



E-Beam Lithography 2024

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Electron Beam Lithography

Université de Technologie de Troyes - avril 2024



LAAS-CNRS
/ Laboratoire d'analyse et d'architecture des systèmes du CNRS



Electron Beam Lithography – Franck CARCENAC
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Laboratoire conventionné avec
 Université
de Toulouse

Purpose

- Overview of E-Beam Lithography (EBL):
 - ☞ Knowledge, concepts, tools, tips, tricks
 - ☞ 2h ... I made choices !
- Focus on 1 kind of equipment:
 - ☞ # UTT one ☺



Outline of presentation

- **Introduction**
- **EBL: basic principals**
 - Exposure
 - Developing
 - System composition
 - Calibration
 - Design recommendations
- **EBL: concrete practice**
 - Driving parameters
 - Limitations
 - Recommendations
 - Sum-up
- **EBL nowadays**
 - Competition at industry level
 - Tendencies and job evolution



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General considerations

- Lithography:
 - ☞ Greek: lithos # stone & graphia # writing
 - ☞ Shaping/modifying locally a material called a "resist"
 - ☞ Registering a targeted design as a ghost image inside a material called generally "resist" which will be next developed
 - ☞ ...
- Must be though with all the other steps: technology of micro/nano fabrication
 - ☞ Materials deposition: conductors, insulators, semi-conductors, topological insulators, bio-materials, ...
 - ☞ Materials etching : dry and wet etching, removal, ...
 - ☞ Characterization: physical, electrical, chemical, biological, ..., aging, ...
 - ☞ **Take always into account:**
 - ☞ The limits of each step
 - ☞ Start by the last one ... up to integration / packaging !



Lithography ... why electron beam ?

- Decrease diffraction # decrease wavelength
- $\lambda_{\text{electrons}}$ at 100keV = 0.0037nm
- Simple to produce, simple to shape
- Maskless (generally)

But ...

- Under vacuum
- Max. resolution few nanometers at 200kV (on bulk substrate)

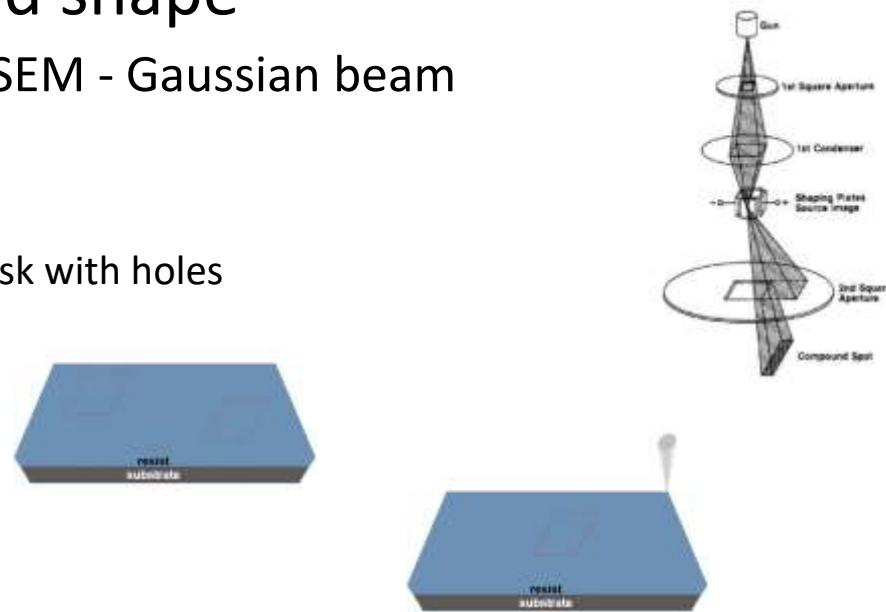
Why a so big difference between λ and ultimate resolution ?

- Electrostatic repulsion
- Lenses defects and aberrations
- Electrosensitive materials and their chemistry



Different EBL techniques

- **Beam type:** Gaussian / Variable or fixed shape
 - **Focused beam** (point per point exposure): like a SEM - Gaussian beam
 - **Non focused beam** (area exposure):
 - Projection of a variable shape
 - Projection of a fixed shape (cell projection): needs a mask with holes
- **Scan type:** Vector / Raster
 - **Vector scan:** only necessary parts are scanned
 - **Raster scan:** all the exposure area is scanned
 - Beam is blanked when necessary
- **Exposure type:**
 - **Fixed stage** during exposure ... field by field with stitching
 - **Moving stage** during exposure: continuous exposure
(e.g.: RAITH FBMS mode - avoid stitching in optical guides)



Different EBL techniques

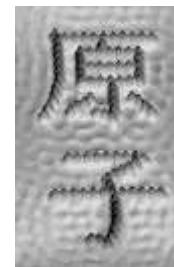
- Shaped beam - Vector scan - fixed / moving stage
- Cell projection (need a mask)
- Multi-beams, micro-columns
 - For mask production
 - High resolution (~30nm), high speed ... high cost



- Gaussian beam – vector scan - fixed / moving stage
 - Very high resolution ... ~8nm
 - Intermediate speed & cost



- STM
 - Atomic resolution
 - Very slow
 - Low temperature (mK)



Direct writing of atomic ideograms realized and observed by AFM.
These 2 ideograms (Yuán Zì) mean "atom" in Chinese and are made of iron atoms on a copper surface (D.M. Eigler and Co. in IBM research center of Almaden).

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Exposure: resists

■ Electrosensitive material:

- Also sensitive to X-Rays, UV, ions, neutrons, ...
- A lot of resists used in UV-lithography are sensitive to electrons

■ Chemical bonds creation or break:

- Organic resist: 6 – 10eV ↗ secondary electrons
- Inorganic resist: > 50eV ↗ high energy electrons ↗ beam itself ↗ high resolution ↗ dose increase

■ Positive resist:

- Chemical bonds break ↗ ↓ molecular weight (e.g.: **PMMA**, *PMMA/CoMAA*, *PMGI*, *ZEP520*, *CSAR*, ...)

■ Negative resist:

- Chemical bonds creation ↗ ↑ molecular weight (e.g.: ma-N 2400, PMMA, calixarene, ...)

■ Chemically amplified negative resist:

- Chemical bonds break ↗ acid radical creation
- Thermally activated cross-linking (PEB – Post Exposure Bake) ↗ ↑ molecular weight (e.g.: SU8, SAL601, ...)

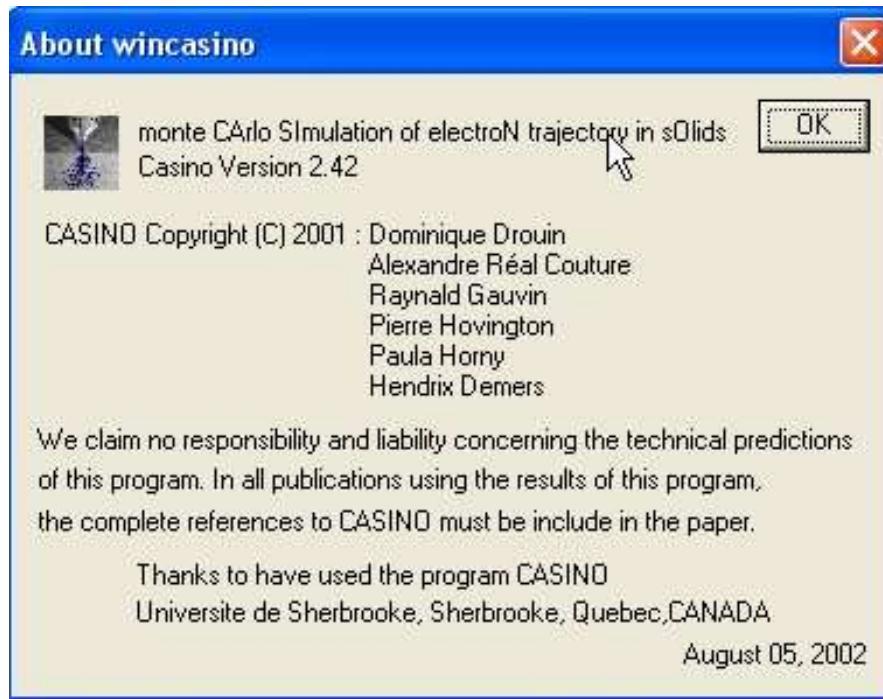
■ Inorganic materials:

- Phase or crystallinity change, chemical bond break or make a hole: HSQ, WO₃, SiO₂, AlF₃...



Exposure: where does it take place ?

