value to monitor batches' integrity and control PAMAM-G6 through time until four months at 5 ± 3 °C.(C) Structure of generation 3 (G3) PAMAM dendrimer with amino groups at the periphery. The ethylenediamine core is circumvented by a dashed line, reproduced from Abbasi et al., 2014 [4].

Figure 2. FT-IR spectra of compared dendrimers. The signals of OS-PAMAM (black) at 3270 cm⁻¹ / 1637 cm⁻¹ and 3089 cm⁻¹ / 1546 cm⁻¹ correspond with the signals at 3275 cm⁻¹ / 1637 cm⁻¹ of primary and 3087 cm⁻¹ / 1547 cm⁻¹ of secondary amides, respectively, from PAMAM-G6 dendrimers (blue).

Figure 3. Size and polydispersity index (PDI) relation and potential zeta profile. The average hydrodynamic sizes as a function of the polydispersity index (PDI) of (A) OS-PAMAM (n = 18) and (B) PAMAM G6 (n = 9) were determined. Representative zeta potential profile of (C) OS-PAMAM and (D) PAMAM G6. All data were obtained under the same analytic method conditions by dynamic light scattering (DLS).

Figure 4. Molecular weight (MW) between OS-PAMAM batches and PAMAM G6 by SEC. (A) Chromatogram of PAMAM-G6 dendrimer at 215 nm (black line) was compared to three independent batches of OS-PAMAM (green, blue, and orange line) at pH 6.8. MW was determined by linear regression of the maximum value in absorbance units (A.U.) based on the MW standard curve (data not showed). (B) Second derivative analysis of OS-PAMAM batches and PAMAM G6.

Figure 5. Size and morphological analysis of dendrimers by AFM. Heightmap of (A) PAMAM-G6 and (B) OS-PAMAM, both average sizes were analyzed through the maximum vertical radius (Rz) of cross-sections (n=50). (C) The dotted line represents a close-up of the 3D image of the typical shape of OS-PAMAM and PAMAM-G6 dendrimers.

Figure 6. AFM adhesion force profiles between dendrimers. (A) 2D (left) and 3D (right) topographical imaging of OS-PAMAM and PAMAM-G6 was performed through automatic analysis of curves using NanoScope Analysis Software monitoring force-versus-distance curves. (B) Average adhesion force values (nN) of cross-sections from OS-PAMAM and PAMAM-G6. Data represent mean \pm S.D. (n=50).

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Figure 7. AFM elasticity modulus differences between OS-PAMAM and PAMAM G6. (A) The elasticity in DMT modulus maps of OS-PAMAM and PAMAM-G6 was performed through automatic analysis of curves using NanoScope Analysis Software comparing hard (slide) and elastic (dendrimers) surfaces. (B) Average elasticity values (MPa) of cross-sections from OS-PAMAM and PAMAM-G6. Data represent mean \pm SD (n=50), * P< 0.01 vs OS-PAMAM.

Figure 8. Standard ¹H-one dimensional direct-polarization NMR spectra. Spectra of PAMAM-G6 (blue) and OS-PAMAM (red) with any apodization prior to Fourier Transform (A and C) and proton spectra weighted with a Lorentz to Gauss line sharpening apodization function (B and D) used to reveal sample heterogeneity.

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Figure 9. Solution-state PFG-STE-H2O(presat)-DOSY of PAMAM-G6 and OS-PAMAM. For 857 858 obtaining average diffusion coefficient distributions (DCD), weighted average molecular weights and polydispersity indexes. (A) PFG-STE-H2O (presat)-DOSY-F2 assigned- NMR 859 spectra of PAMAM batches: Commercial G6 PAMAM (Black) and synthetized OS-PAMAM 860 (gray). Below each logarithmic diffusion coefficient average (Ln DCav, highlighted with a 861 horizontal dotted line) are reported the weight-average molecular weights (Mw) and the 862 polydispersity indexes (PDI) per case. (B) Relative molecular weights [Mw = f(DCD, DCav)] of 863 each chemical-shift assigned resonance obtained from DCD and DCav relationships 864 represented as histograms and expressed in Daltons, are compared with reported molecular 865 weights of PAMAM dendrimers by generation (G1: 1430 Da; G2: 3256 Da; G3: 6909 Da; G4: 866 14215 Da; G5: 28826 Da and G6: 58048 Da, from http://www.dendritech.com/pamam.html). 867

Figure 10. LC/ESI-QTOF-MS in high energy spectrum of precursor and fragmentation ions of OS-PAMAM compared with commercial PAMAM-G6. The precursor ions shared between OS-PAMAM and PAMAM-G6 were indicated according to the theoretical values reported for PAMAM G0-G3 and were colored in black. The precursor ions (m/z) of OS-PAMAM dendrimers dominated by a common fragmentation pattern based on the retro-Michael

- 873 mechanism were colored in blue. The table below summarizes the theoretical m/z values of the
- 874 OS-PAMAM and the PAMAM-G6 compared. All OS-PAMAM values present an error of less
- than 10 ppm concerning the theoretical one. *The theoretical values were obtained from
- 876 Ulaszewska et al., 2013 [55].
- Figure S1. Ideal (A) and non-ideal (B) amidation of PAMAM G0 dendrimers.
- Figure S2. Molecular weight (MW) of OS-PAMAM and PAMAM G6 by SEC. Chromatogram at 215 nm of PAMAM-G6 dendrimer (blue line) compared to 3 independent batches of OS-PAMAM (green, red, and purple line) at pH 2.5 condition before five months stored at commercial conditions (methanol at 5 ± 3 °C). MW of OS-PAMAM batches was calculated considering PAMAM-G6 as reference.
- 883 Figure S3. LC/ESI-QTOF-MS in high energy spectrum of precursor and fragmentation
- 884 ions of OS-PAMAM batches. The precursor ions shared between OS-PAMAM batch 1 (L1)
- 885 and batch 2 (L2) indicate the reproducibility of the synthesis method between batches.