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► **To cite this version:**

Zhijian Hu, Kun Zhang, Rong Su, Ruiping Wang. Robust Cooperative Load Frequency Control for Enhancing Wind Energy Integration in Multi-Area Power Systems. IEEE Transactions on Automation Science and Engineering, In press, pp.1 - 11. 10.1109/tase.2024.3367030 . hal-04636495

**HAL Id: hal-04636495**

**<https://laas.hal.science/hal-04636495>**

Submitted on 16 Jul 2024

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# Robust Cooperative Load Frequency Control for Enhancing Wind Energy Integration in Multi-area Power Systems

Zhijian Hu, *Member, IEEE*, Kun Zhang, *Member, IEEE*, Rong Su, *Senior Member, IEEE*, and Ruiping Wang

**Abstract**—The wind energy, as a kind of renewable energy resources, has the potential to replace traditional fossil fuels. However, its intermittent power output can incur frequency instability due to the instantaneous unbalance between power generation and load demand. To smooth the penetration of wind energy, this paper presents a robust cooperative load frequency control (LFC) strategy for multi-area power systems, which is a hierarchical control approach. For the low-level wind turbine control, this paper adopts model predictive control (MPC) method to achieve the rated wind power tracking. In the meantime, an improved event-triggered scheme (ETS) considering multiple historic released signals is employed to relieve the computational burden of MPC. For the high-level cooperative LFC, this paper incorporates the robust performance index in the control synthesis to suppress the impact of intermittent wind power on frequency stability. In addition, to address the underlying shift of the steady-state operating point caused by the intermittent wind power supply, this paper improves the commonly used small-signal LFC model by adding an uncertain matrix, which reasonably explains the possible change of system parameters and extends the applicability of the traditional LFC model. Simulations are done on a four-area power system, and the results verify the efficacy of the presented event-triggered scheme and the robust cooperative LFC approach.

**Note to Practitioners**—To promote the penetration of wind energy into power systems, this work explores a robust cooperative LFC approach under multi-agent structure to ensure the stability of the system, aiming at extending the applicability of existing approaches. The proposed approach is hierarchical. At the rated wind power tracking level, the MPC is employed to handle constraints associated with actuating devices, such as heterogeneous converters. Simultaneously, an improved ETS considering multiple historic triggered signals is integrated in

the MPC to reduce the computational burden. At the power system level, the robust performance index is incorporated in the control design to smooth the impacts of intermittent wind power on frequency stability. Additionally, the study accounts for the potential shift of the steady-state operating point and improves the traditional small-signal LFC model by adding an uncertain matrix, which can better explain the variation of system parameters and is more applicable in practical power system engineering. Simulation results demonstrate that the proposed robust cooperative LFC approach can effectively maintain the system frequency within the admissible range under the high penetration of wind energy, whereas the traditional PI controller falls short in this regard.

**Index Terms**—Load frequency control, wind turbines, multi-area power systems, model predictive control, event-triggered scheme.

## I. INTRODUCTION

IN recent decades, the cooperative control technology in multi-agent systems has drawn wide attention in the context of achieving a common goal through collaborative efforts and shared information [1]–[5]. As a typical application of multi-agent systems [6], [7], the cooperative LFC for multi-area power systems demonstrates superior performance in fastly and accurately recovering the frequency when one or more areas suffer load disturbances, compared to its non-cooperative control counterparts. As a consequence, the work is to investigate a cooperative LFC approach for interconnected power systems with partial state information sharing, such as load frequency and tie-line power signals.

Distributed renewable energy sources (DRESSs) are promising supplements of traditional fossil fuels, considering the sustainable development of both economy and environment [8]–[11]. Among them, the wind power accounts for the largest proportion due to the easy deployment on either offshore or onshore regions. To take full advantage of wind energy, two perspective control modes of wind turbines have been investigated based on the wind speed, namely maximal power point tracking (MPPT) and rated power tracking [12]. In situations where the wind speed surpasses the cut-in threshold yet remains below the rated speed, the MPPT control technique is utilized to optimize the energy output in line with the wind profile. Conversely, when the wind speed exceeds the rated limit but remains below the cut-off threshold, the rated power tracking control mechanism is employed to ensure that the output power remains constant. As for the control design, a flood of theoretical investigations has been carried out, e.g., robust control [13], [14], model predictive control (MPC)

This work is supported in part by the European Union’s Horizon 2022 Research and Innovation Programme for the Marie Skłodowska-Curie Actions (101108472), in part by the National Natural Science Foundation of China (62103408), in part by A\*STAR under its RIE2020 Advanced Manufacturing and Engineering (AME) Industry Alignment Fund-Pre Positioning (IAF-PP) (Award A19d6a0053), in part by A\*STAR under its IAF-ICP Programme I2001E0067 and the Schaeffler Hub for Advanced Research at NTU, and in part by European Horizon 2020 Marie Skłodowska-Curie Actions (101023244). (*Corresponding author: Kun Zhang.*)

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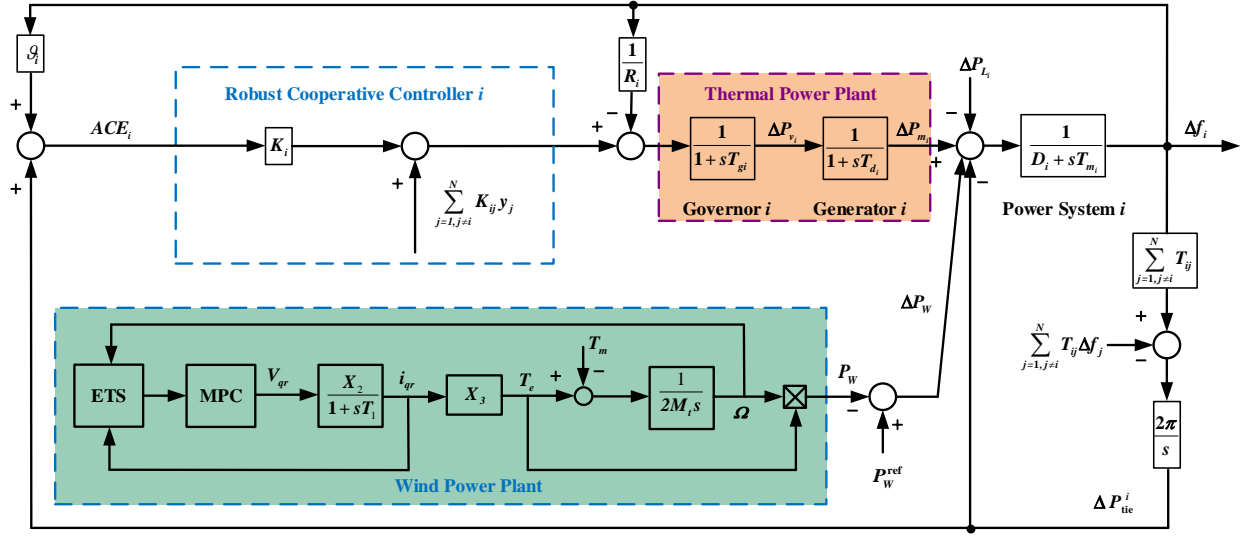


Fig. 1. Framework of the robust cooperative LFC for multi-area power systems with wind turbines.

concludes the work.