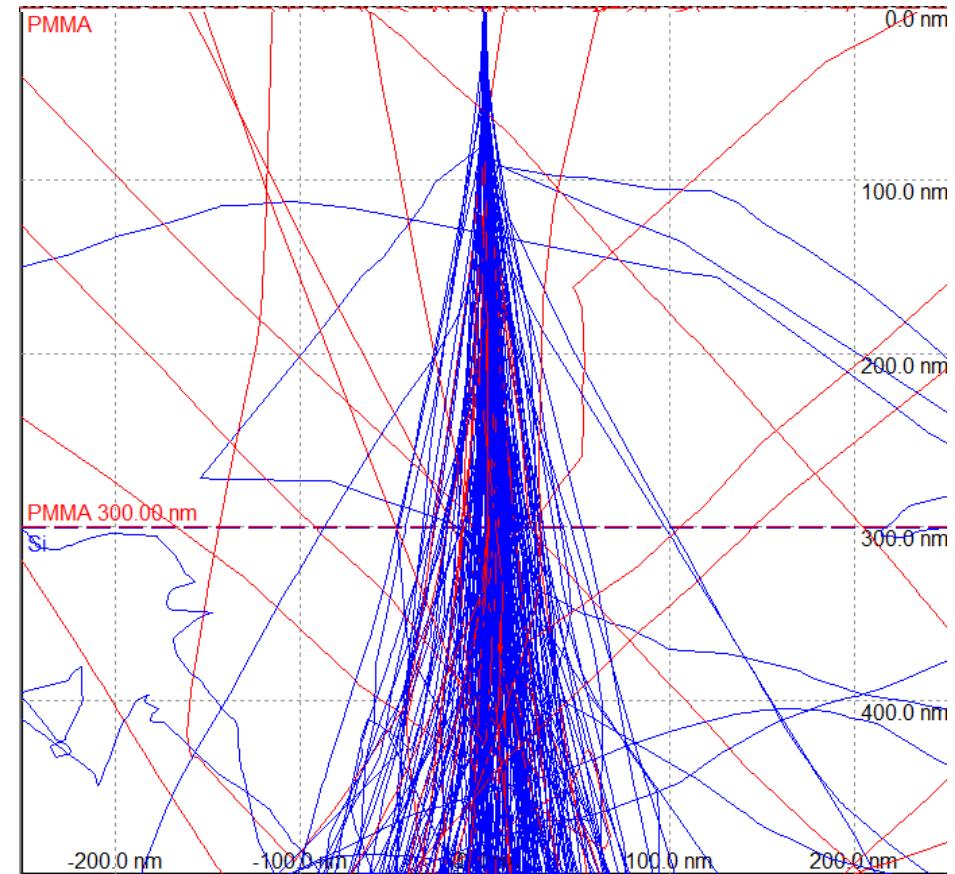
👉 Close to electrons' trajectories



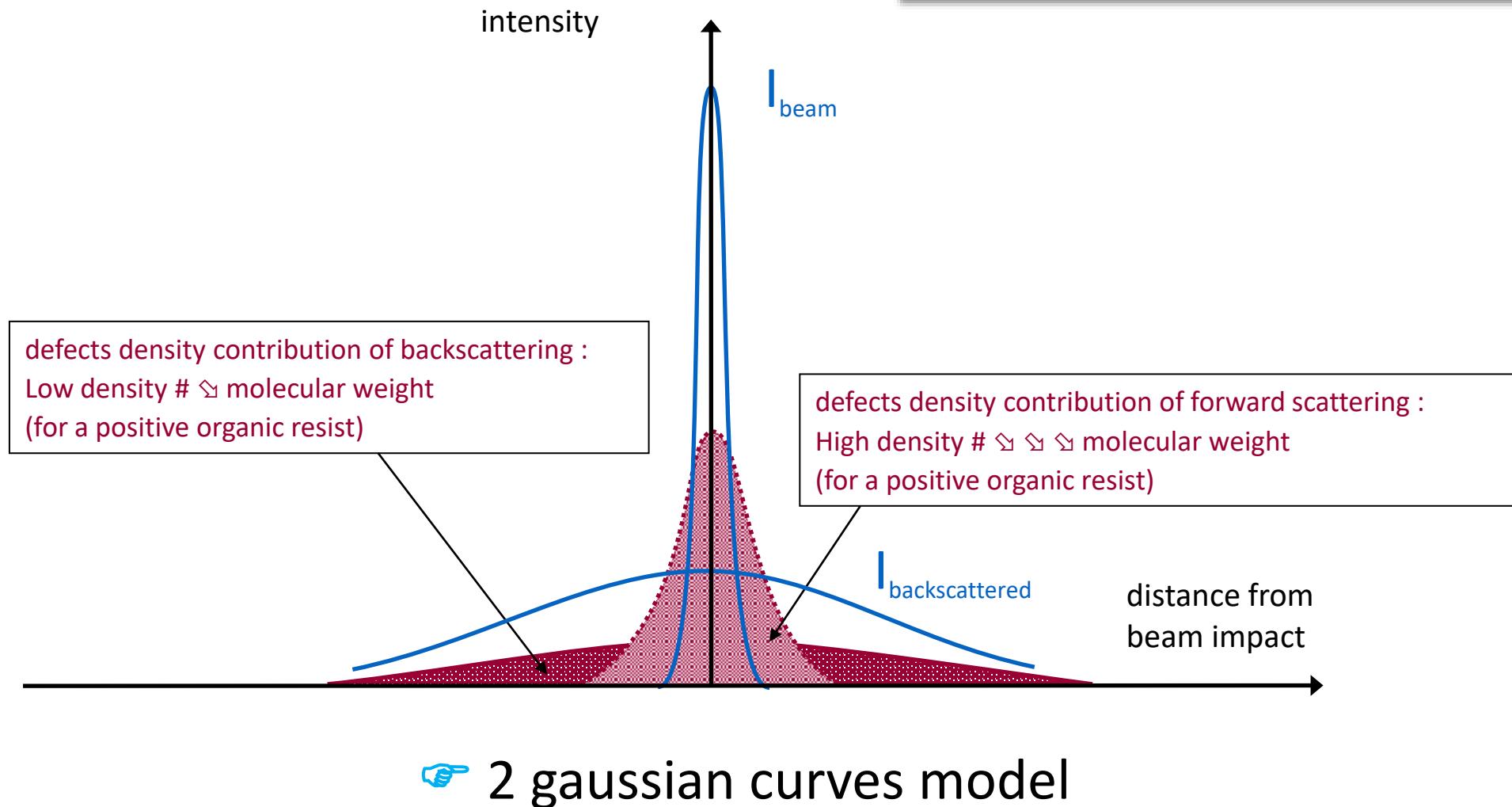
<http://www.gel.usherbrooke.ca/casino/>

Exposure: electrons' trajectories

1. Modification of the molecular weight
2. Scattering leads to creation of a molecular weight gradient
3. Additive effects (organic resist)
 - 👉 proximity effect



Exposure: Beam Point Spread Function



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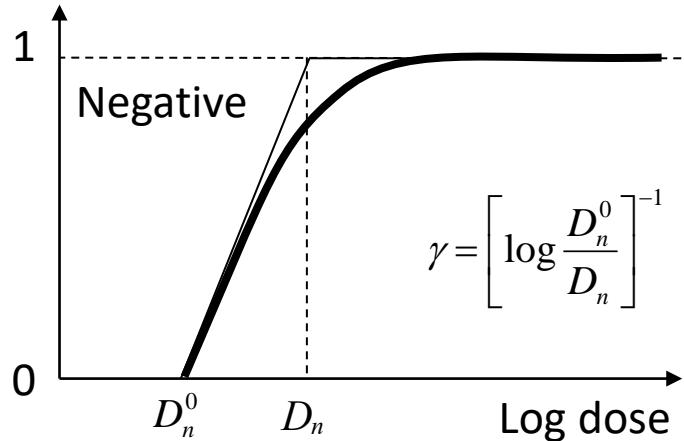
Developing

- **EBL results from lithography + developing**
- **Organic resist:**
 - No dissolution
 - Put in solution:
 - Solvent cage around the polymer fragments
 - Transport phenomenon in the solution:
 - Speed is inversely proportional to Molecular Weight
 - Can be difficult in small openings
i.e. high resolution: <10nm holes in thick positive tone resist
- **Inorganic resist:**
 - Chemical reaction with acid or base (HSQ, WO₃, SiO₂)
 - Self-developing (AlF₃)

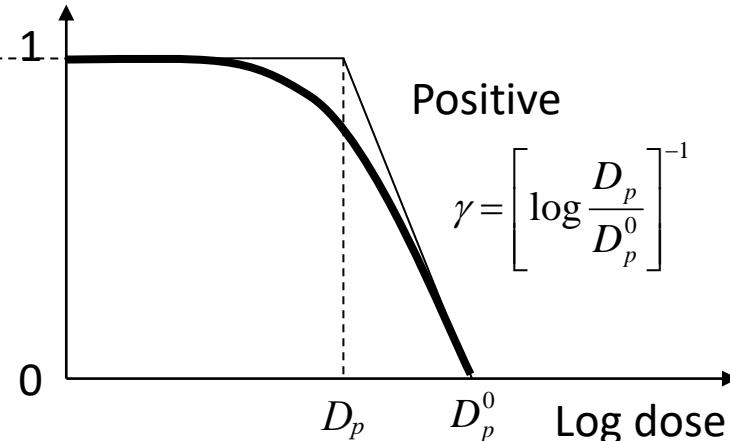


Developing: resist contrast

normalized thickness



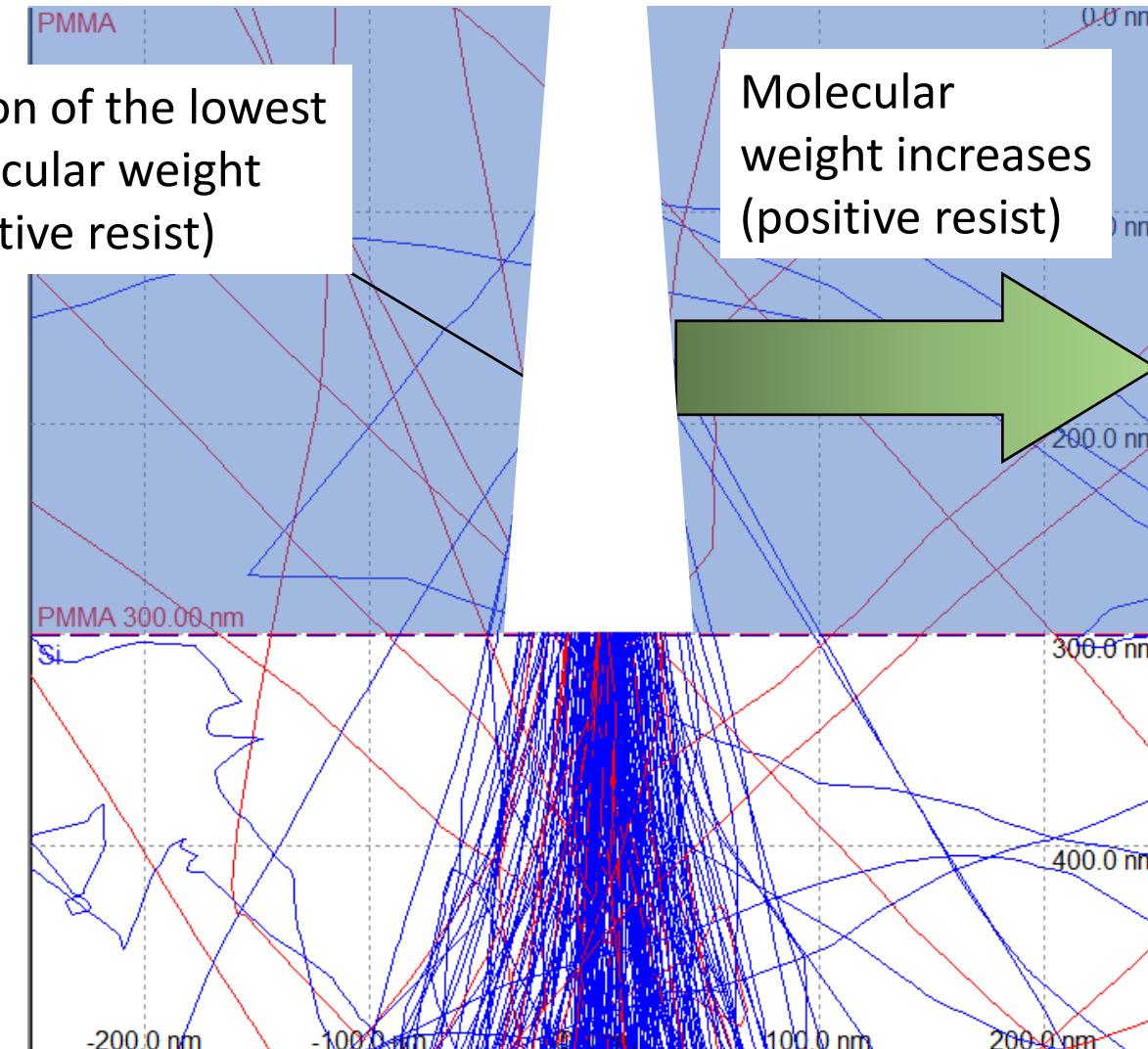
normalized thickness



- Development speed = f(molecular weight, concentration, duration, temperature)
- Contrast γ # curve slope
- High contrast # high selectivity on molecular weight
 - Vertical walls
 - Higher process latitude
 - Higher resolution
 - Less sensitive to proximity effects
- Low contrast:
 - 2.5D lithography / grey scale lithography - e.g. optical microlens fabrication