## II. MPC FOR RATED WIND POWER TRACKING AND IMPROVED ETS

### A. Modeling of Wind Turbines

This work avoids discussing the modeling issues of a complete wind power generation system, usually consisting of aerodynamics, drive train, generator, etc., while employs a simplified double fed induction generator (DFIG) to simulate the actual wind power supply [37]. The system dynamics of the DFIG can be described as

$$\dot{i}_{qr} = -\left(\frac{1}{T_1}\right)i_{qr} + \left(\frac{X_2}{T_1}\right)V_{qr}, \quad (1)$$

$$\dot{\Omega} = -\left(\frac{X_3}{2M_t}\right)i_{qr} + \left(\frac{1}{2M_t}\right)T_m, \quad (2)$$

where  $X_2 = 1/R_r$ ,  $T_1 = L_0/(\Omega_s R_s)$ ,  $X_3 = L_m/L_{ss}$ ,  $T_e = X_3 i_{qr}$ ,  $L_0 = L_{rr} + L_m^2/L_{ss}$ ,  $P_W = \Omega T_e$ ,  $L_{ss} = L_s + L_m$ , and  $L_{rr} = L_r + L_m$ ;  $\Omega$  is the rotational speed;  $T_e$  and  $T_m$  are the electromagnetic and mechanical torques, respectively;  $i_{qr}$  and  $V_{qr}$  are the  $q$  axial component of the rotor current and voltage, respectively;  $M_t$  is the equivalent inertia constant;  $L_m$  is the magnetization inductance;  $R_r$  ( $R_s$ ) is the rotor (stator) resistance;  $L_r$  ( $L_s$ ) is the rotor (stator) leakage inductance;  $L_{rr}$  ( $L_{ss}$ ) is the rotor (stator) self induction;  $\Omega_s$  is the synchronous rotational speed.

The state-space representation of (1)-(2) is

$$\begin{cases} \dot{x}_W = \mathcal{A}_W x_W + \mathcal{B}_W u_W + \mathcal{F}_W v_W, \\ y_W = \mathcal{C}_W x_W, \end{cases} \quad (3)$$

where  $x_W = [i_{qr} \quad \Omega]^T$  is the state vector;  $u_W = V_{qr}$  is the control input;  $v_W = T_m$  is the electromagnetic disturbance;

$y_W$  is the output vector with  $\mathcal{C}_W = \text{diag}\{1, 1\}$ ;

$$\mathcal{A}_W = \begin{bmatrix} -\frac{1}{T_1} & 0 \\ \frac{X_2}{2M_t} & 0 \end{bmatrix}, \mathcal{B}_W = \begin{bmatrix} \frac{X_2}{T_1} \\ 0 \end{bmatrix}, \mathcal{F}_W = \begin{bmatrix} 0 \\ \frac{1}{2M_t} \end{bmatrix}.$$

Since all state signals used in rated wind power tracking control are measured by digital devices, equation (3) is discretized as

$$\begin{cases} x_W(k+1) = A_W x_W(k) + B_W u_W(k) + F_W v_W(k), \\ y_W(k) = C_W x_W(k), \end{cases} \quad (4)$$

where  $A_W = e^{\mathcal{A}_W h}$ ;  $B_W = \int_0^h e^{\mathcal{A}_W (h-d)} \mathcal{B}_W dh$ ;  $C_W = \mathcal{C}_W$ ;  $F_W = \int_0^h e^{\mathcal{A}_W (h-d)} \mathcal{F}_W dh$ ;  $h$  represents the sampling time.

### B. MPC for Rated Wind Power Tracking

The MPC technique has drawn broad attention in the electric power sector due to its capability of combining optimization and control. MPC has emerged as a popular control approach for tracking rated wind power, particularly for addressing finite-horizon constrained problems. Three basic elements of MPC are prediction model, feedback correction, and rolling optimization. Within each iteration, the controller employs sensor measurements to calculate an optimal control sequence, executing the first element of the sequence upon the system. Subsequently, new measurements are utilized for rectifying the prediction error, thereby initiating the repetition of the optimization procedure. We design the cost function of MPC for (4) as

$$\mathcal{J}(x_W(k), u_W(k)) = \sum_{m=0}^{N-1} (\|x_W(k+m|k) - x_W^{\text{ref}}\|_Q^2 + \|u_W(k+m|k) - u_W^{\text{ref}}\|_S^2) + V_f(x_W(k+N|k)), \quad (5)$$

where  $u_W(k) = [u_W(k|k)^T \cdots u_W(k+N-1|k)^T]^T$ , and  $x_W(k) = [x_W(k+1|k)^T \cdots x_W(k+N|k)^T]^T$ ;  $N$  signifies the

control horizon and the prediction horizon;  $x_W^{\text{ref}}$  indicates the reference state vector consisting of rated current and rotational speed;  $u_W^{\text{ref}}$  denotes the reference control vector;  $Q$  and  $S$  are two adjustable matrices;  $V_f(x_W(k+N|k))$  means the terminal penalty cost, which is set as zero in this work.

*Remark 1:* The selection criteria for the control horizon and the prediction horizon in MPC are contingent upon the specific application and desired system performance. When employing MPC for rated wind power tracking, the target system performance typically pertains to the wind power output dynamics. The selection of control horizon should reflect the time scales at which the system responds to control inputs and disturbances. A longer control horizon may be needed for slower systems, while a shorter control horizon may suffice for faster systems. As for the selection of prediction horizon, a more extended range usually yields superior predictive results, as it encompasses a broader spectrum of anticipated system behaviors. However, this advantage is offset by a rise in computational demands. In real-world wind power generation system, the control horizon should strike a balance between accurate prediction and computational efficiency. Notably, it should never exceed the prediction horizon.

Considering the limitations of actuating devices (e.g., stator/rotor side convertors), constraints are incorporated in the control design. Then, the formal MPC for (4) is given by

$$\begin{aligned} & \min_{\mathbf{u}_W} \mathcal{J}(\mathbf{x}_W(k), \mathbf{u}_W(k)) \\ & \text{s.t.} \begin{cases} x_W(k+m+1|k) = A_W x_W(k+m|k) \\ \quad \quad \quad \quad \quad \quad + B_W u_W(k+m|k), \\ x_W(k|k) = x_W(k), \\ x_W(k+N|k) \in \Theta, \\ i_{qr,\min} \leq i_{qr}(k+m|k) \leq i_{qr,\max}, \\ \Omega_{\min} \leq \Omega(k+m|k) \leq \Omega_{\max}, \\ m = 1, 2, \dots, N, \end{cases} \end{aligned} \quad (6)$$

where  $\Theta$  confines the terminal region;  $i_{qr,\min}$  ( $i_{qr,\max}$ ) and  $\Omega_{\min}$  ( $\Omega_{\max}$ ) denote the current and rotational speed limitations.