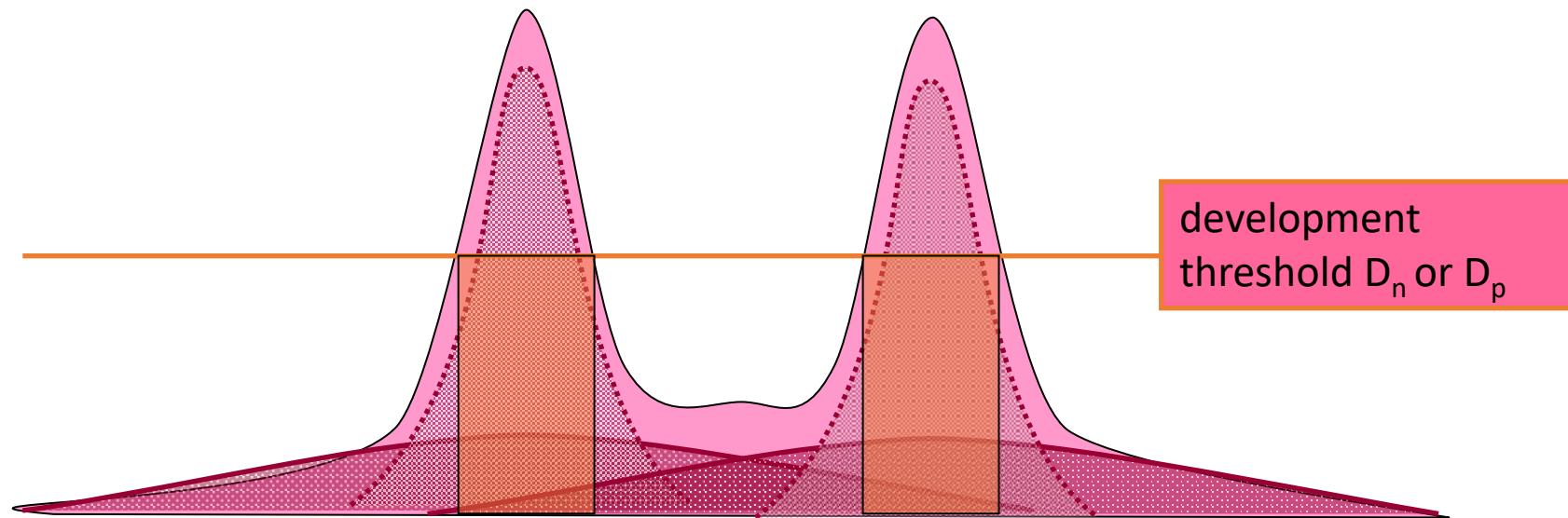


Developing: resist profile

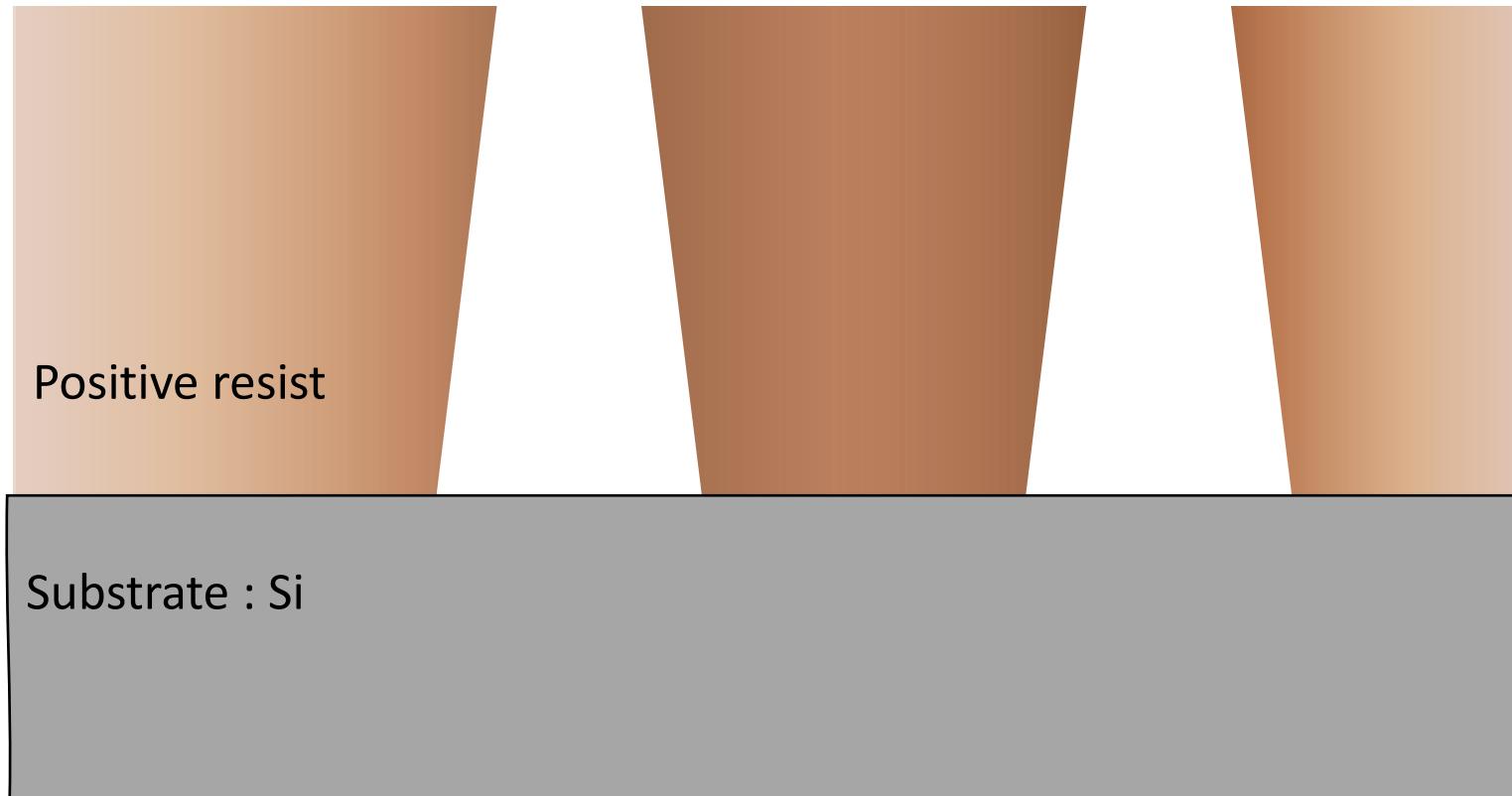


Developing: proximity effects

- Molecular weight modification is additive on organic resists

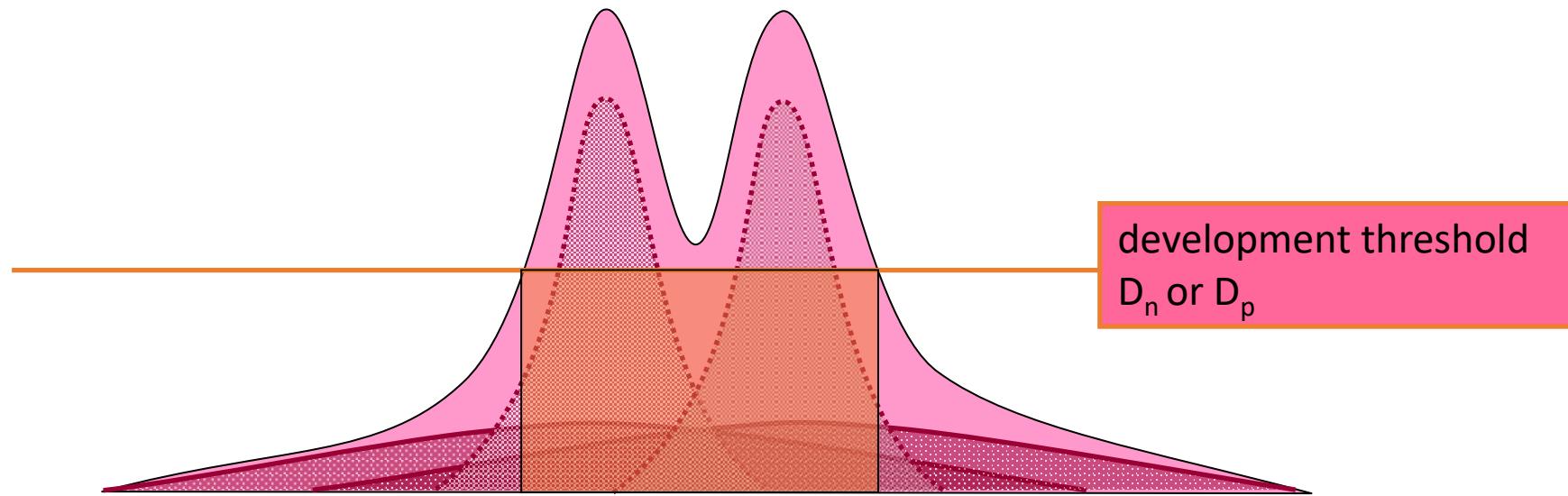


Developing: proximity effects

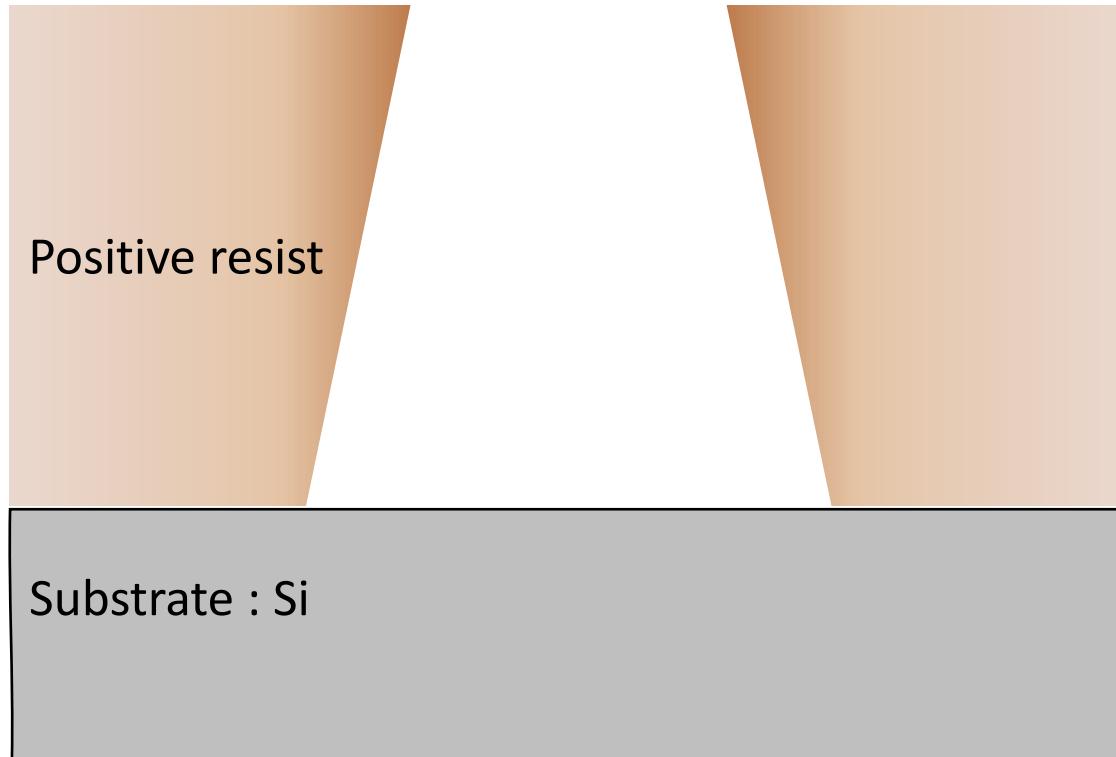


Developing: proximity effects

Molecular weight modification is additive on organic polymers
... and can lead to proximity effect: ↗ resolution

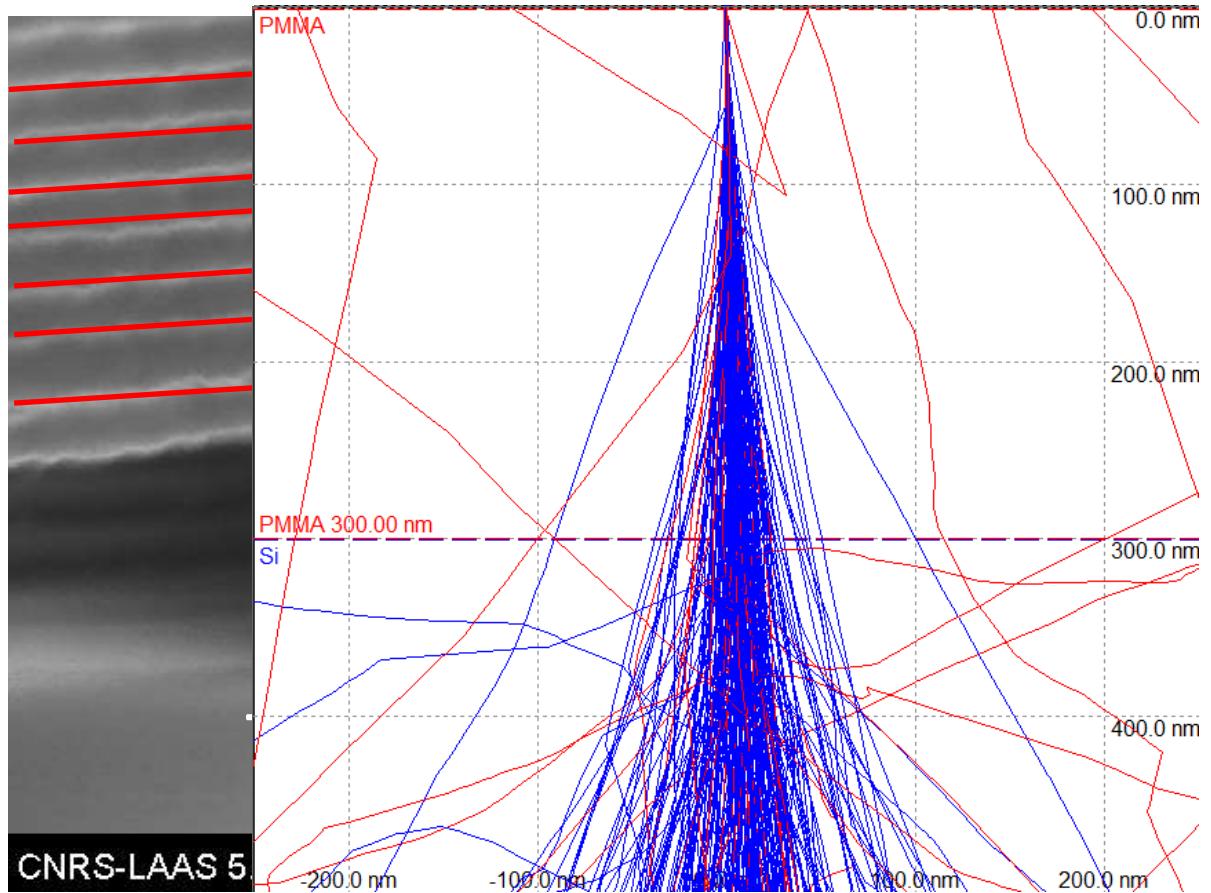


Developing: proximity effects



Exposure: special ...

- 2 exposures: 20keV – 2keV
- Negative resist
- Design: 2 superimposed perpendicular gratings
- 1 development
- Suspended structures



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System composition

- Computer Assisted Design (CAD) software
- Electron optics and detectors:
 - SEM-like
 - SEM imaging capabilities (SE & BSE)
- Beam blanker
- Pattern generator: for beam scanning control (electronics)
- Software: link between user / CAD / beam scanning / beam blanking, ...
- ☞ Minimum configuration
- ☞ Able to work on one field only

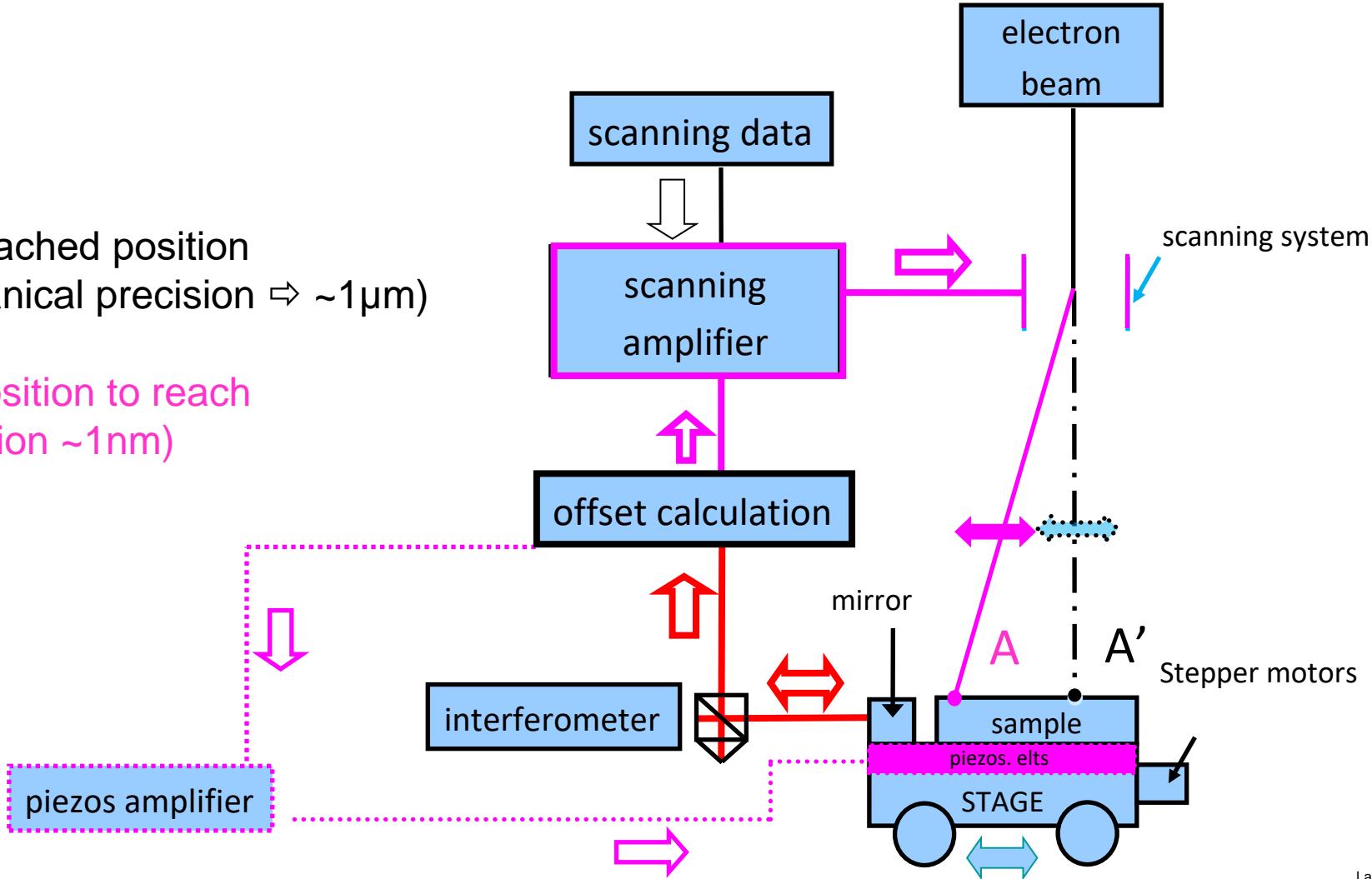
System composition

- **Pattern bigger than one field:**
 - ☞ Pattern is cut into fields
 - ☞ Fields stitching ($\leq 50\text{nm}$ precision on Raith e-line machine)
- **Need of a LASER interferometer:**
 - Position precision measurement: 2nm or less (0.15nm)
 - Reference for moving
 - ☞ Allow field calibration: size, rotation & shift



System composition: synoptic

- A': reached position
(mechanical precision $\Rightarrow \sim 1\mu\text{m}$)
- A: position to reach
(precision $\sim 1\text{nm}$)



System composition: remarks

- Specimen not always in the axis of mechanical displacement: authorized misalignment 2°
 - Alignment registration on sample edge/flat/notch
 - Software correction (mechanical precision)
 - SEM fields are limited in size and position:
 - Big field # big distortions
 - Not always in the axis of mechanical displacement (electromagnet lenses)
 - Be careful: electronic rotation induces distortions (non-linear electronics)
 - Field size is not calibrated
 - Need a mark to calibrate the machine: first level exposure
 - Need reference marks made by a calibrated machine: next levels exposure
- ☞ Electron optics with low distortions
- ☞ Stepper motors (precision: 1 or few μm)

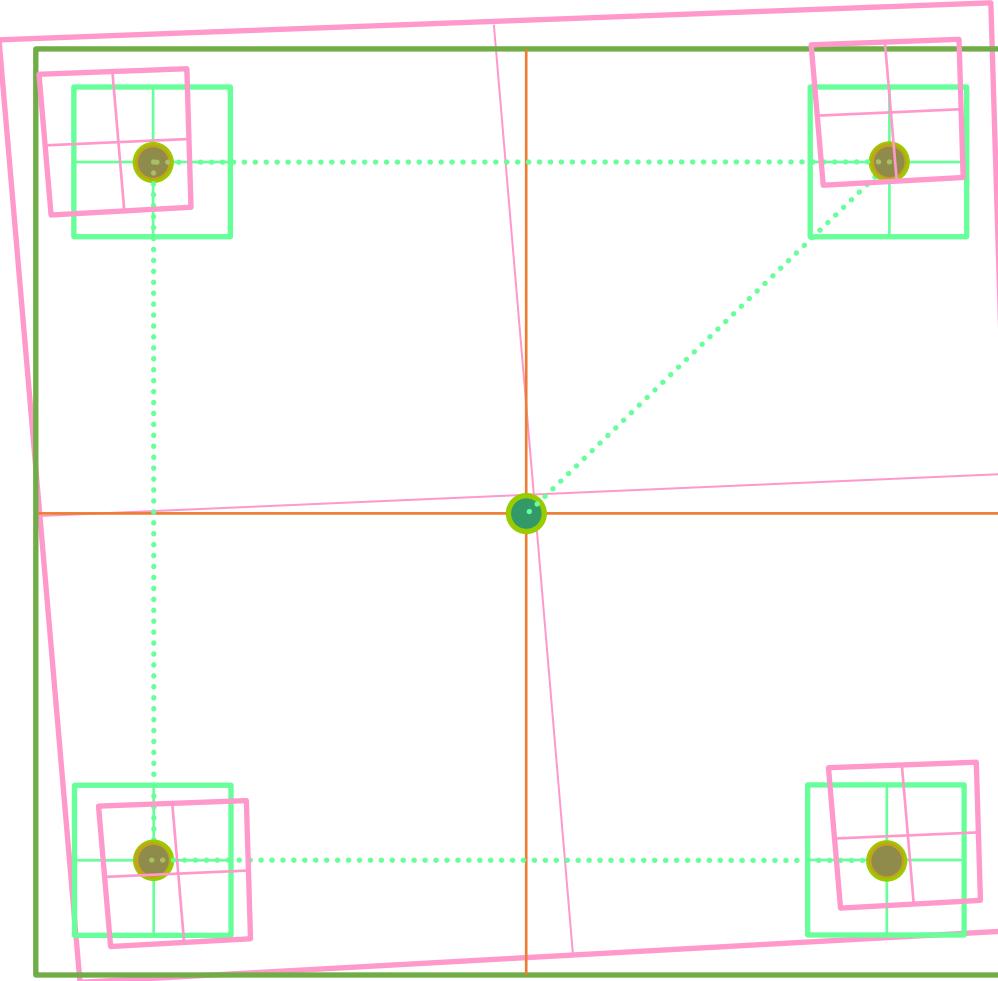


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Field calibration



Field calibration: stitching

Before field calibration



After field calibration



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- **Drawings' file type:**

- ☞ World-famous GDSII (binary files) ... DXF, ASCII, ..., conversion possible
 - ☞ DATATYPE parameter used for dose coding (be careful DATATYPE=1000 means DoseFactor=1)

- **Softwares used:**

- ☞ Best one: E-Beam writer's software
 - ☞ If not: must be compatible with the machine
 - ☞ **Klayout (include a PYTHON library ... useful for scripting GDS2 files)**
 - ☞ CADENCE, LayoutEditor, CleWin (GDS hierarchy not fully compatible with RAITH)
 - ☞ **GenISys Beamer:**
 - ☞ Process simulation
 - ☞ Data preparation/correction
 - ☞ Powerful but expensive (100k€)

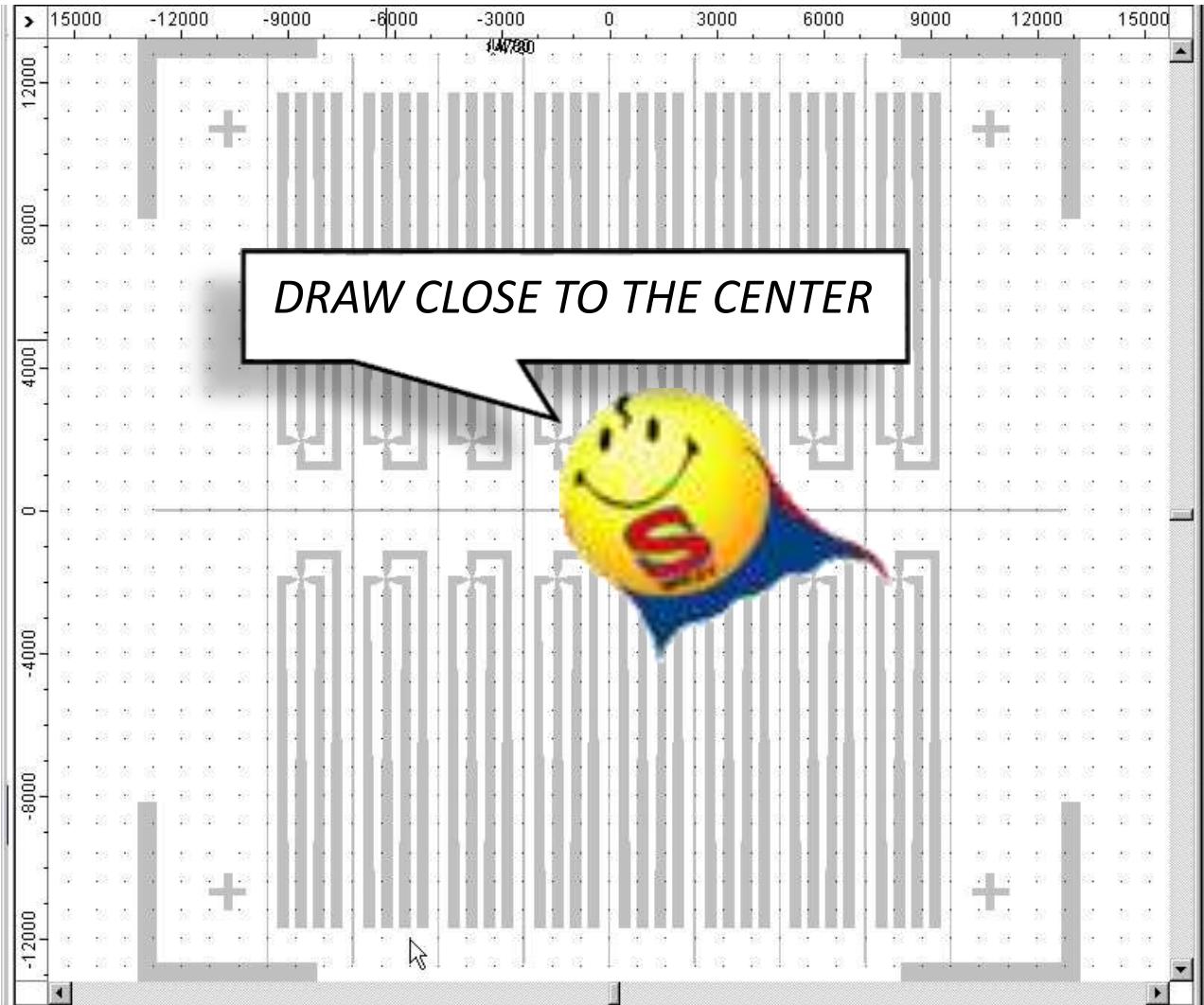
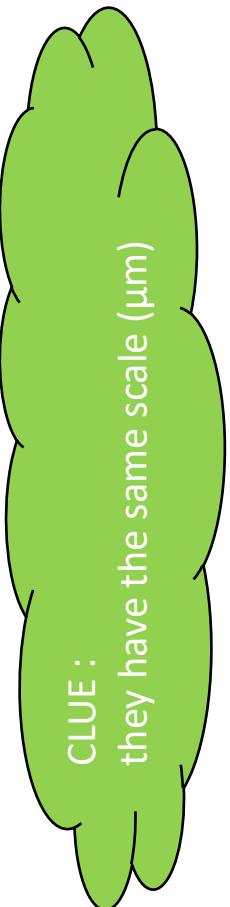