### C. Improved ETS

The computational burden associated with the adoption of the MPC method in rated wind power tracking has received limited attention in existing research, despite its fundamental importance, especially when longer prediction horizons are employed for those wind turbines without advanced processing units. To address this issue, our work incorporates an improved ETS into the MPC implementation, that is (7), with the objective of reducing the computational workload involved in determining the optimal control action. The improved event-triggered condition is

$$\Upsilon(k) = \sum_{p=1}^{\bar{p}} \rho^p e^p(k)^T \Phi e^p(k) - \sigma \hat{y}(k)^T \Phi \hat{y}(k) \geq 0, \quad (7)$$

where  $e^p(k) = y(k) - y(k - \varepsilon^p)$ ,  $\hat{y}(k) = \frac{1}{\bar{p}} \sum_{p=1}^{\bar{p}} y(k - \varepsilon^p)$ , and  $0 < \varepsilon^1 < \varepsilon^2 < \dots < \varepsilon^{\bar{p}}$ ;  $y(k - \varepsilon^p)$  indicates the historic triggered signal;  $\rho^p$  denotes the weighting factor of historic

triggered signals satisfying  $\sum_{p=1}^{\bar{p}} \rho^p = 1$ ;  $\Phi$  signifies a diagonal matrix to capture the importance of states;  $\sigma$  means a tuning factor.

*Remark 2:* The primary objective of the event-triggered scheme is to strike an optimal balance between system dynamics and computational burden. To achieve this balance, it is imperative for designers to meticulously determine the parameters involved in the event-triggered condition (7), specifically  $\rho^p$ ,  $\Phi$ , and  $\sigma$ . In the initial phase, designers must assess the actuators' capabilities to ascertain the feasibility of executing control actions within the system. Within the operational bounds of the actuators, designers must then factor in the anticipated system performance. Notably, within the matrix  $\Phi$ , higher values should be assigned to elements corresponding to states of greater interest. Regarding  $\rho^p$  ( $p = 1, 2, \dots, \bar{p}$ ), its value should decrease as the order of  $p$  increases. This is attributed to the fact that the most recently released signal exerts the most significant influence on system dynamics. The selection of  $\sigma$  is intimately tied to the desired frequency of the event-triggered scheme. Opting for a lower frequency necessitates choosing a smaller value for  $\sigma$ , and vice versa.

It is worth noting that the improved ETS offers two supplementary advantages. Firstly, in contrast to the traditional event-triggered conditions that solely relied on the differences between the current measurement and the most recently released one, the improved ETS incorporates multiple historic triggered signals to construct the event-triggered condition, which is beneficial to trigger some important signals at the crest or trough of the state response curves. Secondly, compared to the traditional ETSs [33], the improved ETS is less prone to triggering less significant points due to larger load disturbances.

## III. ROBUST COOPERATIVE LFC FOR MULTI-AREA POWER SYSTEMS

### A. Improved LFC Model

This work adopts the thermal plant as the base power. The system dynamics consists of five parts, with the subscript  $i = 1, 2, \dots, M$  indexing the  $i$ -th area. These five parts are generator, governor, power system, tie-line power, and area control error [24], whose dynamics are respectively represented by

$$\Delta \dot{P}_{m_i} = -\frac{1}{T_{d_i}} \Delta P_{m_i} + \frac{1}{T_{d_i}} \Delta P_{v_i}, \quad (8)$$

$$\Delta \dot{P}_{v_i} = -\frac{1}{R_i T_{g_i}} \Delta f_i - \frac{1}{T_{g_i}} \Delta P_{v_i} + \frac{1}{T_{g_i}} \Delta P_{c_i}, \quad (9)$$

$$\begin{aligned} \Delta \dot{f}_i = & -\frac{D_i}{T_{m_i}} \Delta f_i + \frac{1}{T_{m_i}} \Delta P_{m_i} - \frac{1}{T_{m_i}} \Delta P_{\text{tie}}^i \\ & + \frac{1}{T_{m_i}} \Delta P_{W_i} - \frac{1}{T_{m_i}} \Delta P_{L_i}, \end{aligned} \quad (10)$$

$$\Delta \dot{P}_{\text{tie}}^i = \sum_{j=1, j \neq i}^M 2\pi T_{ij} (\Delta f_i - \Delta f_j), \quad (11)$$

$$ACE_i = \vartheta_i \Delta f_i + \Delta P_{\text{tie}}^i, \quad (12)$$

where the physical significance of system parameters are given in Table II.

TABLE II  
PARAMETER METRICS

| Parameters         | Physical significance                                       |
|--------------------|---|
| $\Delta f_i$       | deviation of frequency                                      |
| $\Delta P_{W_i}$   | the wind power deviation                                    |
| $\Delta P_{m_i}$   | deviation of generator mechanical power                     |
| $\Delta P_{v_i}$   | deviation of turbine valve position                         |
| $\Delta P_{tie}^i$ | net tie-line active power flow                              |
| $\Delta P_{L_i}$   | load disturbance  |
| $T_{d_i}$          | time constant of generator                                  |
| $T_{g_i}$          | time constant of governor                                   |
| $T_{m_i}$          | time constant of power system                               |
| $R_i$              | speed drop  |
| $D_i$              | equivalent damping coefficient of generator                 |
| $T_{ij}$           | tie-line synchronizing coefficient between area $i$ and $j$ |
| $\vartheta_i$      | frequency bias constant $\vartheta_i = 1/R_i + D_i$         |

A more general LFC model for area  $i$  considering parameter variation due to the shift of operating point can be represented by

$$\begin{cases} \dot{x}_i = (A_i + \Delta A_i(t))x_i + \sum_{j=1, j \neq i}^M A_{ij}x_j + B_i u_i + F_i \omega_i, \\ y_i = C_i x_i, \end{cases} \quad (13)$$

where  $x_i$  represents the state vector;  $u_i$  represents the control input;  $y_i$  signifies the measured output;  $\omega_i$  denotes the load disturbance;  $\Delta A_i(t)$  is added to describe the potential variation of system parameters;

$$\begin{aligned} x_i &= \left[ \Delta f_i \quad \Delta P_{m_i} \quad \Delta P_{v_i} \quad \Delta P_{tie}^i \quad \int ACE_i \right]^T, \\ A_i &= \begin{bmatrix} \frac{-D_i}{T_{m_i}} & \frac{1}{T_{m_i}} & 0 & \frac{-1}{T_{m_i}} & 0 \\ 0 & \frac{-1}{T_{d_i}} & \frac{1}{T_{d_i}} & 0 & 0 \\ \frac{-1}{R_i T_{g_i}} & 0 & \frac{-1}{T_{g_i}} & 0 & 0 \\ \sum_{j=1, j \neq i}^N 2\pi T_{ij} & 0 & 0 & 0 & 0 \\ \vartheta_i & 0 & 0 & 1 & 0 \end{bmatrix}, \\ A_{ij} &= \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ -2\pi T_{ij} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \quad C_i^T = \begin{bmatrix} \vartheta_i & 0 \\ 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}, \\ B_i &= \begin{bmatrix} 0 & 0 & \frac{1}{T_{g_i}} & 0 & 0 \end{bmatrix}^T, \\ F_i &= \begin{bmatrix} \frac{-1}{T_{m_i}} & 0 & 0 & 0 & 0 \\ \frac{1}{T_{m_i}} & 0 & 0 & 0 & 0 \end{bmatrix}^T, \\ \omega_i &= \left[ \Delta P_{W_i} \quad \Delta P_{L_i} \right]^T. \end{aligned}$$

*Remark 3:* The dynamics presented in Equations (8)-(12) are representative of small-signal LFC models. These models are characterized by parameter accuracy under conditions of minor load disturbances. However, when there is a substantial imbalance between power generation and consumption, often caused by those induced by high penetration of wind energy, the accuracy of linearized LFC models is compromised due to the shift of the steady-state operating point. To address the limitations of traditional LFC models [24], [28]–[32], the work of [33] has accounted for uncertainties in the speed droop coefficient within the governor dynamic, analyzing their implications on frequency regulation performance. It is noteworthy, however, that all parameters may undergo variations owing to the shift of the steady-state operating point, extending beyond the singular consideration of the speed droop coefficient. To account for the inherent variability of parameters in the small-signal model and to expand the applicability of the LFC model within the realm of electrical engineering, this paper introduces a more comprehensive characterization of parameter uncertainties denoted as  $\Delta A_i(t)$ .

Since state measuring and feedback control of modern power systems are both realized by digital devices, e.g., phasor measurement units and remote telemetry units, the discrete-time state-space model is derived to streamline the subsequent discussions. The discrete-time representation of (13) is

$$\begin{cases} x_i(k+1) = (A_i + \Delta A_i(k))x_i(k) + B_i u_i(k) \\ \quad + \sum_{j=1, j \neq i}^N A_{ij} x_j(k) + F_i \omega_i(k), \\ y_i(k) = C_i x_i(k), \end{cases} \quad (14)$$

where  $A_i = e^{A_i h}$ ,  $B_i = \int_0^h e^{A_i s} B_i ds$ ,  $A_{ij} = e^{A_{ij} h}$ ,  $F_i = \int_0^h e^{A_i s} F_i ds$ , and  $C_i = C_i$ ;  $h$  represents the sampling time;  $\Delta A_i(k) = X_i G_i(k) Y_i$  is an uncertain matrix that is added to the traditional small-signal model, in which way we endeavor to explain the underlying shift of the operating point of power systems caused by the intermittent wind power output;  $X_i$  and  $Y_i$  denote two known matrices;  $G_i(k)$  signifies an unknown but Lebesgue measurable matrix satisfying  $G_i^T(k) G_i(k) \leq I$ .

### B. Cooperative Load Frequency Controller Design

The cooperative output feedback controller is designed as

$$u_i(k) = K_i y_i(k) + \sum_{j=1, j \neq i}^M K_{ij} y_j(k), \quad (15)$$

where  $K_i$  signifies the local controller gain;  $K_{ij}$  indicate the cooperative controller gains of the neighboring areas.

Based on (15), the closed-loop LFC model is formulated as

$$\begin{aligned} x_i(k+1) &= (A_i + \Delta A_i(k) + B_i K_i C_i) x_i(k) + F_i \omega_i(k) \\ &\quad + \sum_{j=1, j \neq i}^N (A_{ij} + B_i K_{ij} C_j) x_j(k). \end{aligned} \quad (16)$$

Next, we propose two theorems to realize robust cooperative LFC. Theorem 1 concerns the robust stability analysis, which ensures that there exist the feasible cooperative control gains.

Theorem 2 concerns the control synthesis, which facilitates the cooperative control gains selection.

### C. Robust Stability Analysis

Before analyzing the robust stability for system (16), we firstly provide the definitions of asymptotic stability and robust stability.

*Definition 1:* The closed-loop cooperative LFC system (16) is asymptotically stable if

$$\lim_{k \rightarrow \infty} \|x_i(k)\|_2 = 0, \quad \forall \omega_i(k) = 0, \quad (17)$$

where  $\|\cdot\|_2$  means the two-norm operator of a matrix.

*Definition 2:* The closed-loop cooperative LFC system (16) is asymptotically stable with  $\Delta f_i$  signal satisfying the predefined  $\mathcal{H}_\infty$  performance index  $\gamma_i$ , if it is asymptotically stable, and under zero initial condition,

$$\|\Delta f_i\|_2^2 < \gamma_i^2 \|\omega_i\|_2^2, \quad \forall 0 \neq \omega_i \in l_2[0, \infty), \quad (18)$$

where  $\|\Delta f_i\|_2^2 = \sum_{k=0}^{\infty} \Delta f_i^T(k) \Delta f_i(k)$ , and  $\|\omega_i\|_2^2 = \sum_{k=0}^{\infty} \omega_i^T(k) \omega_i(k)$ .

*Remark 4:* To realize the stability of system frequency, the  $\mathcal{H}_\infty$  performance index  $\gamma_i$ , which is a versatile metric that can be applied to any type of signal based on the designer's preference, is employed. Definition 2 outlines a robust  $\mathcal{H}_\infty$  performance index that focuses on asymptotic stability. Specifically, the frequency deviation signal is chosen as the interested signal. Our aim is to limit the impact of wind power perturbations and load disturbances on the load frequency signals through the  $\mathcal{H}_\infty$  performance index  $\gamma_i$ . The following Theorem 1 demonstrates the robust stability of multi-area power systems by performing the proposed method.

*Theorem 1:* The closed-loop cooperative LFC system (16) is asymptotically stable with the frequency deviation signal  $\Delta f_i$  satisfying the prescribed  $\mathcal{H}_\infty$  performance index  $\gamma_i$  if there exist matrices  $P_i > 0$ , such that for  $i = 1, 2, \dots, M$ ,

$$\begin{bmatrix} \bar{A}^T P \bar{A} + D^T D - P & \bar{A}^T P F \\ * & F^T P F - \gamma^2 I \end{bmatrix} < 0, \quad (19)$$

where  $*$  denotes the symmetric term of a sophisticated matrix, and

$$\begin{aligned} \bar{A} &= A + BKC + \Delta A(k), \\ B &= \text{diag} \{B_1, B_2, \dots, B_M\}, \\ C &= \text{diag} \{C_1, C_2, \dots, C_M\}, \\ D &= \text{diag} \{D_1, D_2, \dots, D_M\}, \\ D_i &= [1, 0, 0, 0], \quad i = 1, 2, \dots, M, \\ F &= \text{diag} \{F_1, F_2, \dots, F_M\}, \\ P &= \text{diag} \{P_1, P_2, \dots, P_M\}, \\ \gamma &= \text{diag} \{\gamma_1, \gamma_2, \dots, \gamma_M\}, \end{aligned}$$

$$A = \begin{bmatrix} A_1 & A_{12} & \cdots & A_{1M} \\ A_{21} & A_2 & \cdots & A_{2M} \\ \vdots & \vdots & \ddots & \vdots \\ A_{M1} & A_{M2} & \cdots & A_M \end{bmatrix},$$

$$K = \begin{bmatrix} K_1 & K_{12} & \cdots & K_{1M} \\ K_{21} & K_2 & \cdots & K_{2M} \\ \vdots & \vdots & \ddots & \vdots \\ K_{M1} & K_{M2} & \cdots & K_M \end{bmatrix}.$$

*Proof:* We design an area-dependent Lyapunov function for system (16) as

$$V(k) = \sum_{i=1}^M x_i^T(k) P_i x_i(k), \quad (20)$$

where  $P_i$  represents the Lyapunov matrix.