- **Drawing's grid must match the step-size of exposure**
 - 👉 To prevent coordinates from being rounded by the machine without control
- **Design is exposed with an orthogonal matrix XY of points:**
 - 👉 All structures non-parallel to X or Y axis are divided in small parts in order to fit the drawing : time and memory consuming
 - 👉 Try to follow the axes
- **Avoid nanostructures with stitching**
 - 👉 Better in the center of one field



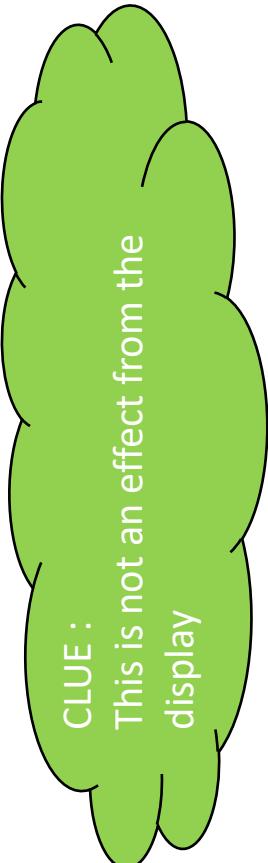
Design recommendations: drawing without knowledge

WHAT IS THE DIFFERENCE BETWEEN THE 2 IMAGES

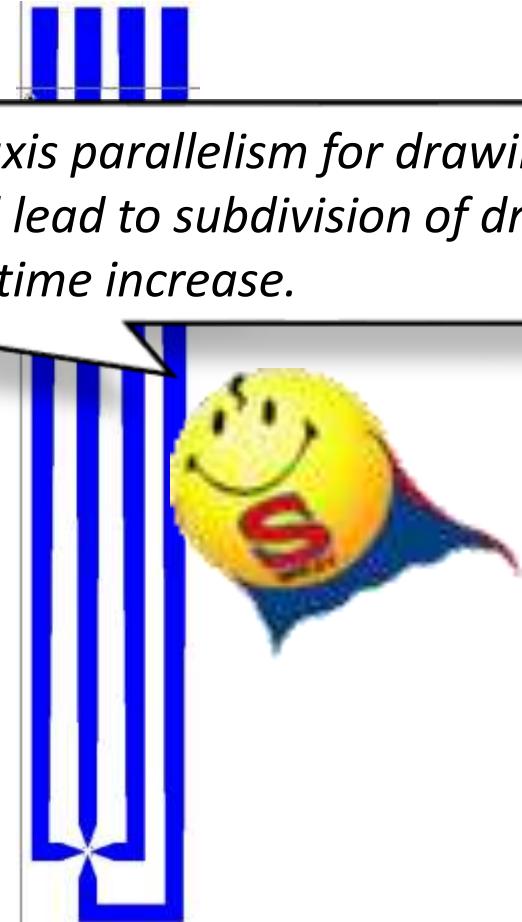


Design recommendations: drawing without knowledge

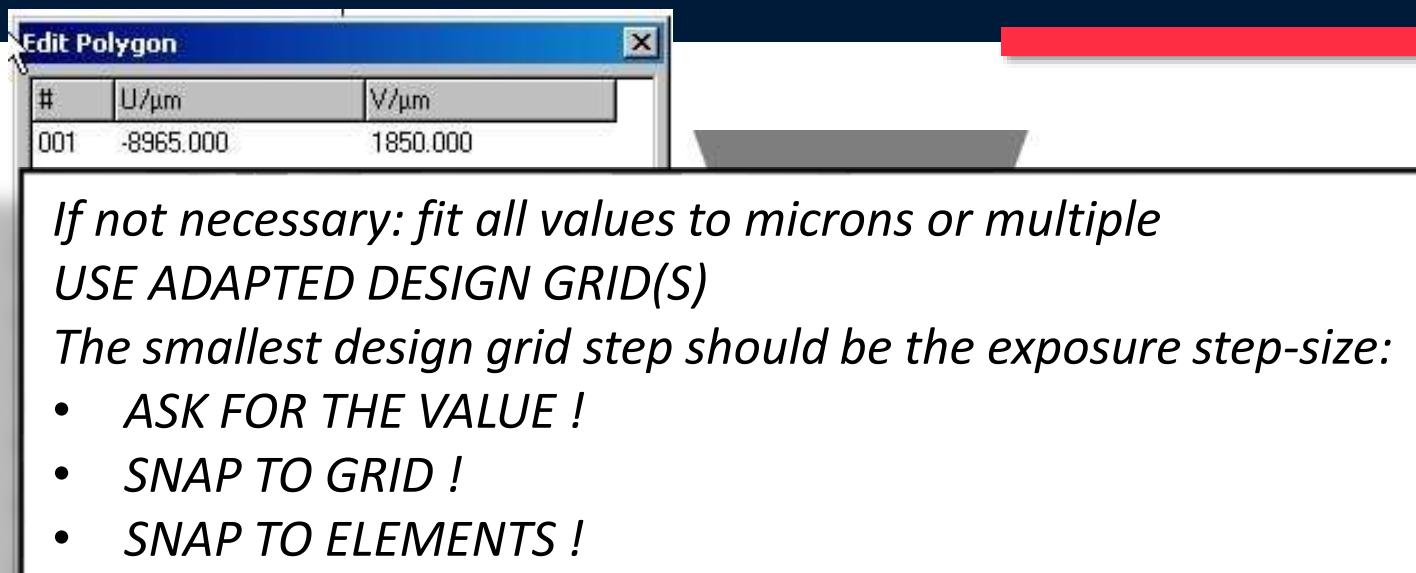
WHAT IS CURIOUS ON THIS DRAWING ?



*Promote X-Y axis parallelism for drawing
If not, this will lead to subdivision of drawing
and exposure time increase.*

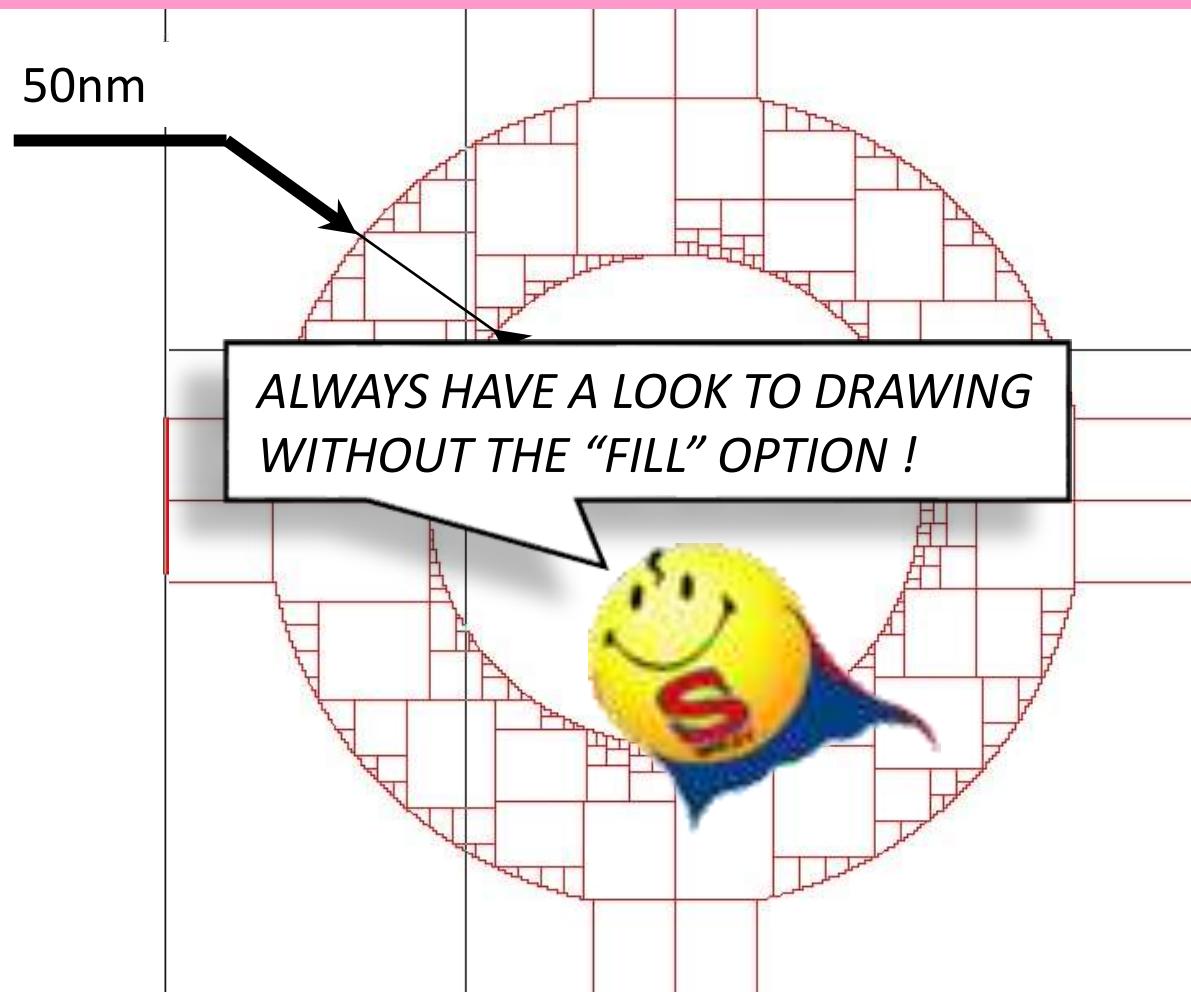


Design recommendations: drawing without knowledge

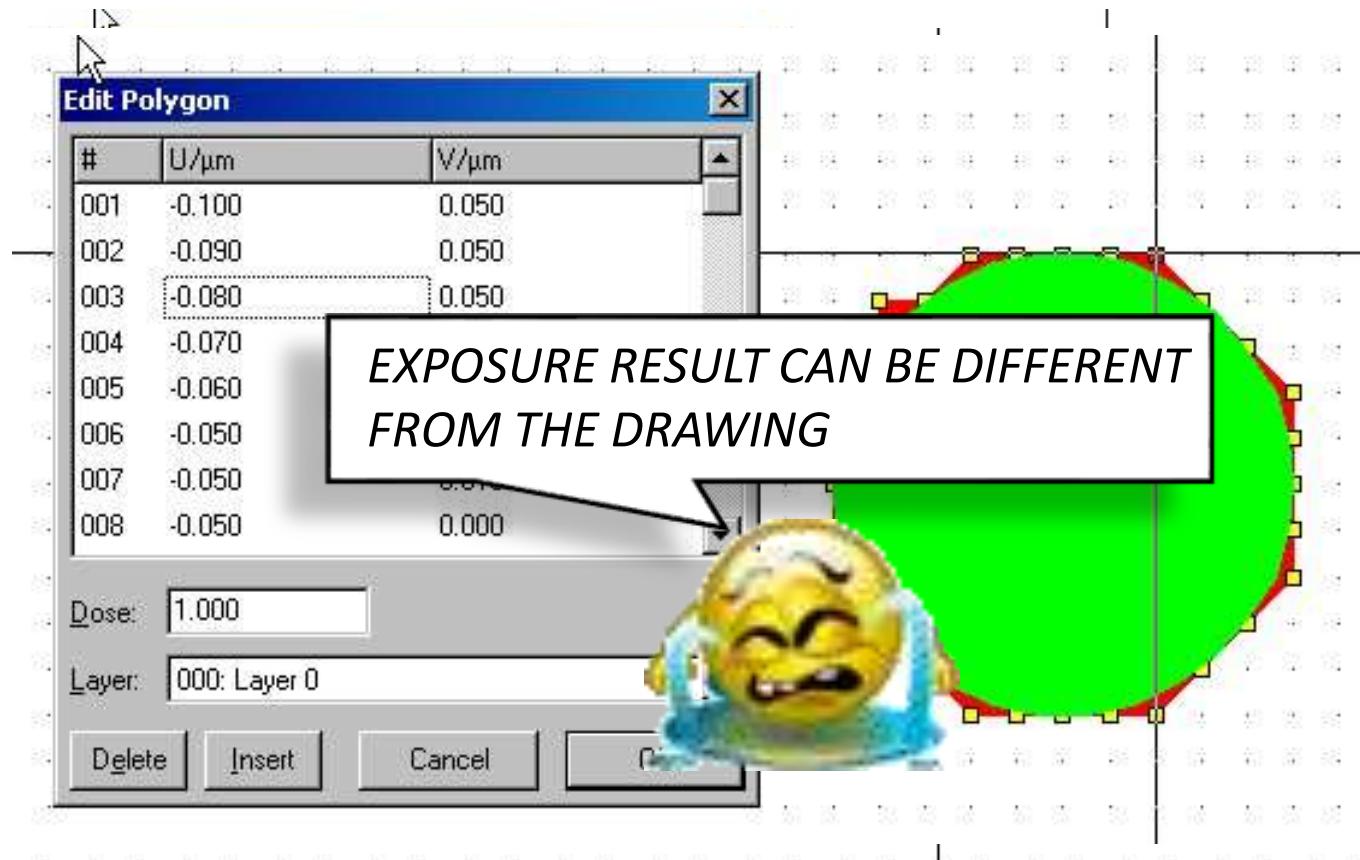


Design recommendations: drawing without knowledge

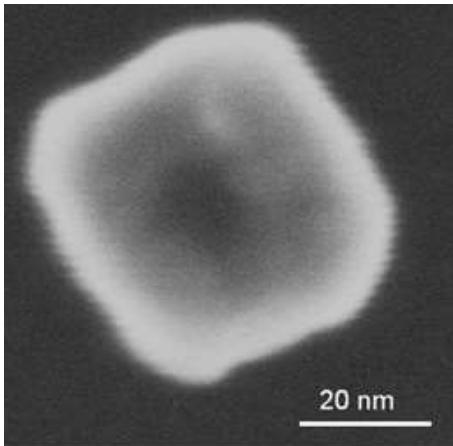
WHAT IS THERE BEHIND THE DRAWING ?



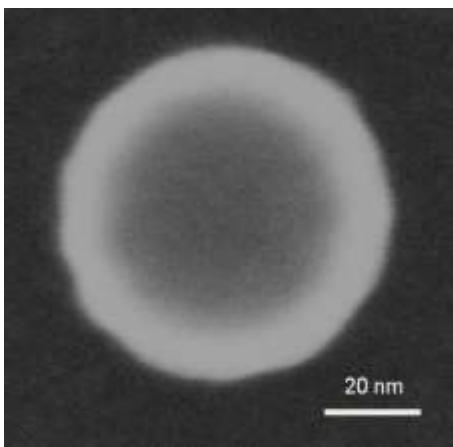
Design recommendations: rounding effect



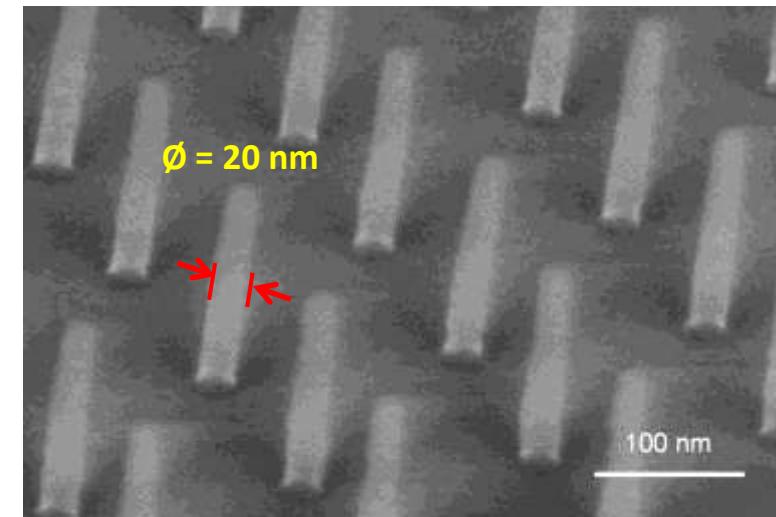
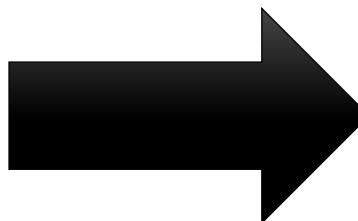
Design recommendations: rounding effect



Circle: \varnothing 50nm
Exposure step-size: 10nm



Circle: \varnothing 50nm
Exposure step-size: 2nm

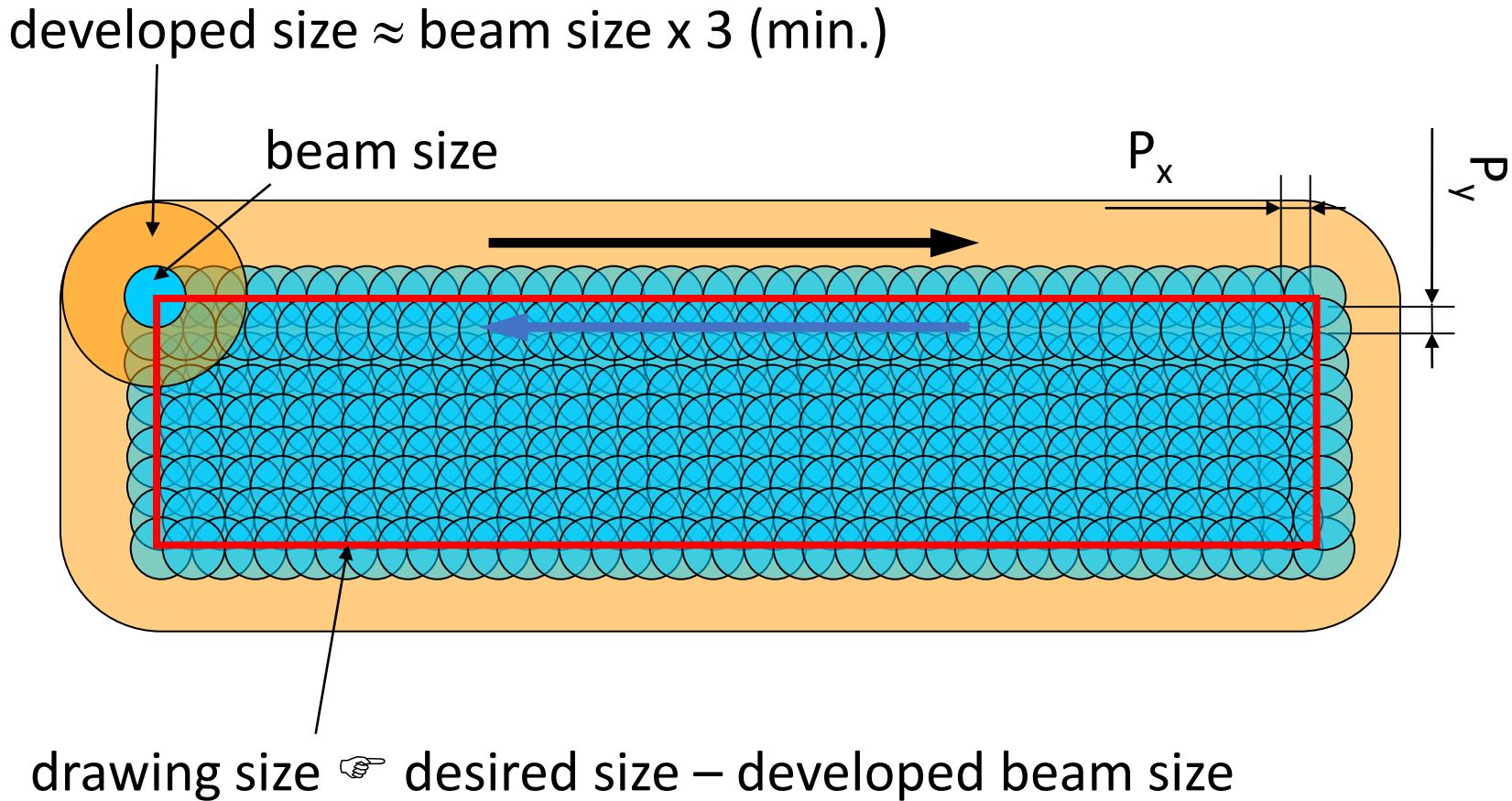


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Driving parameters: design exposure



Driving parameters: exposure dose

- **Area dose:** $\sigma_{(nC/cm^2)} = \frac{\text{total number of points} \cdot I_{(nA)} \cdot t_{(s)} \cdot Int_{point}}{p_x^2 p_y^2}$
- **Line dose:** $\sigma_{(nC/cm)} = \frac{I_{(nA)} \cdot t_{(s)}^{X\text{ size}}}{L_{(cm)}} = \frac{I \cdot t_{point}^{Y\text{ size}}}{p_{x or y}}$
- **Point dose:** $\sigma_{(pC)} = I \cdot t_{point}$



Driving parameters: exposure dose

- Depends on:
 - Substrate
 - Energy
 - Resist: nature, thickness
 - Developer: nature/concentration, duration, mechanical agitation, temperature
 - Design: size/pitch/distance between structures (proximity effects), way of exposure, ...
- Also depends on user's experience

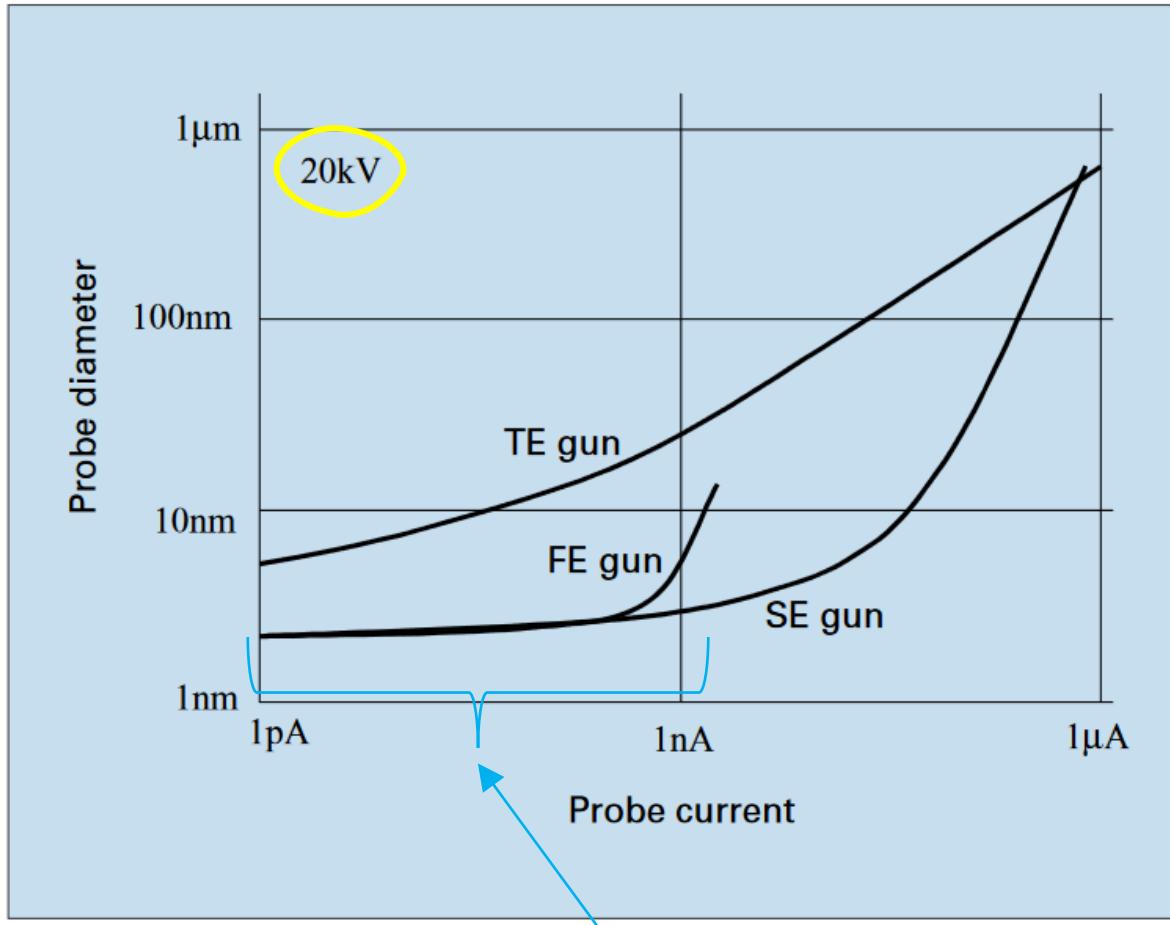


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Limitations: beam diameter = f(current)