Further, by defining  $x(k) = [x_1^T(k) \ x_2^T(k) \ \cdots \ x_M^T(k)]^T$ ,  $\omega(k) = [\omega_1^T(k) \ \omega_2^T(k) \ \cdots \ \omega_M^T(k)]^T$ ,  $\zeta(k) = [x^T(k) \ \omega^T(k)]^T$ , one can derive

$$\begin{aligned} \Delta V(k) &= V(k+1) - V(k) \\ &= \sum_{i=1}^M x_i^T(k+1) P_i x_i(k+1) - \sum_{i=1}^M x_i^T(k) P_i x_i(k) \\ &= \zeta^T(k) \begin{bmatrix} \bar{A}^T P \bar{A} - P & \bar{A}^T P F \\ * & F^T P F \end{bmatrix} \zeta(k) \end{aligned}$$

When  $\omega(k) = 0$ , one can calculate

$$\Delta V(k) = x^T(k) (\bar{A}^T P \bar{A} - P) x(k). \quad (21)$$

Based on Theorem 1,  $\Delta V(k) < 0$  is evidently established for any  $x(k) \neq 0$ , which ensures that system (16) is asymptotically stable.

For some disturbances in (16), we design the following performance index

$$\Xi(k) = \Delta V(k) + \Delta f^T(k) \Delta f(k) - \gamma^2 \omega^T(k) \omega(k), \quad (22)$$

where  $\Delta f(k) = [\Delta f_1^T(k) \ \Delta f_2^T(k) \ \cdots \ \Delta f_M^T(k)]^T$ .

Performing a summation over both sides of (22) with respect to  $k = 0, 1, \dots, \infty$ , one derives

$$\begin{aligned} \bar{\Xi} &= \sum_{k=0}^{\infty} \Xi(k) \\ &= V(\infty) - V(0) + \sum_{k=0}^{\infty} \Delta f^T(k) \Delta f(k) \\ &\quad - \gamma^2 \sum_{k=0}^{\infty} \omega^T(k) \omega(k). \end{aligned} \quad (23)$$

From Theorem 1,  $\bar{\Xi} < 0$  is obviously established. From  $V(\infty) \geq 0$  together with  $V(0) = 0$ ,  $\|\Delta f\|_2^2 < \gamma^2 \|\omega\|_2^2$  is established for  $\omega \in l_2[0, \infty)$ . Thus, Theorem 1 holds. ■

### D. Robust Controller Gains Selection

Attentive readers may notice that the sufficient condition derived in Theorem 1, i.e., (19), can hardly be handled by standard linear matrix inequality (LMI) toolbox in Matlab due to the matrix coupling between the robust cooperative

controller gain  $K$  and the Lyapunov matrix  $P$ . Furthermore, the sufficient condition (19) accounts for the variation of system parameters represented by  $\Delta A(k)$ , which is a time-varying and unknown matrix. To address these two challenges, we introduce Theorem 2 that employs the inequality transformation and the cone complementarity linearization (CCL) approach to facilitate the robust controller gains selection.

*Theorem 2:* The closed-loop cooperative LFC system (16) is asymptotically stable with the frequency deviation signal  $\Delta f_i$  satisfying the prescribed  $\mathcal{H}_\infty$  performance index  $\gamma_i$  if there exist matrices  $P_i > 0$ ,  $O_i > 0$ , and a scalar  $\kappa > 0$ , such that for  $i = 1, 2, \dots, M$ ,

$$\begin{bmatrix} -O & A + BKC & F & X \\ * & D^T D - P + \kappa Y^T Y & 0 & 0 \\ * & * & -\gamma^2 I & 0 \\ * & * & * & -\kappa I \end{bmatrix} < 0, \quad (24)$$

$$PO = I, \quad (25)$$

where

$$\begin{aligned} X &= \text{diag}\{X_1, X_2, \dots, X_M\}, \\ Y &= \text{diag}\{Y_1, Y_2, \dots, Y_M\}, \\ O &= \text{diag}\{O_1, O_2, \dots, O_M\}. \end{aligned}$$

*Proof:* Using Schur complement to (24), one arrives at

$$\begin{bmatrix} -O & A + BKC & F \\ * & D^T D - P & 0 \\ * & * & -\gamma^2 I \end{bmatrix} + \kappa^{-1} \begin{bmatrix} X \\ 0 \\ 0 \end{bmatrix} \times \begin{bmatrix} X \\ 0 \\ 0 \end{bmatrix}^T + \kappa \begin{bmatrix} 0 \\ Y^T \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ Y^T \\ 0 \end{bmatrix}^T < 0. \quad (26)$$

Applying Young's inequality to (26), we can obtain

$$\begin{bmatrix} -O & A + BKC & F \\ * & D^T D - P & 0 \\ * & * & -\gamma^2 I \end{bmatrix} + \begin{bmatrix} X \\ 0 \\ 0 \end{bmatrix} \times G(k) \begin{bmatrix} 0 \\ Y^T \\ 0 \end{bmatrix}^T + \begin{bmatrix} 0 \\ Y^T \\ 0 \end{bmatrix} G^T(k) \begin{bmatrix} X \\ 0 \\ 0 \end{bmatrix}^T < 0, \quad (27)$$

that is

$$\begin{bmatrix} -O & \bar{A} & F \\ * & D^T D - P & 0 \\ * & * & -\gamma^2 I \end{bmatrix} < 0. \quad (28)$$

Applying Schur complement to (28), one can obtain

$$\begin{bmatrix} D^T D - P & 0 \\ * & -\gamma^2 I \end{bmatrix} + \begin{bmatrix} \bar{A}^T \\ F^T \end{bmatrix} O^{-1} \begin{bmatrix} \bar{A}^T \\ F^T \end{bmatrix}^T < 0, \quad (29)$$

which is equivalent to

$$\begin{bmatrix} \bar{A}^T O^{-1} \bar{A} + D^T D - P & \bar{A}^T O^{-1} F \\ * & F^T O^{-1} F - \gamma^2 I \end{bmatrix} < 0. \quad (30)$$

Defining  $O_i = P_i^{-1}$ , one can arrive at (19). By employing CCL, one can obtain the robust cooperative gains automatically through LMI toolbox in Matlab. Hence, Theorem 2 is proved. ■

## IV. VALIDATIONS

### A. System Parameters and Robust Cooperative LFC

The simulation employs a four-area fully connected power system (see Fig. 2), and each area is integrated with 100 wind turbines. The base power capacity of the power system is 400MW. The rated power of each wind turbine is 2MW, corresponding to  $i_{qr}^{\text{ref}} = 1.75A$  and  $\Omega_s = 1.17\text{rad/s}$ . The parameters of wind turbine of each area are introduced in Table III [37]. The parameters involved in event-triggered MPC are  $Q = \text{diag}\{1, 1\}$ ,  $N = 5$ ,  $S = 1$ ,  $\rho^1 = 0.6$ ,  $\rho^2 = 0.3$ ,  $\rho^3 = 0.1$ ,  $\sigma = 0.2$ ,  $\Phi = \text{diag}\{1, 1\}$ . The parameters of the four-area power system are given in Table IV [32]. The parameters involved in DLFC are  $h = 1s$ ,  $M = [0.01; 0.01; 0.01; 0.01; 0.01]$ ,  $N = M^T$ ,  $G(k) = 0.1\sin(10k)$ ,  $\gamma = 0.5$ ,  $T_m(k) = 0.03(\text{rand} - 0.5)$ ,  $\Delta P_{L_i} = 0.01(\text{rand} - 0.5)$ ,  $\text{rand} \in [0, 1]$  denotes a uniform-distributed random number.

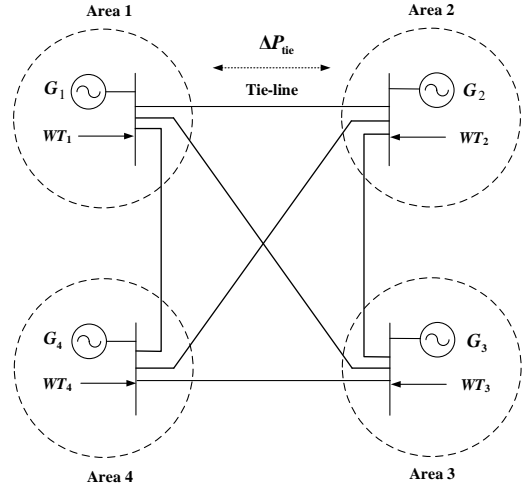


Fig. 2. Structure of the four-area power system with wind turbines.

TABLE III  
PARAMETERS OF THE WIND TURBINE

| $\Omega_s$ | $R_r$   | $R_s$   | $L_s$   | $L_m$  | $L_r$ | $M_t$ |
|------------|---------|---------|---------|--------|-------|-------|
| 1.17       | 0.00552 | 0.00491 | 0.09273 | 3.9654 | 0.1   | 4.5   |

TABLE IV  
PARAMETERS OF THE POWER SYSTEM WITH  $T_{ij} = 0.1$

| Area 1         | Area 2         | Area 3         | Area 4         |
|----------------|----------------|----------------|----------------|
| $D_1 = 5$      | $D_2 = 1$      | $D_3 = 3$      | $D_4 = 4$      |
| $T_{m1} = 20$  | $T_{m2} = 14$  | $T_{m3} = 11$  | $T_{m4} = 9$   |
| $T_{d1} = 1.2$ | $T_{d2} = 1.0$ | $T_{d3} = 0.7$ | $T_{d4} = 0.5$ |
| $T_{g1} = 1.2$ | $T_{g2} = 0.6$ | $T_{g3} = 1.4$ | $T_{g4} = 0.8$ |
| $R_1 = 0.016$  | $R_2 = 0.03$   | $R_3 = 0.05$   | $R_4 = 0.08$   |



### B. Validations of Rated Wind Power Tracking and Improved ETS

This section examines the rated wind power tracking control through the analysis of two-state dynamics of each individual wind turbine, namely the rotor current and rotational speed, as depicted in Fig. 3.  $i_{qr}$  and  $\Omega$  fluctuate at the reference values,  $1.75A$  and  $1.17rad/s$ , respectively. This is attributable to the mechanical torque disturbance. Specifically,  $i_{qr}$  displays a fluctuation with an amplitude of  $0.005A$ , whereas  $\Omega$  exhibits a more pronounced fluctuation with an amplitude of  $0.01rad/s$ . Moreover, the dynamics of the rated wind power output  $P_w$  is simulated for a cluster of 100 wind turbines, as illustrated in Fig. 4. The resulting total power fluctuation, arising from the aggregation of 100 wind turbines, is observed to have an amplitude of  $2MW$ , which is equivalent to 1% of the total capacity of  $200MW$  and is deemed acceptable for renewable energy supply to the main grid. This result verifies the efficacy of the MPC in realizing rated wind power tracking.

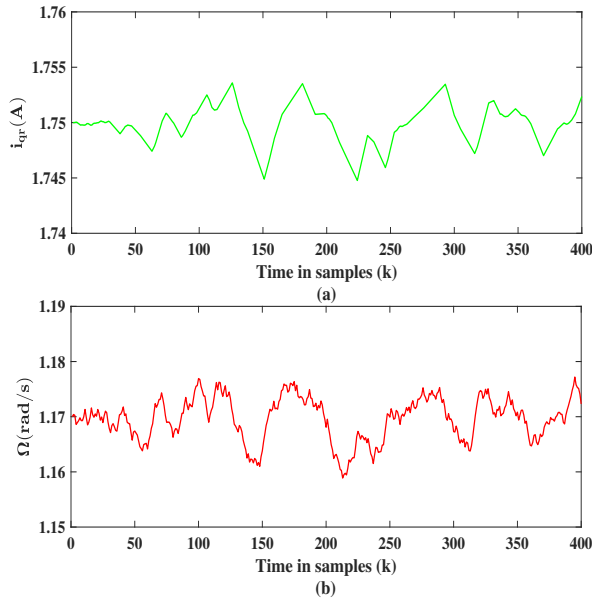


Fig. 3. Dynamics of states of the wind turbine. (a) Dynamic of  $i_{qr}$ . (b) Dynamic of  $\Omega$ .

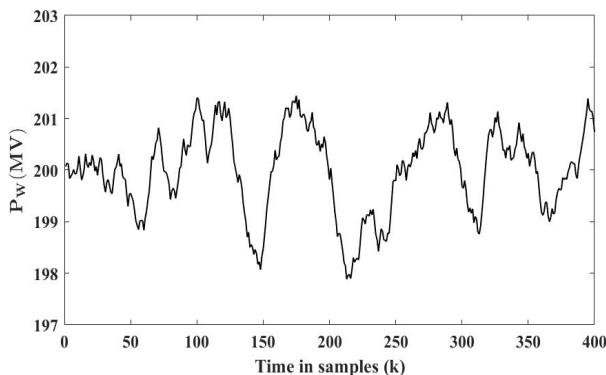


Fig. 4. Power dynamic  $P_w$  of 100 wind turbines.