Beam diameter almost constant at "low current" ➡



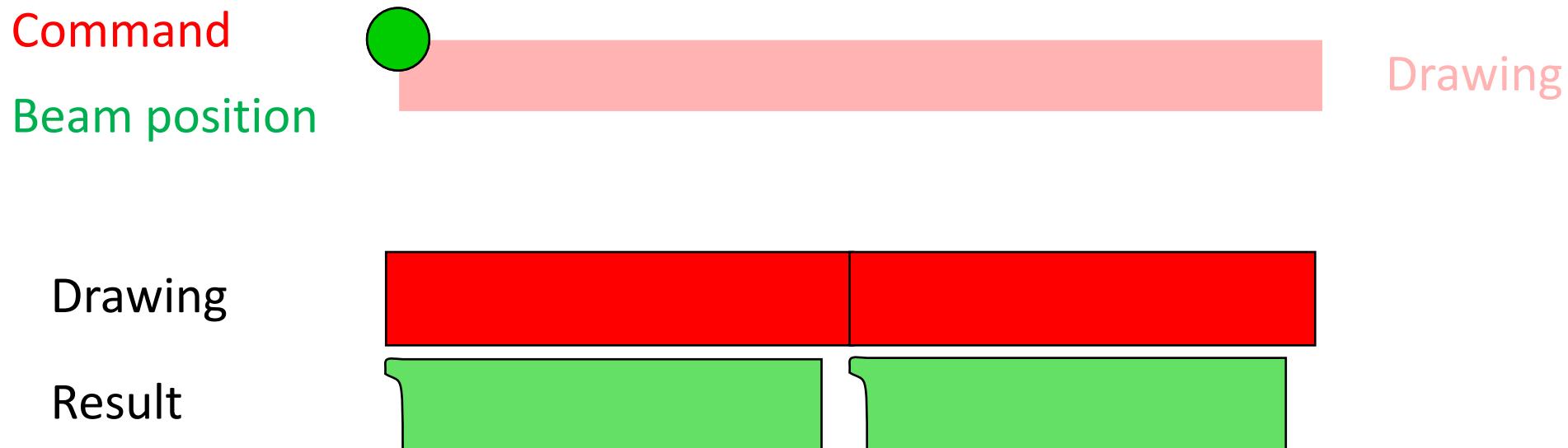
Limitations

- Beam size does not depend so much on current using a S-FEG gun
- " t_{point} " limited by scanning generator maximum frequency
- " p_x " define the Line Edge Roughness (LER)
- For equipment based on standard scan coils:
 - Beam speed: p_x/t_{point} limited by scan coils frequency bandwidth
- Line Width Roughness (LWR) and LER depend on noises:
 - Mechanical & acoustic vibrations
 - Electric/magnetic fields
 - Electronics noises,
 - Temperature variation ...

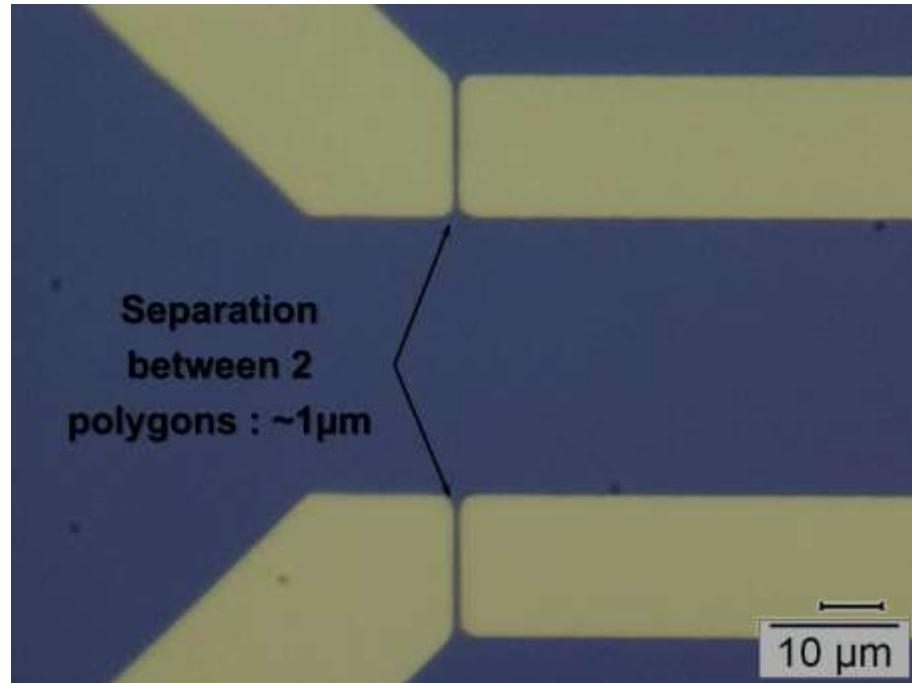


Limitations: beam speed effect

- Origin : scan-coils (inductance)
- Induce phase-shift between command and beam position

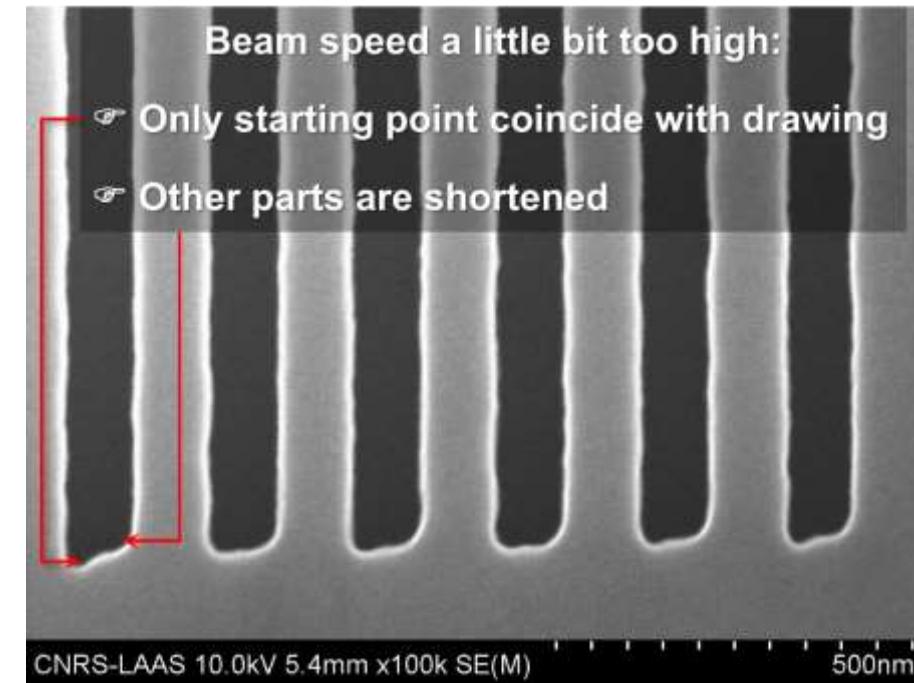


Limitations: beam speed effect



1µm stitching error between 2 polygons induced by a too high beam speed when using SEM scan coils for patterning

☞ Cut the polygons and overlap parts if needed



Distortion at beginning of each line induced by a too high beam speed when using SEM scan coils for patterning

Exposure parameters: limiting factors

For equipment based on standard scanning coils

- **Surface too large: exposure time $\approx \infty$**
 - ☞ Minimize the surface – moderation of request
 - ☞ « Mix & Match » - huge surfaces made by photolithography
- **Pitch between points: define the Line Edge Roughness (LER)**
 - ☞ Pitch $\approx 1/3$ minimum point diameter after developing
 - Linked to beam diameter (and developing): if $\Phi_{beam} \nearrow p$ can \nearrow
 - Does not change the exposure time: if $p \leq t_{point} \leq$ (i.e.: $F \nearrow$)
 - *Define the maximum current according to the maximum frequency*
- **Highest current: to decrease exposure time**
 - ☞ $I \nearrow$ ☞ reach max. beam speed (~5mm/s) ☞ \nearrow pitch ☞ max LER ☞ I_{max}
- **Higher sensitive polymer: to decrease exposure time**
 - ☞ Reach F_{max} ☞ $\nearrow p$ if possible or $\leq I$ ☞ \nearrow time exposure (interesting ?)
- **Decrease the HV (dose=f(HV)): to decrease exposure time**
 - ☞ HV \leq (beam size \nearrow , penetration depth \leq) ☞ dose \leq ☞ $F \nearrow$ and/or $p \nearrow$ (interesting ?)

$$\sigma = \frac{I \cdot t_{expo}}{S} = \frac{I \cdot t_{point}}{p_x \cdot p_y} = \frac{I}{F \cdot p_x \cdot p_y}$$



Exposure parameters: compromises

- Current / beam energy / pitch between points / field size / resist / technology / reasonable time ... need experience
- Stage movement between fields, beam stabilization time, data transfer, ...
 - ☞ Can double exposure time
- Always too long ...
 - e.g.: 1cm² of 150nm square fully random with a precision of 10nm on each structure
 - 10Go data, 100h exposure ... on a machine equivalent to UTT one (20keV, 10MHz, 135pA)
Because of the combination of resolution and precision, current must be low ☹ exposure time is high.
 - 1h on a more powerful machine (100keV, 5nA, 125MHz)
If scanning generator maximum frequency is higher, current can be increased while maintaining a good resolution
 - Collaboration with RENATECH (CNRS public facilities/clean-rooms network) could be a solution for exceptional needs.



Extrinsic limitations

- Beam drift:
 - Room air-conditioning stability: $\sim 0.1 - 0.5^\circ\text{C}/\text{h}$
 - E-beam writer temperature stability (chiller temperature control: $\pm 0.1^\circ\text{C}$)
 - Mechanical drift: holder/machine temperature
(mechanical expansion: $\approx 1\mu\text{m}/0.1^\circ\text{C}$ of variation)
- Magnetic fields: powering cables, motors,...
- Earth magnetic field: perturbation by moving heavy metal parts (vehicles, lift)
- Mechanical vibrations: building, lift, vehicles, pumps, compressor, ..., sound
- Flat / structured substrate: depth of focus, variable resist thickness, ...



Intrinsic limitations

- Electronics quality:
 - Speed
 - Noise
 - Stability
 - Reliability
- DAC:
 - Number of bits
 - Maximum frequency
- Scanning:
 - Beam scanning: frequency bandwidth
 - Field or field + subfields
 - Matrix correction: 4 points or more
 - Dead times:
 - Stabilization: stage, beam
 - Data transfer and treatment
- Computer:
 - PC under Windows
 - Workstation under LINUX
- Beam blanking speed
- ...



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Recommendations: EBL from A to Z

- Project / design to realize

Bibliography, description,
bottlenecks, issues ...

watch out for proximity effects,
total surface, ...

- Adjustments

Like a SEM column !

- Exposure / development

Do you know how to use
the E-beam machine ?