Fig. 5 demonstrates the control actions for rated wind power tracking under the improved ETS. It presents a compelling evidence that the improved ETS is capable to relieve the computational burden of the MPC. Specifically, Fig. 5(a) depicts the control actions at each time instant, with each horizontal line segment indicating the corresponding idle time of the controller. This visualization reveals that the improved ETS enables the controller to be in an idle state for extended periods without compromising the control performance. Furthermore, Fig. 5(b) provides a graphical representation of the event-triggered instants and intervals, which clearly illustrates that the controller does not need to seek an optimal control action for a significant portion of the time, with the maximal idle time of 17 seconds. Both Fig. 5(a) and Fig. 5(b) provide a strong empirical support for the efficacy of the presented ETS in relieving the computational burden of the MPC without sacrificing the control performance.

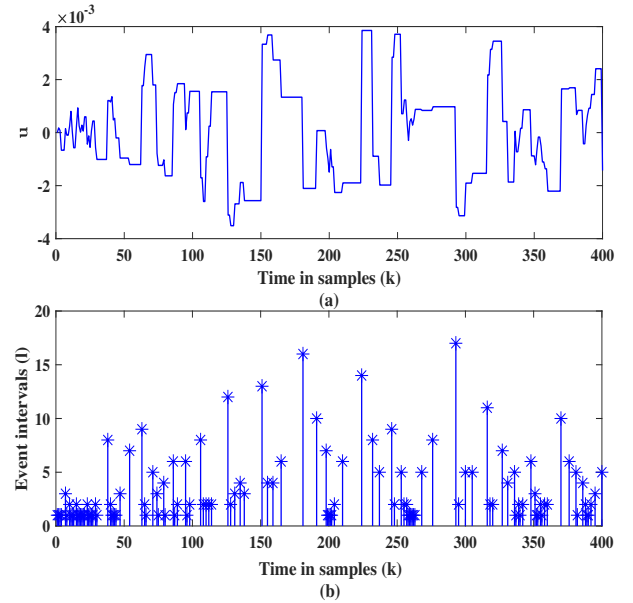


Fig. 5. Control actions and improved ETS. (a) Control actions. (b) Event-triggered times and intervals.

### C. Validations of Robust Cooperative LFC With Wind Turbines

To test the superiority of the proposed robust cooperative LFC strategy, the following three control methods are considered for comparison.

- 1) *Controller 1*: Typical PI controller with control gains  $K_1 = [0.3, -0.06]$ ,  $K_2 = [0.6, -0.03]$ ,  $K_3 = [1.2, -0.05]$ ,  $K_4 = [0.9, -0.04]$ , and  $K_{ij} = [0, 0]$  for  $i, j = 1, \dots, 4, i \neq j$  [33].
- 2) *Controller 2*: The robust cooperative controller based on the traditional small-signal LFC model [24], whose control gains are given in Table V.
- 3) *Controller 3*: The proposed robust cooperative controller based on the improved small-signal LFC model, whose control gains are automatically selected through Theorem 2 and are shown in Table VI.

TABLE V  
ROBUST COOPERATIVE CONTROLLER GAINS BASED ON THE  
TRADITIONAL SMALL-SIGNAL LFC MODEL

| Area   | Local controller gain     | Cooperative controller gains |
|--------|---------------------------|------------------------------|
| Area 1 | $K_1 = [0.4617, -0.1519]$ | $K_{12} = [0.0293, -0.0040]$ |
|        |                           | $K_{13} = [0.0471, -0.0043]$ |
|        |                           | $K_{14} = [0.0569, -0.0046]$ |
|        |                           | $K_{21} = [0.0071, -0.0016]$ |
| Area 2 | $K_2 = [0.4194, -0.0746]$ | $K_{23} = [0.0187, -0.0017]$ |
|        |                           | $K_{24} = [0.0114, -0.0001]$ |
|        |                           | $K_{31} = [0.0111, -0.0025]$ |
|        |                           | $K_{32} = [0.0171, -0.0024]$ |
| Area 3 | $K_3 = [1.3312, -0.1585]$ | $K_{34} = [0.0325, -0.0027]$ |
|        |                           | $K_{41} = [0.0060, -0.0014]$ |
|        |                           | $K_{42} = [0.0078, -0.0012]$ |
|        |                           | $K_{43} = [0.0156, -0.0015]$ |
| Area 4 | $K_4 = [1.9816, -0.1078]$ |                              |
|        |                           |                              |
|        |                           |                              |
|        |                           |                              |

TABLE VI  
ROBUST COOPERATIVE CONTROLLER GAINS BASED ON THE  
IMPROVED SMALL-SIGNAL LFC MODEL

| Area   | Local controller gain     | Cooperative controller gains        |
|--------|---------------------------|-------------------------------------|
| Area 1 | $K_1 = [0.5013, -0.1124]$ | $K_{12} = [-4.4788e-3, 4.3022e-4]$  |
|        |                           | $K_{13} = [-1.8421e-5, -7.2387e-5]$ |
|        |                           | $K_{14} = [-7.1164e-3, 6.0357e-4]$  |
|        |                           | $K_{21} = [-1.6710e-3, 2.3808e-4]$  |
| Area 2 | $K_2 = [0.4954, -0.0681]$ | $K_{23} = [-5.3971e-3, 5.7360e-4]$  |
|        |                           | $K_{24} = [1.2171e-3, -1.0808e-4]$  |
|        |                           | $K_{31} = [-5.3517e-3, 6.8330e-4]$  |
|        |                           | $K_{32} = [-1.4061e-2, 1.9566e-3]$  |
| Area 3 | $K_3 = [1.7418, -0.1498]$ | $K_{34} = [-2.9988e-2, 2.6449e-3]$  |
|        |                           | $K_{41} = [-1.0409e-3, 4.0752e-5]$  |
|        |                           | $K_{42} = [5.4974e-4, -6.3710e-5]$  |
|        |                           | $K_{43} = [-4.7161e-3, 5.0851e-4]$  |
| Area 4 | $K_4 = [1.3521, -0.1089]$ |                                     |
|        |                           |                                     |
|        |                           |                                     |
|        |                           |                                     |

Fig. 6 illustrates the frequency deviation dynamics of four areas with the penetration of wind energies under the initial conditions  $\Delta f_1(0) = 0.1Hz$ ,  $\Delta f_2(0) = 0.08Hz$ ,  $\Delta f_3(0) = 0.06Hz$ ,  $\Delta f_4(0) = 0.04Hz$ , in which red lines illustrate the dynamics under *Controller 1*, green lines indicate the dynamics under *Controller 2*, and blue lines demonstrate the dynamics under *Controller 3*. Evidently, with the traditional PI controller, the largest amplitude of the frequency deviation among four areas is about  $0.3Hz$ . Due to the fact that the admissible frequency deviation is  $\pm 0.2Hz$  of LFC, the traditional PI controller is incapable to regulate the frequency with such a high penetration level ( $200MW$ ) of wind energy. In contrast to the traditional PI controller, the robust cooperative controller based on the traditional small-signal LFC model can maintain the frequency deviation within the limit of  $\pm 0.2Hz$ , which means that *Controller 2* is feasible in regulating the frequency with  $200MW$  wind energy integration. By further comparing the frequency dynamics between *Controller 2* and *Controller 3*, we can see that with the improved small-signal LFC model (considering the potential shift of the steady-state operating point and model this property by adding an uncertain but bounded matrix in traditional small-signal model), the proposed robust cooperative controller is capable of regulating the frequency to the much smaller overshoot. This verifies that the proposed robust cooperative LFC approach is effective to

smooth the high penetration of wind energy and outperforms the other two controllers.

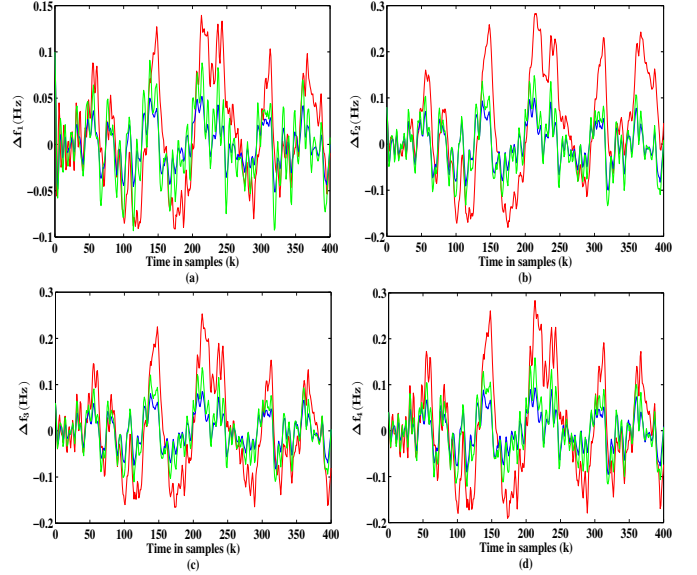


Fig. 6. Frequency deviation dynamics of four areas with the penetration of wind energies. Red lines indicate the dynamics under *Controller 1*. Green lines indicate the dynamics under *Controller 2*. Blue lines indicate the dynamics under *Controller 3*.

#### D. Validations of Robust Cooperative LFC Considering Communication Delays

For a practical power system, various underlying adversarial factors can lead to communication delays among areas during the transmission of system states. These factors include network congestion, bandwidth limitations, environmental elements such as interference, signal attenuation, and obstacles, as well as hardware or software failures in the communication infrastructure. As a consequence, based on the results in Section IV.C, we further verify the efficacy of the presented robust cooperative LFC approach considering communication delays. In the case study, the communication delays can occur between any different communication links within the networked structure (see Fig. 2) and are modeled by stochastic function with the upper bound set as 3 times of the sampling period  $h$ .

In an illustrative representation of communication delays, Fig. 7 depicts a specific scenario characterized by stochastic delays in the communication link between area 3 and area 2. Accordingly, Fig. 8 portrays the frequency deviation dynamics, taking into account the influence of communication delays. It becomes evident from Fig. 8 that the proposed robust cooperative LFC approach, which considers the potential shift of the steady-state operating point (referred to as *Controller 3*), consistently outperforms the alternative controllers (referred to as *Controller 1* and *Controller 2*), even though there are communication delays within the networked control structure.

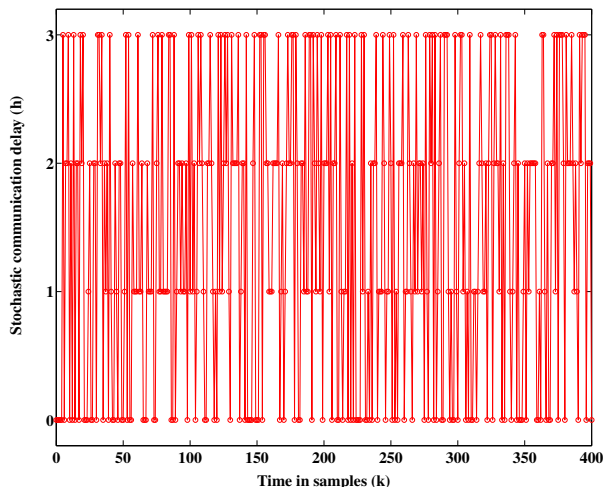


Fig. 7. Demonstration of communication delays from area 3 to area 2.

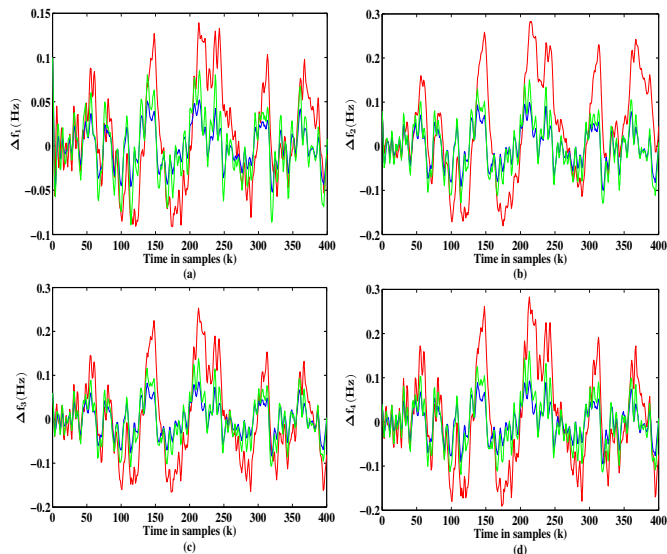


Fig. 8. Frequency deviation dynamics of four areas considering stochastic communication delays. Red lines indicate the dynamics under *Controller 1*. Green lines indicate the dynamics under *Controller 2*. Blue lines indicate the dynamics under *Controller 3*.

## V. CONCLUSION

The paper concerns the robust cooperative LFC for multi-area power systems with the participation of wind turbines. To smoothly accommodate the wind power, a hierarchical control structure is presented. The MPC is used to track the rated wind power in low-level wind turbine control, in which an improved ETS considering a series of historic released signals is developed to reduce the computational burden. In high-level cooperative LFC, robust performance is incorporated in the frequency controller design to smooth the integration of wind energy to the main grid. Besides, to extend the applicability of the LFC model with the penetration of wind energy, the work adds an uncertain matrix to explain the potential shift of the operating point caused by the intermittent wind power supply.

Simulations are conducted on a four-area power system with each area penetrated with  $200MW$  wind energy. The results validate that the improved ETS is efficient in reducing the computational burden of MPC, and that the proposed robust cooperative LFC approach is more applicable in maintaining the frequency deviation within the limit of  $\pm 0.2Hz$ , compared to the traditional PI controller and the small-signal model based controller.

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