Easy to do !

- Characterization / measurements

Do I know how to use
dedicated machines !?!

- Is it simple ?

yes ... with experience

Recommendations: technology integration

Elements to take into account:

- **Sample :**
 - ☞ Conductor / insulator ?
 - ☞ Sensitive to electrons ?
 - ☞ Size / shape / fragility / surface roughness / flatness / available quantity for tests / price / ...
- **Particular properties for the resist and/or sample specificity:**
 - ☞ Previous and next technological steps?
 - ☞ Sensitivity or resistance towards treatments: wet/dry etching, solubility, compatibility with metals, ... ?
 - ☞ Positive or negative ?
 - ☞ Final structure: bump or hole ? surface / density / size ?
 - ☞ Etching or lift-off ?
 - ☞ Resolution, thickness, dose, developer ?
 - ☞ Easy to remove ?
- **Alignment marks : overlay, « mix & match »**



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 - Competition at industry level
 - Tendencies and job evolution



Sum-up of SEM - EBL courses

- SEM:
 - Alignment and astigmatism routines:
 - Round shape beam (no astigmatism)
 - Minimum size (highest resolution) for the parameters used
 - ☞ More and more simple:
 - ☞ Automatic alignment
 - ☞ Automatic adjustments
 - ☞ Needs experience to choose the right parameters:
 - ☞ Share informations
 - ☞ Try new parameters set: your ability in alignment and astigmatism routines allow you to explore quickly different parameters
 - ☞ Useful for EBL adjustments
- EBL
 - Need of experience # need to practice & share knowledges
 - Adjustments, calibrations, exposure, developing, characterization ... it takes time to be confident
 - When facing issues you will learn why and how to overcome them



Sum-up manufacturers

- [Raith](#)
- [Jeol](#)
- **ELIONIX**
- [Vistec](#)
- [Advantest](#)
- [Crestec](#)
- [Nanobeam](#)
- [Nabity: Nano Pattern Generation System](#)
- *Hitachi*

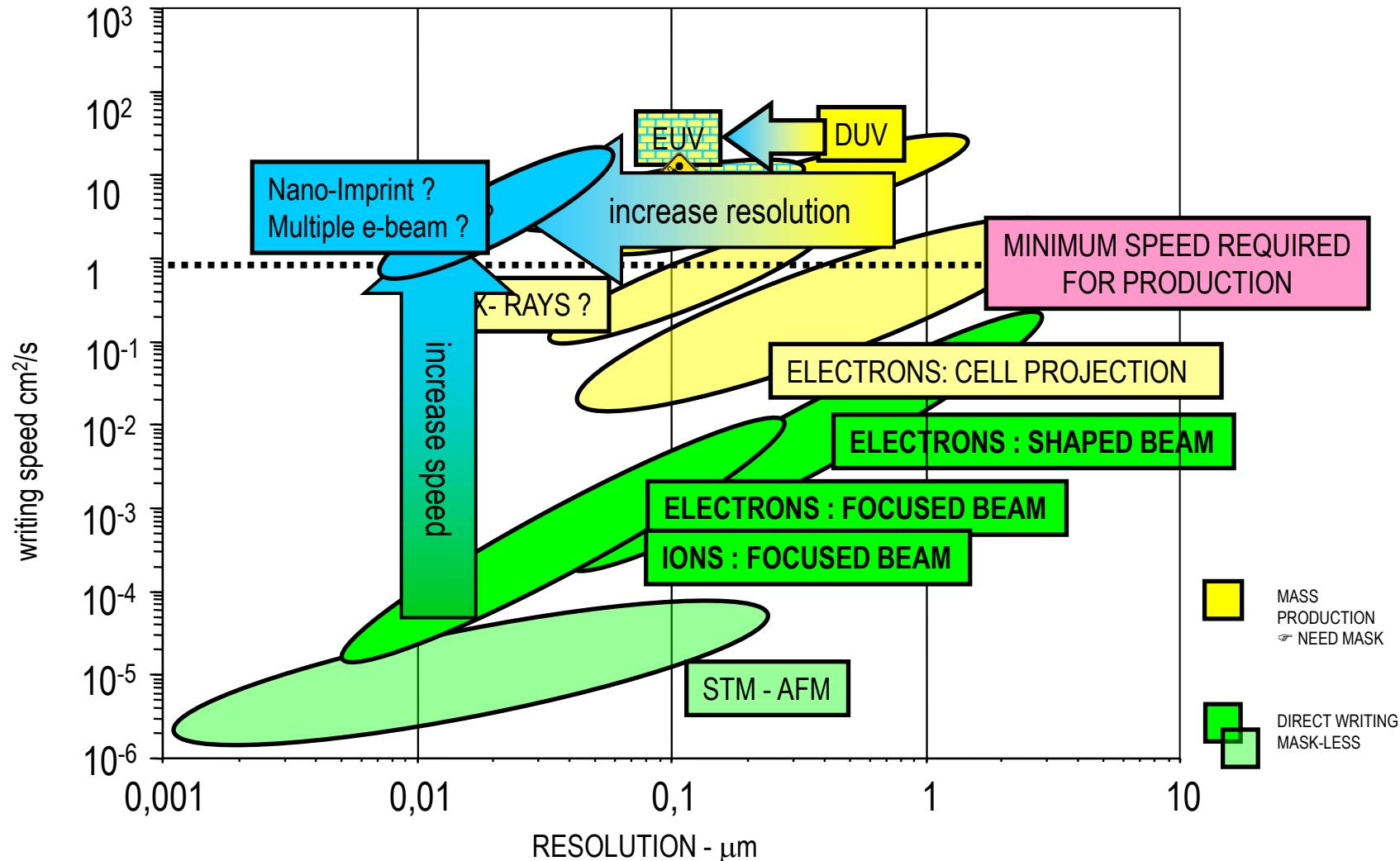


Outline of presentation

- Introduction
- EBL: basic principals
 - Exposure
 - Developing
 - System composition
 - Calibration
 - Design recommendations
- EBL: concrete practice
 - Driving parameters
 - Limitations
 - Recommendations
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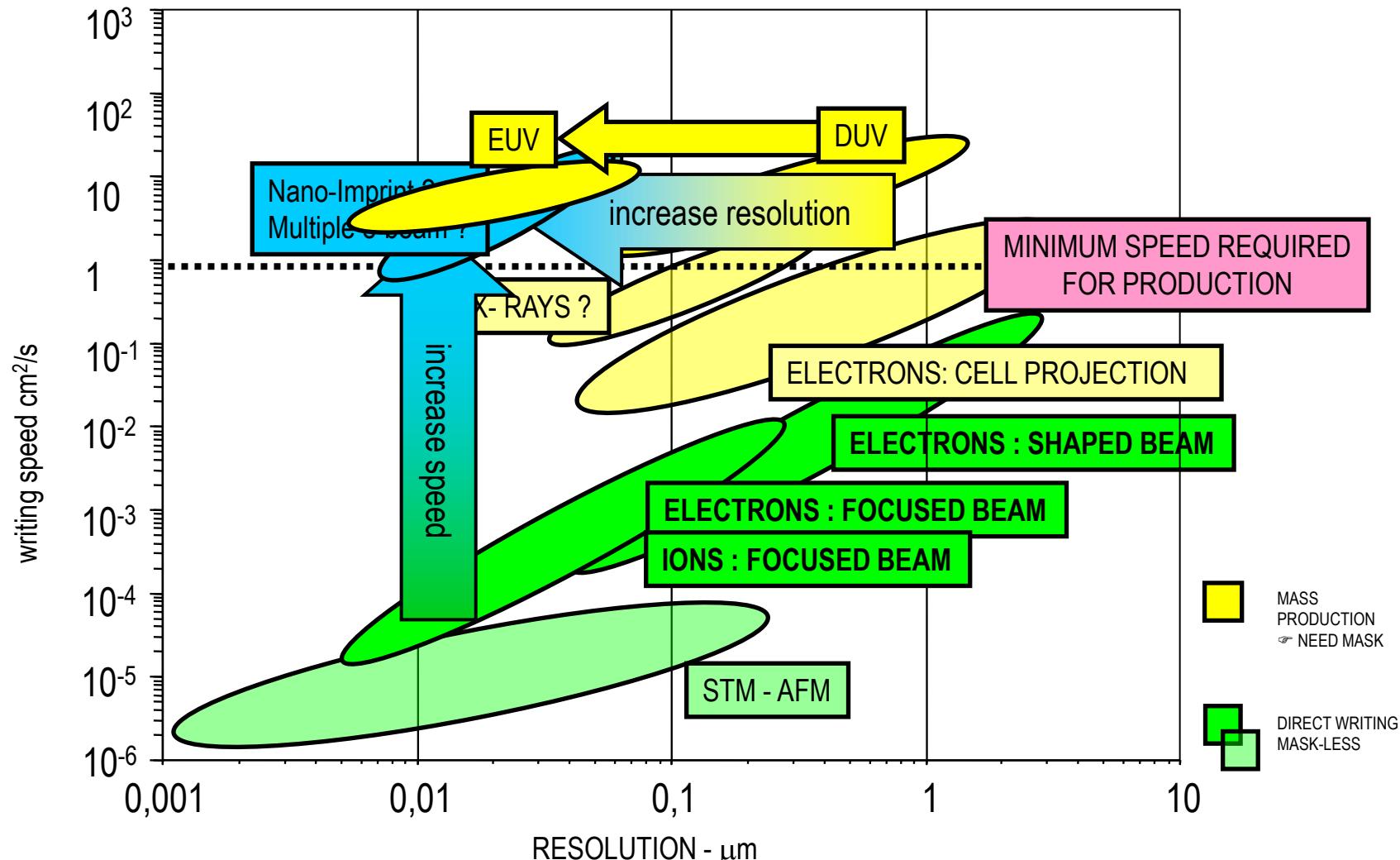
Competition at industry level: 20 years ago ...



International project



Competition at industry level: nowadays !



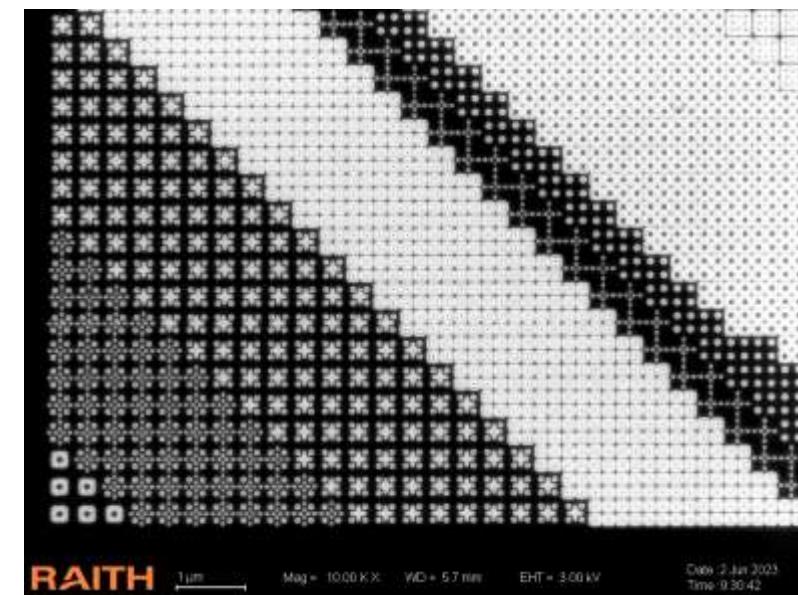
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Tendencies: EBL still alive

- Still necessary for:
 - Optical mask fabrication: UV, DUV, EUV
 - Molds fabrication: Nano Imprint Lithography (molding)
- Large surfaces:
 - Optical metasurfaces: $\sim 1\text{cm}^2$ and more ... if possible
 - Structures are not regular
 - Large surface
 - High resolution
 - Critical Dimension (CD) control: $\sim 10\text{nm}$
 - ☞ Improve machines: current, speed, resolution
 - ☞ Improve resists: sensitivity, contrast, etching resistance, ...



EBL nowadays

- **Machines more and more automatic:**

- Focus
- Astigmatism
- Calibrations

- ☞ **User: closer and closer to technology**

- ☞ Scientific project, materials compatibility, flow chart, characterization
- ☞ There is still plenty of knowledges to acquire

- **Scripting (big tendency):**

- For complex and variable drawings
- To drive the machine
- ☞ Learn programming languages:
 - PYTHON, RUBY, MATLAB, ...
 - Probably deep learning to extract parameters from a collection of exposures

